

CD 11172-3

**CODING OF MOVING PICTURES AND ASSOCIATED AUDIO
FOR DIGITAL STORAGE MEDIA AT UP TO ABOUT 1.5 MBIT/s**

Part 3 AUDIO

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FOREWORD

This International Standard is a committee draft that was submitted for approval to ISO-IEC/JTC1 SC29 on 22 November 1991. It was prepared by SC29/WG11, also known as MPEG (Moving Pictures Expert Group). MPEG was formed in 1988 to establish a standard for the coded representation of moving pictures and associated audio stored on digital storage media.

This standard is published in four parts. Part 1 - systems - specifies the system coding layer of the standard. It defines a multiplexed structure for combining audio and video data and means of representing the timing information needed to replay synchronized sequences in real-time. Part 2 - video - specifies the coded representation of video data and the decoding process required to reconstruct pictures. Part 3 - audio - specifies the coded representation of audio data. Part 4 - conformance testing - is still in preparation. It will specify the procedures for determining the characteristics of coded bit streams and for testing compliance with the requirements stated in Parts 1, 2 and 3.

In Part 1 of this standard all annexes are informative and contain no normative requirements.

In Part 2 of this standard 2-Annex A, 2-Annex B and 2-Annex C contain normative requirements and are an integral part of this standard. 2-Annex D and 2-Annex E are informative and contain no normative requirements.

In Part 3 of this standard 3-Annex A and 3-Annex B contain normative requirements and are an integral part of this standard. All other annexes are informative and contain no normative requirements.

INTRODUCTION

To aid in the understanding of the specification of the stored compressed bitstream and its decoding, a sequence of encoding, storage and decoding is described.

Encoding

The encoder processes the digital audio signal and produces the compressed bitstream for storage. The encoder algorithm is not standardized, and may use various means for encoding such as estimation of the auditory masking threshold, quantization, and scaling. However, the encoder output must be such that a decoder conforming to the specifications of Clause 2.4 will produce audio suitable for the intended application.

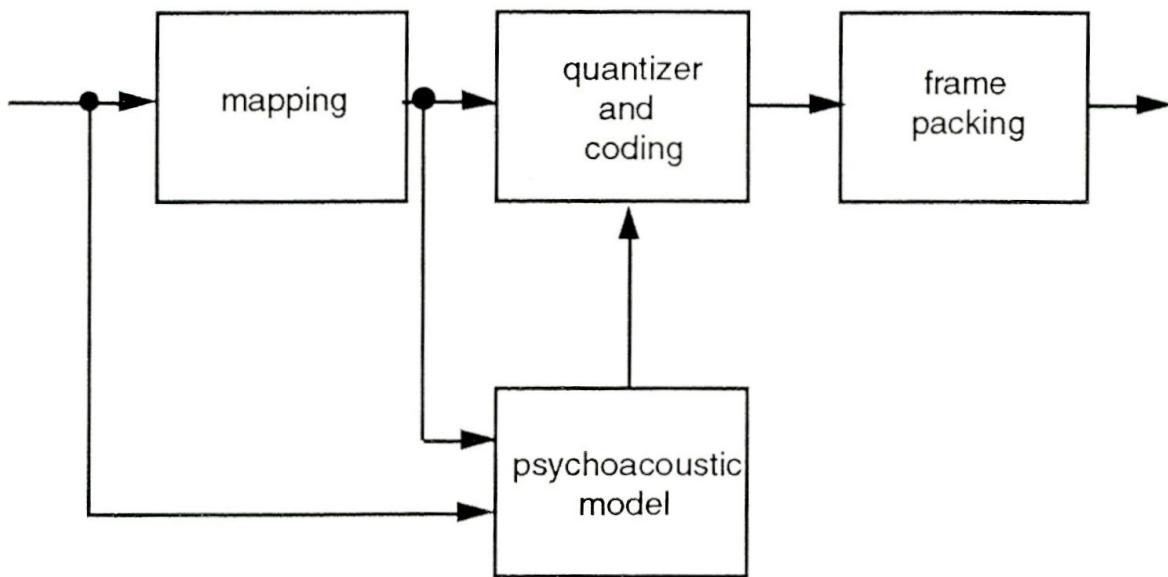


Figure I-1 Sketch of a basic encoder

Input audio samples are fed into the encoder. The mapping creates a filtered and subsampled representation of the input audio stream. The mapped samples may be called either subband samples (as in Layer I or II, see below) or transformed subband samples (as in Layer III). A psychoacoustic model creates a set of data to control the quantizer and coding. These data are different depending on the actual coder implementation. One possibility is to use an estimation of the masking threshold to do this quantizer control. The quantizer and coding block creates a set of coding symbols from the mapped input samples. Again, this block can depend on the encoding system. The block 'frame packing' assembles the actual bitstream from the output data of the other blocks, and adds other information (e.g. error correction) if necessary.

There are four different modes possible, single channel, dual channel (two independent audio signals coded within one bitstream), stereo (left and right signals of a stereo pair coded within one bitstream), and Joint Stereo (left and right signals of a stereo pair coded within one bitstream with the stereo irrelevancy and redundancy exploited).

Layers

Depending on the application, different layers of the coding system with increasing encoder complexity and performance can be used. An ISO MPEG Audio Layer N decoder is able to decode bitstream data which has been encoded in Layer N and all layers below N.

Layer I:

This layer contains the basic mapping of the digital audio input into 32 subbands, fixed segmentation to format the data into blocks, a psychoacoustic model to determine the adaptive bit allocation, and quantization using block companding and formatting.

Layer II:

This layer provides additional coding of bit allocation, scalefactors and samples. Different framing is used.

Layer III:

This layer introduces increased frequency resolution based on a hybrid filterbank. It adds a different (nonuniform) quantizer, adaptive segmentation and entropy coding of the quantized values .

Joint Stereo coding can be added as an additional feature to any of the layers.

Storage

Various streams of encoded video, encoded audio, synchronization data, systems data and auxiliary data may be stored together on a storage medium. Editing of the audio will be easier if the edit point is constrained to coincide with an addressable point.

Access to storage may involve remote access over a communication system. Access is assumed to be controlled by a functional unit other than the audio decoder itself. This control unit accepts user commands, reads and interprets data base structure information, reads the stored information from the media, demultiplexes non-audio information and passes the stored audio bitstream to the audio decoder at the required rate.

Decoding

The decoder accepts the compressed audio bitstream in the syntax defined in Clause 2.4.1, decodes the data elements according to Clause 2.4.2, and uses the information to produce digital audio output according to Clause 2.4.3.

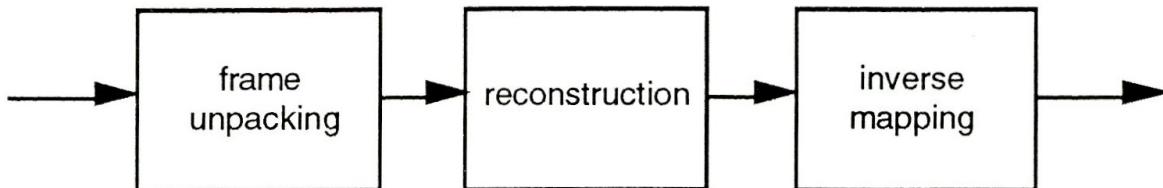


Figure I-2 Sketch of the basic structure of the decoder

Bitstream data is fed into the decoder. The bitstream unpacking and decoding block does error detection if error-check is applied in the encoder (see Clause 2.4.2.4). The bitstream data are unpacked to recover the various pieces of information. The reconstruction block reconstructs the quantized version of the set of mapped samples. The inverse mapping transforms these mapped samples back into uniform PCM.

1. GENERAL NORMATIVE ELEMENTS

1.1 Scope

This International Standard specifies the coded representation of high quality audio for storage media and the method for decoding of high quality audio signals. The input of the encoder and the output of the decoder are compatible with existing PCM standards such as standard Compact Disc and Digital Audio Tape.

This International Standard is intended for application to digital storage media providing a total continuous transfer rate of about 1.5 Mbit/sec for both audio and video bitstreams, such as CD, DAT and magnetic hard disc. The storage media may either be connected directly to the decoder, or via other means such as communication lines and the ISO 11172 multiplex stream defined in Part 1 of this International Standard. This International Standard is intended for sampling rates of 32 kHz, 44.1 kHz, and 48 kHz.

1.2 References

The following International Standards contain provisions which, through reference in this text, constitute provisions of this International Standard. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this International Standard are encouraged to investigate the possibility of applying the most recent editions of the standards indicated below. Members of IEC and ISO maintain registers of currently valid International Standards.

Recommendations and reports of the CCIR, 1990
XVIIth Plenary Assembly, Dusseldorf, 1990
Volume XI - Part 1
Broadcasting Service (Television)
Rec. 601-1 "Encoding parameters of digital television for studios".

CCIR Volume X and XI Part 3
Recommendation 648: Recording of audio signals.

CCIR Volume X and XI Part 3
Report 955-2: Sound broadcasting by satellite for portable and mobile receivers, including Annex IV
Summary description of Advanced Digital System II.

IEEE Draft Standard "Specification for the implementation of 8x 8 inverse discrete cosine transform".
P1180/D2, July 18, 1990

IEC publication 908:198, "CD Digital Audio System".

2 TECHNICAL NORMATIVE ELEMENTS

2.1 Definitions

For the purposes of this International Standard, the following definitions apply.

AC coefficient [video]: Any DCT coefficient for which the frequency in one or both dimensions is non-zero.

access unit: in the case of compressed audio an access unit is an Audio Access Unit. In the case of compressed video an access unit is the coded representation of a picture.

Adaptive segmentation [audio]: A subdivision of the digital representation of an audio signal in variable segments of time.

adaptive bit allocation [audio]: The assignment of bits to subbands in a time and frequency varying fashion according to a psychoacoustic model.

adaptive noise allocation [audio]: The assignment of coding noise to frequency bands in a time and frequency varying fashion according to a psychoacoustic model.

Alias [audio]: Mirrored signal component resulting from sub-Nyquist sampling.

Analysis filterbank [audio]: Filterbank in the encoder that transforms a broadband PCM audio signal into a set of subsampled subband samples.

Audio Access Unit [audio]: An Audio Access Unit is defined as the smallest part of the encoded bitstream which can be decoded by itself, where decoded means "fully reconstructed sound".

audio buffer [audio]: A buffer in the system target decoder for storage of compressed audio data.

audio sequence [audio]: A non interrupted series of audio frames in which the following parameters are not changed:

- ID
- Layer
- Sampling Frequency
- For Layer I and II: Bitrate index

backward motion vector [video]: A motion vector that is used for motion compensation from a reference picture at a later time in display order.

Bark [audio]: Unit of critical band rate.

bidirectionally predictive-coded picture; B-picture [video]: A picture that is coded using motion compensated prediction from a past and/or future reference picture.

bitrate: The rate at which the compressed bitstream is delivered from the storage medium to the input of a decoder.

Block companding [audio]: Normalizing of the digital representation of an audio signal within a certain time period.

block [video]: An 8-row by 8-column orthogonal block of pels.

Bound [audio]: The lowest subband in which intensity stereo coding is used.

byte aligned: A bit in a coded bitstream is byte-aligned if its position is a multiple of 8-bits from the first bit in the stream.

channel: A digital medium that stores or transports an ISO 11172 stream.

chrominance (component) [video]: A matrix, block or sample of pels representing one of the two colour difference signals related to the primary colours in the manner defined in CCIR Rec 601. The symbols used for the colour difference signals are Cr and Cb.

coded audio bitstream [audio]: A coded representation of an audio signal as specified in this International Standard.

coded video bitstream [video]: A coded representation of a series of one or more pictures as specified in this International Standard.

coded order [video]: The order in which the pictures are stored and decoded. This order is not necessarily the same as the display order.

coded representation: A data element as represented in its encoded form.

coding parameters [video]: The set of user-definable parameters that characterise a coded video bitstream. Bitstreams are characterised by coding parameters. Decoders are characterised by the bitstreams that they are capable of decoding.

component [video]: A matrix, block or sample of pel data from one of the three matrices (luminance and two chrominance) that make up a picture.

compression: Reduction in the number of bits used to represent an item of data.

constant bitrate coded video [video]: A compressed video bitstream with a constant average bitrate.

constant bitrate: Operation where the bitrate is constant from start to finish of the compressed bitstream.

Constrained Parameters [video]: In the case of the video specification, the values of the set of coding parameters defined in Part 2 Clause 2.4.3.2.

constrained system parameter stream (CSPS) [system]: An ISO 11172 multiplexed stream for which the constraints defined in Part 1 Clause 2.4.6 apply.

CRC: Cyclic redundancy code.

Critical Band Rate [audio]: Psychoacoustic measure in the spectral domain which corresponds to the frequency selectivity of the human ear.

Critical Band [audio]: Part of the spectral domain which corresponds to a width of one Bark.

data element: An item of data as represented before encoding and after decoding.

DC-coefficient [video]: The DCT coefficient for which the frequency is zero in both dimensions.

DC-coded picture; D-picture [video]: A picture that is coded using only information from itself. Of the DCT coefficients in the coded representation, only the DC-coefficients are present.

DCT coefficient: The amplitude of a specific cosine basis function.

decoded stream: The decoded reconstruction of a compressed bit stream.

decoder input buffer [video]: The first-in first-out (FIFO) buffer specified in the video buffering verifier.

decoder input rate [video]: The data rate specified in the video buffering verifier and encoded in the coded video bitstream.

decoder: An embodiment of a decoding process.

decoding process: The process defined in this International Standard that reads an input coded bitstream and outputs decoded pictures or audio samples.

decoding time-stamp; DTS [system]: A field that may be present in a packet header that indicates the time that an access unit is decoded in the system target decoder.

de-emphasis [audio]: filtering applied to an audio signal after storage or transmission to undo a linear distortion due to emphasis.

Dequantization [audio]: Decoding of coded subband samples in order to recover the original quantized values.

dequantization [video]: The process of rescaling the quantized DCT coefficients after their representation in the bitstream has been decoded and before they are presented to the inverse DCT.

digital storage media; DSM: A digital storage or transmission device or system.

discrete cosine transform; DCT [video]: Either the forward discrete cosine transform or the inverse discrete cosine transform. The DCT is an invertible, discrete orthogonal transformation. The inverse DCT is defined in 2-Annex A of Part 2.

display order [video]: The order in which the decoded pictures should be displayed. Normally this is the same order in which they were presented at the input of the encoder.

editing: The process by which one or more compressed bitstreams are manipulated to produce a new compressed bitstream. Conforming edited bitstreams must meet the requirements defined in this International Standard.

elementary stream [system]: A generic term for one of the coded video, coded audio or other coded bit streams.

emphasis [audio]: filtering applied to an audio signal before storage or transmission to improve the signal-to-noise ratio at high frequencies.

encoder: An embodiment of an encoding process.

encoding process: A process, not specified in this International Standard, that reads a stream of input pictures or audio samples and produces a valid coded bitstream as defined in this International Standard.

Entropy coding: Variable length noiseless coding of the digital representation of a signal to reduce redundancy.

fast forward [video]: The process of displaying a sequence, or parts of a sequence, of pictures in display-order faster than real-time.

FFT: Fast Fourier Transformation. A fast algorithm for performing a discrete Fourier transform (an orthogonal transform).

Filterbank [audio]: A set of band-pass filters covering the entire audio frequency range.

Fixed segmentation [audio]: A subdivision of the digital representation of an audio signal in to fixed segments of time.

forbidden: The term 'forbidden" when used in the clauses defining the coded bitstream indicates that the value shall never be used. This is usually to avoid emulation of start codes.

forced updating [video]: The process by which macroblocks are intra-coded from time-to-time to ensure that mismatch errors between the inverse DCT processes in encoders and decoders cannot build up excessively.

forward motion vector [video]: A motion vector that is used for motion compensation from a reference picture at an earlier time in display order.

Frame [audio]: A part of the audio signal that corresponds to a fixed number of audio PCM samples.

future reference picture [video]: The future reference picture is the reference picture that occurs at a later time than the current picture in display order.

Granules [Layer II] [audio]: 96 subband samples, 3 consecutive subband samples for all 32 subbands that are considered together before quantisation

Granules [Layer III] [audio]: 576 frequency lines that carry their own side information.

group of pictures [video]: A series of one or more pictures intended to assist random access. The group of pictures is one of the layers in the coding syntax defined in Part 2 of this International Standard.

Hann window [audio]: A time function applied sample-by-sample to a block of audio samples before Fourier transformation.

Huffman coding: A specific method for entropy coding.

Hybrid filterbank [audio]: A serial combination of subband filterbank and MDCT.

IMDCT [audio]: Inverse Modified Discrete Cosine Transform.

Intensity stereo [audio]: A method of exploiting stereo irrelevance or redundancy in stereophonic audio programmes based on retaining at high frequencies only the energy envelope of the right and left channels.

interlace [video]: The property of conventional television pictures where alternating lines of the picture represent different instances in time.

intra coding [video]: Compression coding of a block or picture that uses information only from that block or picture.

intra-coded picture; I-picture [video]: A picture coded using information only from itself.

ISO 11172 (multiplexed) stream [system]: A bitstream composed of zero or more elementary streams combined in the manner defined in Part 1 of this International Standard.

Joint stereo coding [audio]: Any method that exploits stereophonic irrelevance or stereophonic redundancy.

Joint stereo mode [audio]: A mode of the audio coding algorithm using joint stereo coding.

layer [audio]: One of the levels in the coding hierarchy of the audio system defined in this International Standard.

layer [video and systems]: One of the levels in the data hierarchy of the video and system specifications defined in Parts 1 and 2 of this International Standard.

luminance (component) [video]: A matrix, block or sample of pels representing a monochrome representation of the signal and related to the primary colours in the manner defined in CCIR Rec 601. The symbol used for luminance Y.

macroblock [video]: The four 8 by 8 blocks of luminance data and the two corresponding 8 by 8 blocks of chrominance data coming from a 16 by 16 section of the luminance component of the picture. Macroblock is sometimes used to refer to the pel data and sometimes to the coded representation of the pel and other data elements defined in the macroblock layer of the syntax defined in Part 2 of this International Standard. The usage is clear from the context.

Mapping [audio]: Conversion of an audio signal from time to frequency domain by subband filtering and/or by MDCT.

Masking threshold [audio]: A function in frequency and time below which an audio signal cannot be perceived by the human auditory system.

Masking [audio]: property of the human auditory system by which an audio signal cannot be perceived in the presence of another audio signal .

MDCT [audio]: Modified Discrete Cosine Transform.

motion compensation [video]: The use of motion vectors to improve the efficiency of the prediction of pel values. The prediction uses motion vectors to provide offsets into the past and/or future reference frames containing previously decoded pels that are used to form the prediction and the error difference signal.

motion estimation [video]: The process of estimating motion vectors during the encoding process.

motion vector [video]: A two-dimensional vector used for motion compensation that provides an offset from the coordinate position in the current picture to the coordinates in a reference picture.

MS stereo [audio]: A method of exploiting stereo irrelevance or redundancy in stereophonic audio programmes based on coding the sum and difference signal instead of the left and right channels.

non-intra coding [video]: Coding of a block or picture that uses information both from itself and from blocks and pictures occurring at other times.

Non-tonal component [audio]: A noise-like component of an audio signal.

Nyquist sampling: Sampling at or above twice the maximum bandwidth of a signal.

pack [system]: A pack consists of a pack header followed by one or more packets. It is a layer in the system coding syntax described in Part 1 of this International Standard.

packet data [system]: Contiguous bytes of data from an elementary stream present in a packet.

packet header [system]: The data structure used to convey information about the elementary stream data contained in the packet data.

packet [system]: A packet consists of a header followed by a number of contiguous bytes from an elementary data stream. It is a layer in the system coding syntax described in Part 1 of this International Standard.

Padding [audio]: A method to adjust the average length of an audio frame in time to the duration of the corresponding PCM samples, by conditionally adding a slot to the audio frame.

past reference picture [video]: The past reference picture is the reference picture that occurs at an earlier time than the current picture in display order.

pel aspect ratio [video]: The ratio of the nominal vertical height of pel on the display to its nominal horizontal width.

pel [video]: An 8-bit sample of luminance or chrominance data.

picture period [video]: The reciprocal of the picture rate.

picture rate [video]: The nominal rate at which pictures should be output from the decoding process.

picture [video]: Source or reconstructed image data. A picture consists of three rectangular matrices of 8-bit numbers representing the luminance and two chrominance signals. The Picture layer is one of the layers in the coding syntax defined in Part 2 of this International Standard. NOTE: the term "picture" is always used in this International Standard in preference to the terms field or frame.

Polyphase filterbank [audio]: A set of equal bandwidth filters with special phase interrelationships, allowing for an efficient implementation of the filterbank.

prediction [video]: The use of predictor to provide an estimate of the pel or data element currently being decoded.

predictive-coded picture; P-picture [video]: A picture that is coded using motion compensated prediction from the past reference picture.

predictor [video]: A linear combination of previously decoded pels or data elements.

presentation time-stamp; PTS [system]: A field that may be present in a packet header that indicates the time that a presentation unit is presented in the system target decoder.

presentation unit; PU: A decoded audio access unit or a decoded picture.

Psychoacoustic model [audio]: A mathematical model of the masking behaviour of the human auditory system.

quantization matrix [video]: A set of sixty-four 8-bit scaling values used by the dequantizer.

quantized DCT coefficients: DCT coefficients before dequantization. A variable length coded representation of quantized DCT coefficients is stored as part of the compressed video bitstream.

quantizer scale factor: A data element represented in the bitstream and used by the decoding process to scale the dequantization.

random access: The process of beginning to read and decode the coded bitstream at an arbitrary point.

reference picture [video]: Reference pictures are the nearest adjacent I- or P-pictures to the current picture in display order.

reorder buffer [video]: A buffer in the system target decoder for storage of a reconstructed I-picture or a reconstructed P-picture.

reserved: The term "reserved" when used in the clauses defining the coded bitstream indicates that the value may be used in the future for ISO defined extensions.

reverse play [video]: The process of displaying the picture sequence in the reverse of display order.

Scalefactor band [audio]: A set of frequency lines in Layer III which are scaled by one scalefactor.

Scalefactor index [audio]: A numerical code for a scalefactor.

Scalefactor [audio]: Factor by which a set of values is scaled before quantization.

sequence header [video]: A block of data in the coded bitstream containing the coded representation of a number of data elements. It is one of the layers of the coding syntax defined in Part 2 of this International Standard.

Side information: Information in the bitstream necessary for controlling the decoder.

skipped macroblock [video]: A macroblock for which no data is stored.

slice [video]: A series of macroblocks. It is one of the layers of the coding syntax defined in Part 2 of this International Standard.

Slot [audio]: A slot is an elementary part in the bitstream. In Layer I a slot equals four bytes, in Layers II and III one byte.

source stream: A single non-multiplexed stream of samples before compression coding.

Spreading function [audio]: A function that describes the frequency spread of masking.

start codes: 32-bit codes embedded in that coded bitstream that are unique. They are used for several purposes including identifying some of the layers in the coding syntax.

STD input buffer [system]: A first-in first-out buffer at the input of system target decoder for storage of compressed data from elementary streams before decoding.

stuffing (bits); stuffing (bytes) [video]: Code-words that may be inserted into the compressed bitstream that are discarded in the decoding process. Their purpose is to increase the bitrate of the stream.

Subband [audio]: Subdivision of the audio frequency band.

Subband filterbank [audio]: A set of band filters covering the entire audio frequency range. In Part 3 of this International Standard the subband filterbank is a polyphase filterbank.

Syncword [audio]: A 12-bit code embedded in the audio bitstream that identifies the start of a frame.

Synthesis filterbank [audio]: Filterbank in the decoder that reconstructs a PCM audio signal from subband samples.

system header [system]: The system header is a data structure defined in Part 1 of this International Standard that carries information summarising the system characteristics of the ISO 11172 multiplexed stream.

system target decoder; STD [system]: A hypothetical reference model of a decoding process used to describe the semantics of an ISO 11172 multiplexed bitstream.

time-stamp: A term that indicates the time of an event.

Tonal component [audio]: A sinusoid-like component of an audio signal.

variable bitrate: Operation where the bitrate varies with time during the decoding of a compressed bitstream.

variable length coding; VLC: A reversible procedure for coding that assigns shorter code-words to frequent events and longer code-words to less frequent events.

video buffering verifier; VBV [video]: A hypothetical decoder that is conceptually connected to the output of the encoder. Its purpose is to provide a constraint on the variability of the data rate that an encoder or editing process may produce.

video sequence [video]: A series of one or more groups of pictures.

zig-zag scanning order [video]: A specific sequential ordering of the DCT coefficients from (approximately) the lowest spatial frequency to the highest.

2.2 Symbols and Abbreviations

The mathematical operators used to describe this International Standard are similar to those used in the C programming language. However, integer division with truncation and rounding are specifically defined. The bitwise operators are defined assuming two's-complement representation of integers. Numbering and counting loops generally begin from zero.

2.2.1 Arithmetic Operators

+	Addition.
-	Subtraction (as a binary operator) or negation (as a unary operator).
++	Increment.
--	Decrement.
*	Multiplication.
^	Power
/	Integer division with truncation of the result toward zero. For example, $7/4$ and $-7/-4$ are truncated to 1 and $-7/4$ and $7/-4$ are truncated to -1.
//	Integer division with rounding to the nearest integer. Half-integer values are rounded away from zero unless otherwise specified. For example $3//2$ is rounded to 2, and $-3//2$ is rounded to -2
DIV	Integer division with truncation of the result toward $-\infty$.
%	Modulus operator. Defined only for positive numbers.
Sign()	$\text{Sign}(x) = \begin{cases} 1 & x > 0 \\ 0 & x == 0 \\ -1 & x < 0 \end{cases}$
NINT()	Nearest integer operator. Returns the nearest integer value to the real-valued argument. Half-integer values are rounded away from zero.
sin	Sine
cos	Cosine
exp	Exponential
$\sqrt{}$	Square root
log ₁₀	Logarithm to base ten
log _e	Logarithm to base e

2.2.2 Logical Operators

	Logical OR.
&&	Logical AND.

! Logical NOT

2.2.3 Relational Operators

> Greater than.

>= Greater than or equal to.

< Less than.

<= Less than or equal to.

= Equal to.

!= Not equal to.

max [,...] the maximum value in the argument list.

min [,...] the minimum value in the argument list.

2.2.4 Bitwise Operators

& AND

| OR

>> Shift right with sign extension.

<< Shift left with zero fill.

2.2.5 Assignment

= Assignment operator.

2.2.6 Mnemonics

The following mnemonics are defined to describe the different data types used in the coded bit-stream.

bslbf Bit string, left bit first, where "left" is the order in which bit strings are written in the International Standard. Bit strings are written as a string of 1s and 0s within single quote marks, e.g. '1000 0001'. Blanks within a bit string are for ease of reading and have no significance.

ch channel.

gr granule of 3 * 32 subband samples in audio layer 2, 18 * 32 sub-band samples in audio layer 3.

main_data The main_data portion of the bitstream contains the scalefactors, Huffman encoded data, and ancillary information.

main_data_beg This gives the location in the bitstream of the beginning of the main_data for the frame. The location is equal to the ending location of the previous frame's main_data plus one bit. It is calculated from the main_data_end value of the previous frame.

part2_length this value contains the number of main_data bits used for scalefactors.

rpchof remainder polynomial coefficients, highest order first.

sb	sub-band.
scfsi	scale-factor selector information.
switch_point_l	Number of scalefactor band (long block scalefactor band) from which point on window switching is used.
switch_point_s	Number of scalefactor band (short block scalefactor band) from which point on window switching is used.
uimsbf	Unsigned integer, most significant bit first.
vlclbf	Variable length code, left bit first, where "left" refers to the order in which the VLC codes are written.
window	Number of actual time slot in case of block_type==2, $0 \leq \text{window} \leq 2$.

The byte order of multi-byte words is most significant byte first.

2.2.7 Constants

π	3.14159265359...
e	2.71828182845...

2.3 Method of Describing Bit Stream Syntax

The bit stream retrieved by the decoder is described in Clause 2.4.2. Each data item in the bit stream is in bold type. It is described by its name, its length in bits, and a mnemonic for its type and order of transmission.

The action caused by a decoded data element in a bit stream depends on the value of that data element and on data elements previously decoded. The decoding of the data elements and definition of the state variables used in their decoding are described in Clause 2.4.3. The following constructs are used to express the conditions when data elements are present, and are in normal type:

Note this syntax uses the 'C'-code convention that a variable or expression evaluating to a non-zero value is equivalent to a condition that is true.

while (condition) {	If the condition is true, then the group of data elements occurs next in the data stream. This repeats until the condition is not true.
data_element	
...	
}	
do {	
data_element	The data element always occurs at least once.
...	
} while (condition)	The data element is repeated until the condition is not true.
if (condition) {	
data_element	If the condition is true, then the first group of data elements occurs next in the data stream.
...	
}	
else {	
data_element	If the condition is not true, then the second group of data elements occurs next in the data stream.
...	
}	

```

for ( i = 0; i < n; i++) { The group of data elements occurs n times. Conditional constructs
    data_element           within the group of data elements may depend on the value of the
    . . .                   loop control variable i, which is set to zero for the first occurrence,
}                         incremented to one for the second occurrence, and so forth.

```

As noted, the group of data elements may contain nested conditional constructs. For compactness, the {} are omitted when only one data element follows.

data_element [] data_element [] is an array of data. The number of data elements is indicated by the context.

data_element [n] data_element [n] is the n+1th element of an array of data.

data_element [m][n] data_element [m][n] is the m+1,n+1 th element of a two-dimensional array of data.

data_element [l][m][n] data_element [l][m][n] is the l+1,m+1,n+1 th element of a three-dimensional array of data.

data_element [m..n] is the inclusive range of bits between bit m and bit n in the data_element.

While the syntax is expressed in procedural terms, it should not be assumed that Clause 2.4.3 implements a satisfactory decoding procedure. In particular, it defines a correct and error-free input bitstream. Actual decoders must include a means to look for start codes in order to begin decoding correctly.

Definition of bytealigned function

The function bytealigned () returns 1 if the current position is on a byte boundary, that is the next bit in the bit stream is the first bit in a byte. Otherwise it returns 0.

Definition of nextbits function

The function nextbits () permits comparison of a bit string with the next bits to be decoded in the bit stream.

Definition of next_start_code function

The next_start_code function removes any zero bit and zero byte stuffing and locates the next start code.

	No. of bits	Identifier
next_start_code() { while (!bytealigned()) zero_bit while (nextbits() != '0000 0000 0000 0000 0000 0001') zero_byte }	1	"0"
	8	"00000000"

This function checks whether the current position is byte aligned. If it is not, zero stuffing bits are present. After that any number of zero bytes may be present before the start-code. Therefore start-codes are always byte aligned and may be preceded by any number of zero stuffing bits.

2.4 Requirements

2.4.1 Specification of the Coded Audio Bitstream Syntax

2.4.1.1 Audio Sequence

```
audio sequence()
{
    while (true)
    {
        frame()
    }
}
```

2.4.1.2 Audio Frame

```
frame()
{
    header()
    error_check()
    audio_data()
    ancillary_data()
}
```

2.4.1.3 Header

```
header()
{
    syncword           12 bits  bslbf
    ID                1   bit   bslbf
    layer              2   bits  bslbf
    protection_bit     1   bit   bslbf
    bitrate_index      4   bits  bslbf
    sampling_frequency 2   bits  bslbf
    padding_bit        1   bit   bslbf
    private_bit         1   bit   bslbf
    mode               2   bits  bslbf
    mode_extension     2   bits  bslbf
    copyright          1   bit   bslbf
    original/home       1   bit   bslbf
    emphasis            2   bits  bslbf
}
```

2.4.1.4 Error check

```
error_check()
{
    if  (protection_bit==0)
        crc_check           16 bits  rpchof
}
```

2.4.1.5

Audio data, Layer I

2.4.1.6

Audio data, Layer II

```

audio_data()
{
    if (mode==single_channel)
    {
        for (sb=0; sb<sblimit; sb++)
            allocation[sb]                                2..4 bits uimsbf
        for (sb=0; sb<sblimit; sb++)
            if (allocation[sb]!=0)
                scfsi[sb]                                2 bits bslbf
        for (sb=0; sb<sblimit; sb++)
            if (allocation[sb]!=0)
            {
                if (scfsi[sb]==0)
                    { scalefactor[sb][0]
                      scalefactor[sb][1]
                      scalefactor[sb][2] }
                if (scfsi[sb]==1) || (scfsi[sb]==3)
                    { scalefactor[sb][0]
                      scalefactor[sb][2] }
                if (scfsi[sb]==2)
                    scalefactor[sb][0]                         6 bits uimsbf
            }
        for (gr=0; gr<12; gr++)
            for (sb=0; sb<sblimit; sb++)
                if (allocation[sb]!=0)
                {
                    if (grouping[sb])
                        samplecode[sb][gr]                  5..10 bits uimsbf
                    else for (s=0; s<3; s++)
                        sample[sb][3*gr+s]                 2..16 bits uimsbf
                }
    }

    if (mode==stereo) || (mode==dual_channel)
    {
        for (sb=0; sb<sblimit; sb++)
            for (ch=0; ch<2; ch++)
                allocation[ch][sb]                      2..4 bits uimsbf
        for (sb=0; sb<sblimit; sb++)
            for (ch=0; ch<2; ch++)
                if (allocation[ch][sb]!=0)
                    scfsi[ch][sb]                          2 bits bslbf
            for (sb=0; sb<sblimit; sb++)
        for (ch=0; ch<2; ch++)
            if (allocation[ch][sb]!=0)
            {
                if (scfsi[ch][sb]==0)
                    { scalefactor[ch][sb][0]
                      scalefactor[ch][sb][1]
                      scalefactor[ch][sb][2] }
                if (scfsi[ch][sb]==1) || (scfsi[ch][sb]==3)
                    { scalefactor[ch][sb][0]
                      scalefactor[ch][sb][2] }
                if (scfsi[ch][sb]==2)
                    scalefactor[ch][sb][0]                 6 bits uimsbf
            }
    }
}

```

```

for (gr=0; gr<12; gr++)
  for (sb=0; sb<sblimit; sb++)
    for (ch=0; ch<2; ch++)
      if (allocation[ch][sb]!=0)
      {
        if (grouping[ch][sb])
          samplecode[ch][sb][gr]           5..10 bits uimsbf
        else for (s=0; s<3; s++)
          sample[ch][sb][3*gr+s]           2..16 bits uimsbf
      }
    }

if (mode==intensity_stereo)
{
  for (sb=0; sb<bound; sb++)
    for (ch=0; ch<2; ch++)
      allocation[ch][sb]               2..4 bits uimsbf
  for (sb=bound; sb<sblimit; sb++)
    allocation[sb]                   2..4 bits uimsbf
  for (sb=0; sb<bound; sb++)
    for (ch=0; ch<2; ch++)
      if (allocation[ch][sb]!=0)
        scfsi[ch][sb]                 2 bits bslbf
  for (sb=bound; sb<sblimit; sb++)
    for (ch=0; ch<2; ch++)
      if (allocation[sb]!=0)
        scfsi[ch][sb]                 2 bits bslbf
  for (sb=0; sb<bound; sb++)
    for (ch=0; ch<2; ch++)
      if (allocation[ch][sb]!=0)
      {
        if (scfsi[ch][sb]==0)
          { scalefactor[ch][sb][0]           6 bits uimsbf
            scalefactor[ch][sb][1]           6 bits uimsbf
            scalefactor[ch][sb][2] }         6 bits uimsbf
        if (scfsi[ch][sb]==1) || (scfsi[ch][sb]==3)
          { scalefactor[ch][sb][0]           6 bits uimsbf
            scalefactor[ch][sb][2] }         6 bits uimsbf
        if (scfsi[ch][sb]==2)
          scalefactor[ch][sb][0]           6 bits uimsbf
      }
  for (sb=bound; sb<sblimit; sb++)
    for (ch=0; ch<2; ch++)
      if (allocation[sb]!=0)
      {
        if (scfsi[ch][sb]==0)
          { scalefactor[ch][sb][0]           6 bits uimsbf
            scalefactor[ch][sb][1]           6 bits uimsbf
            scalefactor[ch][sb][2] }         6 bits uimsbf
        if (scfsi[ch][sb]==1) || (scfsi[ch][sb]==3)
          { scalefactor[ch][sb][0]           6 bits uimsbf
            scalefactor[ch][sb][2] }         6 bits uimsbf
        if (scfsi[ch][sb]==2)
          scalefactor[ch][sb][0]           6 bits uimsbf
      }
}

```

```

for (gr=0; gr<12; gr++)
{
    for (sb=0; sb<bound; sb++)
        for (ch=0; ch<2; ch++)
            if (allocation[ch][sb]!=0)
            {
                if (grouping[ch][sb])
                    samplecode[ch][sb][gr]           5..10 bits uimsbf
                else for (s=0; s<3; s++)
                    sample[ch][sb][3*gr+s]         2..16 bits uimsbf
            }
    for (sb=bound; sb<sblimit; sb++)
        if (allocation[sb]!=0)
        {
            if (grouping[sb])
                samplecode[sb][gr]           5..10 bits uimsbf
            else for (s=0; s<3; s++)
                sample[sb][3*gr+s]          2..16 bits uimsbf
        }
    }
}

```

2.4.1.7

Audio data, Layer III

```

audio_data()
{
    if (mode == single_channel)
    {
        main_data_end                                9 bits uimsbf
        private_bits                                 5 bits bslbf
        for (scfsi_band=0; scfsi_band<4; scfsi_band++)
            scfsi[scfsi_band]                         1 bits bslbf
        for (gr=0; gr<2; gr++)
        {
            part2_3_length[gr]                      12 bits uimsbf
            big_values[gr]                           9 bits uimsbf
            global_gain[gr]                          8 bits uimsbf
            scalefac_compress[gr]                   4 bits bslbf
            blocksplit_flag[gr]                     1 bit  bslbf
            if (blocksplit_flag[gr])
            {
                block_type[gr]                      2 bits bslbf
                switch_point[gr]                   1 bits uimsbf
                for (region=0; region<2; region++)
                    table_select[region][gr]       5 bits bslbf
                for (window=0; window<3; window++)
                    subblock_gain[window][gr]     3 bits uimsbf
            }
        else
        {
            for (region=0; region<3; region++)
                table_select[region][gr]       5 bits bslbf
            region_address1[gr]                 4 bits bslbf
            region_address2[gr]                 3 bits bslbf
        }
        preflag[gr]                               1 bit  bslbf
        scalefac_scale[gr]                        1 bit  bslbf
        count1table_select[gr]                   1 bit  bslbf
    }
}

```

/***

The main_data follows. It does not follow the above side information in the bitstream. The main_data ends at a location in the main_data bitstream preceding the frame header of the following frame at an offset given by the value of main_data_end (see definition of main_data_end and 3-Annex Figure 3-A.7.1)

***/

```

for (gr=0; gr<2; gr++)
    if ((blocksplit_flag[gr] == 1) && (block_type[gr] == 2))
    {
        for (cb=0; cb<switch_point_l[gr]; cb++)
            if (scfsi[scfsi_band[cb]]==0) || (gr==0)
                scalefac[cb][gr]                  0..4 bits uimsbf
        for (cb=switch_point_s[gr]; cb<12; cb++)
            for (window=0; window<3; window++)
                if (scfsi[scfsi_band[cb]]==0) || (gr==0)
                    scalefac[cb][window][gr]    0..4 bits uimsbf
    }
else
    for (cb=0; cb<21; cb++)

```

```

|     if ((scfsi[scfsi_band[cb]]==0) || (gr==0))
|         scalefac[cb][gr]                                0..4 bits uimsbf
|     Huffmancodebits          (part2_3_length-part2_length) bits bslbf
|     while (position != main_data_end)
|     {
|         ancillary_bit                               1 bit bslbf
|     }

}

|if ((mode==stereo) || (mode==dual_channel) || (mode==ms_stereo))
{
    main_data_end                                     9 bits uimsbf
    private_bits                                      3 bits bslbf
    for (ch=0; ch<2; ch++)
        for (scfsi_band=0; scfsi_band<4; scfsi_band++)
            scfsi[scfsi_band][ch]                      1 bits bslbf
    for (gr=0; gr<2; gr++)
        for (ch=0; ch<2; ch++)
            part2_3_length[gr][ch]                     12 bits uimsbf
            big_values[gr][ch]                          9 bits uimsbf
            global_gain[gr][ch]                         8 bits uimsbf
            scalefac_compress[gr][ch]                   4 bits bslbf
            blocksplit_flag[gr][ch]                     1 bit bslbf
            if (blocksplit_flag[gr][ch])
            {
                block_type[gr][ch]                      2 bits bslbf
                switch_point[gr][ch]                     1 bits uimsbf
                for (region=0; region<2; region++)
                    table_select[region][gr][ch]       5 bits bslbf
                for (window=0; window<3; window++)
                    subblock_gain[window][gr][ch]      3 bits uimsbf
            }
            else
            {
                for (region=0; region<3; region++)
                    table_select[region][gr][ch]       5 bits bslbf
                    region_address1[gr][ch]           4 bits bslbf
                    region_address2[gr][ch]           3 bits bslbf
            }
            preflag[gr][ch]                           1 bit bslbf
            scalefac_scale[gr][ch]                   1 bit bslbf
            count1table_select[gr][ch]               1 bit bslbf

    /**
     * The main_data follows. It does not follow the above side information in
     * the bitstream. The main_data ends at a location in the main_data
     * bitstream preceding the frame header of the following frame at an offset
     * given by the value of main_data_end.
     */
    for (gr=0; gr<2; gr++)
        for (ch=0; ch<2; ch++) {
            if ((blocksplit_flag[gr][ch] == 1) && (block_type[gr][ch] == 2))
            {
                for (cb=0; cb<switch_point_l[gr][ch]; cb++)
                    if ((scfsi[scfsi_band[cb]][ch]==0) || (gr==0))
                        scalefac[cb][gr][ch]                  0..4 bits uimsbf
                for (cb=switch_point_s[gr][ch]; cb<12; cb++)

```

```

    for (window=0; window<3; window++)
        if ((scfsi[scfsi_band[cb]][ch]==0) || (gr==0))
            scalefac[cb][window][gr][ch]           0..4 bits uimsbf
    }
else
    for (cb=0; cb<21; cb++)
        if ((scfsi[scfsi_band[cb]][ch]==0) || (gr==0))
            scalefac[cb][gr][ch]                 0..4 bits uimsbf
HuffmanCodebits      (part2_3_length-part2_length) bits bslbf
while (position != main_data_end)
{
    ancillary_bit                      1 bit bslbf
}
}
}
}

```

2.4.1.8 Ancillary data

```

if ((layer == 1) || (layer == 2))
{
    ancillary_data()
    {
        while (nextbits() != syncword)
        {
            ancillary_bit                  1 bit bslbf
        }
    }
}

```

2.4.2 Semantics for the Audio Bitstream Syntax

2.4.2.1 Audio Sequence General

frame - Layer I and Layer II: Part of the bitstream that is decodable by itself. In Layer I it contains information for 384 samples and in Layer II for 1152 samples. It starts with a syncword, and ends just before the next syncword. It consists of an integer number of slots (four bytes in Layer I, one byte in Layer II).

- Layer III: Part of the bitstream that is decodable with the use of previously acquired side and main information. In Layer III it contains information for 1152 samples. Although the distance between the start of consecutive syncwords is an integer number of slots (one byte in Layer III), the audio information belonging to one frame is generally not contained between two successive syncwords.

2.4.2.2 Audio Frame

header - part of the bitstream containing synchronization and state information.

error_check - part of the bitstream containing information for error detection.

audio_data - part of the bitstream containing information on the audio samples.

ancillary_data - part of the bitstream that may be used for ancillary data.

2.4.2.3 Header

The first 32 bits (four bytes) are header information which is common to all layers.

syncword - the bit string '1111 1111 1111'.

ID - one bit to indicate the ID of the algorithm. Equals '1' for MPEG audio, '0' is reserved.

Layer - 2 bits to indicate which layer is used, according to the following Table.

"11"	Layer I
"10"	Layer II
"01"	Layer III
"00"	reserved

To change the layer, a reset of the audio decoder may be required.

protection_bit - one bit to indicate whether redundancy has been added in the audio bitstream to facilitate error detection and concealment. Equals '1' if no redundancy has been added, '0' if redundancy has been added.

bitrate_index - indicates the bitrate. The all zero value indicates the 'free format' condition, in which a fixed bitrate which does not need to be in the list can be used. Fixed means that a frame contains either N or N+1 slots, depending on the value of the padding bit. The bitrate_index is an index to a table, which is different for the different Layers.

The bitrate_index indicates the total bitrate irrespective of the mode (stereo, joint_stereo, dual_channel, single_channel).

bitrate

bitrate_index	Layer I		Layer II		Layer III	
'0000'	free	format	free	format	free	format
'0001'	32	kbit/s	32	kbit/s	32	kbit/s
'0010'	64	kbit/s	48	kbit/s	40	kbit/s
'0011'	96	kbit/s	56	kbit/s	48	kbit/s
'0100'	128	kbit/s	64	kbit/s	56	kbit/s
'0101'	160	kbit/s	80	kbit/s	64	kbit/s
'0110'	192	kbit/s	96	kbit/s	80	kbit/s
'0111'	224	kbit/s	112	kbit/s	96	kbit/s
'1000'	256	kbit/s	128	kbit/s	112	kbit/s
'1001'	288	kbit/s	160	kbit/s	128	kbit/s
'1010'	320	kbit/s	192	kbit/s	160	kbit/s
'1011'	352	kbit/s	224	kbit/s	192	kbit/s
'1100'	384	kbit/s	256	kbit/s	224	kbit/s
'1101'	416	kbit/s	320	kbit/s	256	kbit/s
'1110'	448	kbit/s	384	kbit/s	320	kbit/s
'1111'	forbidden		forbidden		forbidden	

In order to provide the smallest possible delay and complexity, the decoder is not required to support a continuously variable bitrate when in Layer I or II. Layer III supports variable bitrate by switching the bitrate_index. However, in free format, fixed bitrate is required. The decoder is also not required to support bitrates higher than 448 kbit/s, 384 kbit/s, 320 kbit/s in respect to Layer I, II and III when in free format mode.

For Layer II, not all combinations of total bitrate and mode are allowed. See the following Table.

free format	all modes
32 kbit/s	single_channel
48 kbit/s	single_channel
56 kbit/s	single_channel.
64 kbit/s	all modes
80 kbit/s	single_channel
96 kbit/s	all modes
112 kbit/s	all modes
128 kbit/s	all modes
160 kbit/s	all modes
192 kbit/s	all modes
224 kbit/s	stereo, intensity stereo, dual channel
256 kbit/s	stereo, intensity stereo, dual channel
320 kbit/s	stereo, intensity stereo, dual channel
384 kbit/s	stereo, intensity stereo, dual channel

sampling_frequency - indicates the sampling frequency, according to the following Table.

'00'	44.1	kHz
'01'	48	kHz
'10'	32	kHz
'11'	reserved	

A reset of the audio decoder may be required to change the sampling rate.

padding_bit - if this bit equals '1' the frame contains an additional slot to adjust the mean bitrate to the sampling frequency, otherwise this bit will be '0'. Padding is only necessary with a sampling frequency of 44.1 kHz.

The padding should be applied to the bitstream such that the accumulated length of the coded frames, after a certain number of audio frames does not deviate more than (+0, -1 slot) from the following computed value:

accumulated_frame_length = # frame * frame_size * bitrate / sampling_frequency,

where # frame = the number of coded audio frames
 frame_size = 384 for Layer I,
 1152 for Layer II or III.

The following method can be used to determine whether or not to use padding:

for 1st audio frame:

```
rest = 0;  
if (Layer == 1) dif = (12 * bitrate) % sampling_frequency;  
else dif = (144 * bitrate) % sampling_frequency;  
padding = no;
```

for each subsequent audio frame:

```
rest = rest - dif;  
if (rest < 0) {  
    padding = yes;  
    rest = rest + sampling_frequency;  
}  
else padding = no;
```

private_bit - bit for private use. This bit will not be used in the future by ISO.

mode - Indicates the mode according to the following Table. In Layer I and II the joint_stereo mode is intensity_stereo, in Layer III it is intensity_stereo and/or ms_stereo.

'00'	stereo
'01'	joint_stereo (intensity_stereo and/or ms_stereo)
'10'	dual_channel
'11'	single_channel

mode_extension - these bits are used in joint_stereo mode. In Layer I and II they indicate which subbands are in intensity_stereo. All other subbands are coded in stereo.

'00'	subbands 4-31 in intensity_stereo, bound==4
'01'	subbands 8-31 in intensity_stereo, bound==8
'10'	subbands 12-31 in intensity_stereo, bound==12
'11'	subbands 16-31 in intensity_stereo, bound==16

In Layer III they indicate which type of joint stereo coding method is applied. The frequency ranges over which the intensity_stereo and ms_stereo modes are applied are implicit in the algorithm. For more information see 2.4.3.4.

	intensity_stereo	ms_stereo
'00'	off	off
'01'	on	off
'10'	off	on
'11'	on	on

copyright - if this bit equals '0' there is no copyright on the MPEG/Audio bitstream, '1' means copyright protected.

original/home - this bit equals '0' if the bitstream is a copy, '1' if it is an original

emphasis - indicates the type of de-emphasis that shall be used.

'00'	no emphasis
'01'	50/15 microsec. emphasis
'10'	reserved
'11'	CCITT J.17

2.4.2.4 Error check

crc_check - a 16 bit parity-check word is used for optional error detection within the encoded bitstream.

2.4.2.5 Audio data, Layer I

allocation[sb] - indicates the number of bits used to code the samples in subband sb. Valid for single_channel subbands and for subbands in intensity_stereo mode. In the latter case the allocation is valid for both channels.

CODE	BITS
'0000'	0
'0001'	2
'0010'	3
'0011'	4
'0100'	5
'0101'	6
'0110'	7
'0111'	8
'1000'	9
'1001'	10
'1010'	11
'1011'	12
'1100'	13
'1101'	14
'1110'	15
'1111'	invalid

Note: For code '0000' no samples are transferred.

allocation[ch][sb] - same as allocation[sb] but now for the channel ch in stereo or dual_channel mode.

scalefactor[sb] - indicates the factor of subband sb by which the requantized samples of subband sb shall be multiplied. The six bits constitute an unsigned integer, index to 3-Annex B, Table 3-B.1 "LAYER I, II SCALEFACTORS". Valid for single_channel mode.

scalefactor[ch][sb] - same as scalefactor[sb] but now for one of the channels in stereo, intensity_stereo, or dual_channel mode.

sample[sb][s] - coded representation of the s-th sample in subband sb. Valid for single_channel subbands and for subbands in intensity_stereo mode. In the latter case the value is valid for both channels.

sample[ch][sb][s] - same as sample[sb][s] but for the channel ch in stereo or dual_channel mode.

2.4.2.6 Audio data, Layer II

allocation[sb] - contains information concerning the quantizers used for the samples in subband sb, whether the information on three consecutive samples has been grouped to one code, and on the number of bits used to code the samples. The meaning and length of this field depends on the number of the subband, the bitrate, and the sampling frequency. The bits in this field form an unsigned integer used as an index to the relevant table in 3-Annex B, Table 3-B.2 "LAYER II BIT ALLOCATION TABLES", which gives the number of levels used for quantization. This is valid for single_channel subbands or for subbands in intensity_stereo mode. In the latter case the allocation is valid for both channels.

allocation[ch] [sb] - same as allocation[sb] but now for channel ch in stereo or dual_channel mode.

scfsi[sb] - scalefactor selection information. This gives information on the number of scalefactors transferred for subband sb and for which parts of the signal in this frame they are valid. The frame is divided into three equal parts of 12 subband samples each per subband.

- '00' three scalefactors transmitted, for parts 0,1,2 respectively.
- '01' two scalefactors transmitted, first one valid for parts 0 and 1, second one for part 2.
- '10' one scalefactor transmitted, valid for all three parts.
- '11' two scalefactors transmitted, first one valid for part 0, the second one for parts 1 and 2.

Valid in single_channel mode.

scfsi[ch] [sb] - same as scfsi[sb] but now for the channel ch in stereo, intensity_stereo, or dual_channel mode.

scalefactor[sb] [p] - indicates the factor by which the requantized samples of subband sb and of part p of the frame should be multiplied. The six bits constitute an unsigned integer, index to 3-Annex B, Table 3-B.1 "LAYER I, II SCALEFACTORS". Valid in single_channel mode.

scalefactor[ch] [sb] [p] - same as scalefactor[sb][p] but now for one of the channels ch in stereo, intensity_stereo, or dual_channel mode.

samplecode[sb] [gr] - coded representation of the three consecutive samples in the granule gr in subband sb. Valid for single_channel subbands and for subbands in intensity_stereo mode. In the latter case, the code is valid for both channels.

samplecode[ch] [sb] [gr] - same as samplecode[sb][gr] but now for channel ch in stereo or dual_channel mode.

sample[sb] [s] - coded representation of the s-th sample in subband sb. Valid for single_channel subbands and for subbands in intensity_stereo mode. In the latter case the value is valid for both channels.

sample[ch] [sb] [s] - same as sample[sb][s] but now for the channel ch in stereo or dual_channel mode.

2.4.2.7 Audio data, Layer III

main_data_end - The value of main_data_end is used to determine the location in the bitstream of the last bit of main_data for the frame. The main_data_end value specifies the location as a negative offset in bytes from the next frame's frame header location in the main_data portion of the bitstream. This is explained in 3-Annex Figure 3- A.7.1.

private_bits - bits for private use. These bits will not be used in the future by ISO.

scfsi[scfsi_band] - in Layer III the scalefactor selection information works similarly to Layers I and II. The main difference is the use of the variable scfsi_band to apply scfsi to groups of scalefactors instead of single scalefactors. scfsi controls the use of scalefactors to the granules.

- '0' scalefactors are transmitted for each granule
- '1' scalefactors transmitted for granule 0 are also valid for granule 1

If short windows are switched on, i.e. block_type==2 for one of the granules, then scfsi is always 0 for this frame.

scfsi_band controls the use of the scalefactor selection information for groups of scalefactors (scfsi_bands).

scfsi_band scalefactor bands (see 3-Annex B, Table 3-B.8)

0	0, 1, 2, 3, 4, 5,
1	6, 7, 8, 9, 10,
2	11 ... 15
3	16 ... 20

scfsi[scfsi_band][ch] - same as scfsi[scfsi_band] but for use in stereo, joint_stereo or dual_channel mode

part2_3_length[gr] - this value contains the number of main_data bits used for scalefactors and Huffman code data. Because the length of the side information is always the same, this value can be used to calculate the beginning of the main information for each granule and the position of ancillary information (if used).

part2_3_length[gr][ch] - same as part2_3_length[gr] but for use in stereo, joint_stereo or dual_channel mode

big_values[gr] - the spectral values of each granule are coded with different Huffman code tables. The full frequency range from zero to the Nyquist frequency is divided into several regions, which then are coded using different tables. Partitioning is done according to the maximum quantized values. This is done with the assumption that values at higher frequencies are expected to have lower amplitudes or don't need to be coded at all. Starting at high frequencies, the pairs of quantized values equal to zero are counted. This number is named "rzero". Then, quadruples of quantized values with absolute value not exceeding 1 (i.e. only 3 possible quantization levels) are counted. This number is named "count1". Again an even number of values remains. Finally, the number of pairs of values in the region of the spectrum which extends down to zero is named "big_values". The maximum absolute value in this range is constrained to 8191.

The Figure shows the partitioning:

big_values[gr][ch] - same as `big_values[gr]` but for use in stereo, joint_stereo or dual channel mode

global_gain[gr] - the quantizer step size information is transmitted in the side information variable global_gain. It is logarithmically quantized. For the application of global_gain, refer to the formula in 2.4.3.4, "Formula for requantization and all scaling".

global_gain[gr][ch] - same as global_gain[gr] but for use in stereo, joint_stereo or dual_channel mode

scalefac_compress[gr] - selects the number of bits used for the transmission of the scalefactors according to the following Table:

if block_type is 0, 1, or 3:

slen1: length of scalefactors for the scalefactor bands 0 to 10
slen2: length of scalefactors for the scalefactor bands 11 to 20

if block type is 2 and switch point is 0:

slen1: length of scalefactors for the scalefactor bands 0 to 5
slen2: length of scalefactors for the scalefactor bands 6 to 11

if block type is 2 and switch point is 1:

slen1: length of scalefactors for the scalefactor bands 0 to 7 (long window scalefactor band) and 3 to 5 (short window scalefactor band) Note: Scalefactor bands 0-7 are from the "long window scalefactor band" Table, and scalefactor bands 3-11 from the "short window scalefactor band" Table. This combination of partitions is contiguous and spans the entire frequency spectrum.
 slen2: length of scalefactors for the scalefactor bands 6 to 11

scalefac_compress	slen1	slen2
0	0	0
1	0	1
2	0	2
3	0	3
4	3	0
5	1	1
6	1	2
7	1	3
8	2	1
9	2	2
10	2	3
11	3	1
12	3	2
13	3	3
14	4	2
15	4	3

scalefac_compress[gr][ch] - same as scalefac_compress[gr] but for use in stereo, joint_stereo or dual_channel mode

blocksplit_flag[gr] - signals that the block uses an other than normal (type 0) window.

If blocksplit_flag is set, several other variables are set by default:

region_address1 = 8 (in case of block_type==1 or block_type==3)

region_address1 = 9 (in case of block_type==2)

region_address2 = 0 In this case the length of region 2 is zero.

If blocksplit_flag is not set, then the value of block_type is zero.

blocksplit_flag[gr][ch] - same as blocksplit_flag[gr] but for use in stereo, joint_stereo or dual_channel mode

block_type[gr] - indicates the window type for the actual granule (see description of the filterbank, Layer III).

type 0	reserved
type 1	start block
type 2	3 short windows
type 3	end block

Block_type and switch_point give the information about assembling of values in the block and about length and count of the transforms (see 3-Annex A, Figure 3-A.4 for a schematic, 3-Annex C for an analytic description). In the case of block_type=2 the switch_point indicates whether some polyphase filter subbands are coded using long transforms even in case of block_type 2. The polyphase filterbank is described in the Clause 2.4.3.2 Layer I.

- In the case of long blocks (block_type not equal to 2 or in the lower subbands of block_type 2) the IMDCT generates an output of 36 values every 18 input values. The output is windowed depending on the block_type and the first half is overlapped with the second half of the block before. The resulting vector is the input of the synthesis part of the polyphase filterbank of one band.

- In the case of short blocks (in the upper subbands of a type 2 block) three transforms are performed producing 12 output values each. The three vectors are windowed and overlapped each. Concatenating 6

zeros on both ends of the resulting vector gives a vector of length 36, which is processed like the output of a long transform.

block_type[gr][ch] - same as block_type[gr] but for use in stereo, joint_stereo or dual_channel mode

switch_point[gr] - signals the split point of short/long transforms. The following Table shows the number of the scalefactor band above which window switching (i.e. block_type different from 0) is used.

switch_point	switch_point_l (No of sb)	switch_point_s (No of sb)	
'0'	0	0	; switching of the whole spectrum
'1'	8	3	; switching of higher frequencies only

switch_point[gr][ch] - same as switch_point[gr] but for use in stereo, joint_stereo or dual_channel mode

table_select[region][gr] - different Huffman code tables are used depending on the maximum quantized value and the local statistics of the signal. There are a total of 32 possible tables given in 3-Annex B Table 3-B.7.

table_select[region][gr][ch] - same as table_select[region][gr] but for use in stereo, joint_stereo or dual_channel mode

subblock_gain>window][gr] - indicates the gain offset (quantization: factor 4) from the global gain for one subblock. Used only with block type 2 (short windows). The values of the subblock have to be divided by $4^{(\text{subblock_gain}(\text{window}))}$ in the decoder.

subblock_gain>window][gr][ch] - same as subblock_gain>window][gr] but for use in stereo, joint_stereo or dual_channel mode

region_address1[gr] - a further partitioning of the spectrum is used to enhance the performance of the Huffman coder. It is a subdivision of the region which is described by big_values. The purpose of this subdivision is to get better error robustness and better coding efficiency. Three regions are used. Each region is coded using a different Huffman code table depending on the maximum quantized value and the local signal statistics.

The values region_address[1,2] are used to point to the boundaries of the regions. The region boundaries are aligned with the partitioning of the spectrum into critical bands.

In case of block_type==2 (short blocks) the scalefactor bands representing the different time slots are counted separately. If switch_point==0, the total amount of scalefactor bands for the granule in this case is $12*3=36$. If block_type==2 and switch_point==1, the amount of scalefactor bands is $8+9*3=35$.

region_address1 counts the number of scalefactor bands until the upper edge of the first region:

region_address1	upper edge of region is upper edge of scalefactor band number:
0	0 (no first region)
1	1
2	2
...	...
15	15

region_address1[gr][ch] - same as region_address1[gr] but for use in stereo, joint_stereo or dual_channel mode

region_address2[gr] - region_address2 counts the number of scalefactor bands which are partially or totally in region 3. Again if block_type==2 the scalefactor bands representing different time slots are counted separately.

region_address2[gr][ch] - same as region_address2[gr] but for use in stereo, joint_stereo or dual_channel mode

preflag[gr] - this is a shortcut for additional high frequency amplification of the quantized values. If preflag is set, the values of a table are added to the scalefactors (see 3-Annex B, Table 3-B.6). This is equivalent to multiplication of the requantized scalefactors with table values. preflag is never used if block_type==2 (short blocks).

preflag[gr][ch] - same as preflag[gr] but for use in stereo, joint_stereo or dual_channel mode

scalefac_scale[gr] - the scalefactors are logarithmically quantized with a step size of 2 or ($\sqrt{2}$) depending on scalefac_scale.

scalefac_scale = 0	stepsize sqrt(2)
scalefac_scale = 1	stepsize 2

scalefac_scale[gr][ch] - same as scalefac_scale[gr] but for use in stereo, joint_stereo or dual_channel mode

count1table_select[gr] - this flag selects one of two possible Huffman code tables for the region of quadruples of quantized values with magnitude not exceeding 1.

count1table_select = 0	Table A of 3-Annex B.7
count1table_select = 1	Table B of 3-Annex B.7

count1table_select[gr][ch] - same as count1table_select[gr] but for use in stereo, joint_stereo or dual_channel mode

scalefac[cb][gr] - the scalefactors are used to colour the quantization noise. If the quantization noise is colored with the right shape, it is masked completely. Unlike Layers I and II, the Layer III scalefactors say nothing about the local maximum of the quantized signal. In Layer III, scalefactors are used in the decoder to get division factors for blocks of values. In the case of Layer III, the blocks stretch over several frequency lines. These blocks are called scalefactor bands and are selected to resemble critical bands as close as possible.

The scalefac_compress Table shows that the scalefactors 0...10 have a range of 0 to 15 (maximum length 4 bits) and the scalefactors 11...21 have a range of 0 to 7 (maximum length 3 bits).

If intensity_stereo is enabled (modebit_extension) the scalefactors of the "zero_part" of the difference (right) channel are used as intensity_stereo positions (see Clause 2.4.3.4, MS_stereo mode).

The subdivision of the spectrum into scalefactor bands is fixed for every block length and sampling frequency and stored in tables in the coder and decoder (see 3-Annex Table 3-B.8).

The scalefactors are logarithmically quantized. The quantization step is set with scalefac_scale.

scalefac[cb][window][gr] - same as scalefac[cb][gr] but for different windows if block_type==2

scalefac[cb][gr][ch] - same as scalefac[ch][gr] but for use in stereo, joint_stereo or dual_channel mode

scalefac[cb][window][gr] - same as scalefac[cb][window][gr] but for use in stereo, joint_stereo or dual_channel mode

Huffman_code_bits

To get a clear picture of the Huffman code syntax some pseudo-functions and structures have to be defined:

All quantized values of absolute value 15 and less are directly coded using a Huffman code. Always pairs of values (x,y) are coded. If quantized values of magnitude greater than 15 are found, ESC-codes are used to flag these values. If one or both values of a pair is not zero, one or two sign bits are appended to the Huffman code word.

hcod[x][y]	is the Huffman code table entry for values x,y
hlen[x][y]	is the Huffman length table entry for values x,y
max_table_entry	is the maximum table entry index. This is a system constant (15, maximum number of entries in a single table is 256)
signx	sign of the 1st. value (0 if positive, 1 if negative)
signy	sign of the 2nd. value (0 if positive, 1 if negative)
struct coded_word {	
codeword	hcod[x][y], length is hlen[x][y]
linbitsx	If (x=max_table_entry) this constitutes an ESC-code. In this case the length of this field is linbits, else zero. The unsigned integer contained in this field is added to max_table_entry -1 to establish the absolute value of the encoded data.
signx	sign of x (transmitted only if x not equal 0)
linbitsy	See linbitsx.
signy	sign of y (transmitted only if y not equal 0)
}	

The ESCaped codes linbitsx or linbitsy are only used if a value greater or equal to the table maximum is actually flagged. They are never used if the selected table is one for blocks with a maximum quantized value equal or less than max_table_entry. The sign bits are transmitted only if the value of x with respect to y is different from zero.

For the higher end of the spectrum quadruples of values are coded using one of two special tables. Again magnitude values are coded using a Huffman code. One of the two codes is not really a 4-dimensional code because it is constructed from the trivial code: 0 is coded with a 1 (no sign bit needed), 1 is coded with a 0 (sign bit added). In both cases the Huffman code is assembled as follows:

struct quad_word {	
codeword	hcod[v][w][x][y], hlen[v][w][x][y]
signv	only if v not equal 0
signw	only if w not equal 0
signx	only if x not equal 0
signy	only if y not equal 0
}	

At the high end of the spectrum the number of pairs of zeroes is simply counted. As this value is implicitly known when the other values have been decoded, it is not transmitted.

Ordering of Huffman encoded data:

If the block_type is 0, 1 or 3 the Huffman encoded data are ordered in terms of increasing frequency.

If the block_type is 2 (short blocks) then the Huffman encoded data are ordered in a pattern similar to that of the scalefactor values (see Clause 2.4.2.7):

The Huffman encoded data are given for successive scalefactor bands, beginning with scalefactor band 0 and ending with scalefactor band 11. Within each scalefactor band, the data is given for successive time windows, beginning with window 0 and ending with window 2. The data values within each window are arranged in order of increasing frequency.

2.4.2.8 Ancillary data

Ancillary_bit - user definable

2.4.3 The Audio Decoding Process

2.4.3.1 General

The first action is synchronization of the decoder to the incoming bitstream. Just after startup this may be done by searching in the bitstream for the 12 bit syncword. In some applications the ID, layer, and protection status are already known to the decoder, and thus the first 16 bits of the header should be regarded as a 16 bit syncword, thereby allowing a more reliable synchronization. The position of consecutive syncwords can be calculated from the information provided by the seven bits just after the syncword : the bitstream is subdivided in slots. The distance between the start of two consecutive syncwords is constant and equals "N" slots. The value of "N" depends on the Layer.

For Layer I the following equation is valid:

$$N = 12 * \text{bitrate} / \text{sampling_frequency}.$$

For Layers II and III the equation becomes:

$$N = 144 * \text{bitrate} / \text{sampling_frequency}.$$

If this calculation does not give an integer number the result is truncated and 'padding' is required. In this case the number of slots in a frame will vary between N and N+1. The padding bit is set to '0' if the number of slots equals N, and to '1' otherwise. This knowledge of the position of consecutive syncwords greatly facilitates synchronization.

If the bitrate index equals '0000', the exact bitrate is not indicated. N can be determined from the distance between consecutive syncwords and the value of the padding bit.

The mode bits in the bitstream shall be read and if their value is '01' the mode_extension bits shall also be read. The mode_extension bits set the 'bound' as shown in Clause 2.4.2.3 and thus indicate which subbands are coded in joint_stereo mode.

If the protection bit in the header equals '0', a CRC-check word has been inserted in the bitstream just after the header. The error detection method used is 'CRC-16' whose generator polynomial is:

$$G(X) = X^{16} + X^{15} + X^2 + 1$$

The bits included into the CRC-check are:

- 16 bits of header(), starting with bitrate_index and ending with emphasis
- a number of bits of audio_data(), starting with the first bit. The bits included are given by 3-Annex B, Table 3-B.5 "NUMBER OF PROTECTED AUDIO_DATA BITS".

The method is depicted in 3-Annex A, Figure 3-A.9 "CRC-CHECK DIAGRAM". The initial state of the shift register is '1111 1111 1111 1111'. Then all the bits included into the CRC-check are input to the circuit shown in 3-Annex A, Figure 3-A.9 "CRC-CHECK DIAGRAM". The outputs b₁₅...b₀ constitute a word to be compared with the CRC-check word in the bitstream. If the words are not identical, a transmission error has occurred in the protected field of the bitstream. To avoid annoying distortions, application of a concealment technique, such as muting of the actual frame or repetition of the previous frame, is recommended.

2.4.3.2 Layer I

After the part of the decoding which is common to all layers (see Clause 2.4.3.1) the bit allocation information has to be read for all subbands, and the scalefactors read for all subbands with a nonzero bit allocation. The decoder flowchart is given in 3-Annex A, Figure 3-A.1 "LAYER I AND II DECODER FLOW CHART".

Requantization of subband samples

From the bit allocation the number of bits nb that has to be read for the samples in each subband is known. The order of the samples is given in Clause 2.4.1.5 for each mode. After the bits for one sample have been gathered from the bitstream, the first bit has to be inverted. The resulting number can be considered as a two's complement fractional number, where the MSB represents the value -1. The requantized value can be obtained by applying a linear formula :

$$s'' = \frac{2^{nb}}{2^{nb} - 1} * (s''' + 2^{-nb+1})$$

where: s''' is the fractional number,
 s'' the requantized value,
 nb the number of bits allocated to samples in the subband.

Samples in subbands which are in intensity_stereo mode must be copied to both channels. The requantized value has to be rescaled. The multiplication factor can be found in the 3-Annex B, Table 3-B.1 "LAYER I, II SCALEFACTORS". The rescaled value s' is calculated as :

$$s' = \text{factor} * s''.$$

Synthesis subband filter

If a subband has no bits allocated to it, the samples in that subband are set to zero. Each time the subband samples for all 32 subbands of one channel have been calculated, they can be applied to the synthesis subband filter and 32 consecutive audio samples can be calculated. The actions in flow diagram 3-Annex A, Figure 3-A.2 "SYNTHESIS SUBBAND FILTER FLOW CHART" show the reconstruction operation. The coefficients N_{ik} for the matrixing operation are given by

$$N_{ik} = \cos[(16 + i)(2k + 1)\pi/64], \quad \text{for } i = 0 \text{ to } 63, \text{ and } k = 0 \text{ to } 31.$$

The coefficients D_i for the windowing operation can be found in 3-Annex B, Table 3-B.3 "COEFFICIENTS D_i OF THE SYNTHESIS WINDOW". The coefficients have been derived by numerical optimization. One frame contains $12 * 32 = 384$ subband samples, which result, after filtering, in 384 audio samples.

2.4.3.3 Layer II

Layer II is a more efficient but more complex coding scheme than Layer I. The flowchart in 3-Annex A, Figure 3-A.1 "LAYER I AND II DECODER FLOW CHART" applies to both Layers I and II. The first step is to perform the decoding which is common to all three layers (see Clause 2.4.3.1).

Bit allocation decoding

For different combinations of bitrate and sampling frequency different bit allocation tables exist (3-Annex B, Table 3-B.2 "LAYER II BIT ALLOCATION TABLES"). The decoding of the bit allocation table is done in a three-step approach. The first step consists of reading 'nbal' (2,3, or 4) bits of information for one subband from the bitstream. The value of 'nbal' is given in the second column of the relevant 3-Annex B, Table 3-B.2 "LAYER II BIT ALLOCATION TABLES". These bits shall be interpreted as an unsigned integer number. The second step uses this number and the number of the subband as indices to point to a value in the table. This value represents the number of levels 'nlevels' used to quantize the samples in the subband. As a third step, using 3-Annex B, Table 3-B.4 "LAYER II CLASSES OF QUANTIZATION", the number of bits used to code the quantized samples, the requantization coefficients, and whether the codes for three consecutive subband samples have been grouped to one code can be determined. It can be seen from the bit allocation tables that some of the highest subbands will never have bits allocated. The number of the lowest subband that will not have bits allocated to it is assigned to the identifier 'sblimit'.

Scalefactor selection information decoding

The 36 samples in one subband within a frame are divided in three equal parts of 12 subband samples. Each part can have its own scalefactor. The number of scalefactors that has to be read from the bitstream depends on scfsi[sb]. The scalefactor selection information scfsi[sb] is read from the bitstream for the subbands that

have a nonzero bit allocation. If scfsi[sb] equals '00' three scalefactors are transmitted, for parts 0,1,2 respectively. If scfsi[sb] equals '01' two scalefactors are transmitted, the first one valid for parts 0 and 1, the second one for part 2. If scfsi[sb] equals '10' one scalefactor is transmitted, valid for all three parts. If scfsi[sb] equals '11' two scalefactors are transmitted, the first one valid for part 0, the second one for parts 1 and 2.

Scalefactor decoding

For every subband with a nonzero bit allocation the coded scalefactor for that subband are read from the bitstream. The number of coded scalefactors and the part of the subband samples they refer to is defined by scfsi[sb]. The 6 bits of a coded scalefactor should be interpreted as an unsigned integer index to 3-Annex B, Table 3-B.1 "LAYER I, II SCALEFACTORS". This table gives the scalefactor by which the relevant subband samples should be multiplied after requantization.

Requantization of subband samples

Next the coded samples are read. As can be seen from Clause 2.4.1.6, the coded samples appear as triplets, the code contains three consecutive samples at a time. From 3-Annex B, Table 3-B.4 "LAYER II CLASSES OF QUANTIZATION" it is known how many bits have to be read for one triplet from the bitstream for each subband. Also from 3-Annex B, Table 3-B.4 "LAYER II CLASSES OF QUANTIZATION", it is known whether this code consists of three consecutive separable codes for each sample or of one combined code for the three samples (grouping). In the last case degrouping must be performed. The combined code has to be regarded as an unsigned integer, called 'c'. The following algorithm will supply the three separate codes s[0], s[1], s[2].

```
for (i=0; i<3; i++)
{
    s[i] = c % nlevels
    c     = c DIV nlevels
}
```

where nlevels is the number of steps as shown in 3-Annex B, Table 3-B.2 "LAYER II BIT ALLOCATION TABLE".

The first bit of each of the three codes has to be inverted, and the resulting numbers should be regarded as two's complement fractional numbers, where the MSB represents the value -1. The requantized values can be obtained by applying a linear formula :

$$s'' = C * (s''' + D)$$

where s''' is the fractional number,
 s'' the requantized value.

The values of the constants C and D are given in 3-Annex B, Table 3-B.4 "LAYER II CLASSES OF QUANTIZATION". The requantized values have to be rescaled. The multiplication factors can be found in the 3-Annex B, Table 3-B.1 "LAYER I, II SCALEFACTORS". as described above. The rescaled value s' is calculated as :

$$s' = \text{factor} * s''.$$

Synthesis subband filter

If a subband has no bits allocated to it, the samples in that subband are set to zero. Each time the subband samples for all 32 subbands of one channel have been calculated, they can be applied to the synthesis subband filter and 32 consecutive audio samples can be calculated. For that purpose, the actions in the flow diagram of 3-Annex A, Figure 3-A.2 "SYNTHESIS SUBBAND FILTER FLOW CHART" have to be carried out. The coefficients N_{ik} for the matrixing operation are given by

$$N_{ik} = \cos[(16 + i)(2k + 1)\pi/64], \quad \text{for } i = 0 \text{ to } 63, \text{ and } k = 0 \text{ to } 31.$$

The coefficients D_i for the windowing operation can be found in 3-Annex B, Table 3-B.3 "COEFFICIENTS D_i OF THE SYNTHESIS WINDOW". One frame contains $36 * 32 = 1152$ subband samples, which result after filtering in 1152 audio samples.

2.4.3.4 Layer III

Additional frequency resolution is provided by the use of an hybrid filterbank. Every band is split into 18 frequency lines by use of a MDCT. The window length of the MDCT is 36. Adaptive window switching is used to control time artifacts (pre-echoes), see the description in 3-Annex C. The frequency above which shorter blocks (better time resolution) are used can be selected. Parts of the signal below a frequency depending on 'switch_point' are coded with better frequency resolution, parts of the signal above are coded with better time resolution.

The frequency components are quantized using a nonuniform quantizer and coded using a Huffman encoder. The Huffman coder uses one of 18 different tables (see 3-Annex B.7). A buffer is used to help enhance the coding efficiency of the Huffman coder and to help in the case of pre-echo conditions (see the description in 3-Annex C). The size of the input buffer is the size of one frame at the bitrate of 160 kbit/s per channel for Layer III. The short term buffer technique used is called 'bit reservoir' because it uses short-term variable bitrate with a maximum integral offset from the mean bitrate.

Each frame holds the data from 2 granules. The audio data in a frame is allocated in the following way:

- main_data_end pointer
- side info for both granules (scfsi)
- side info granule 1
- side info granule 2
- scalefactors and Huffman code data granule 1
- scalefactors and Huffman code data granule 2

Decoding

The first action is the synchronization of the decoder to the incoming bitstream. This is done as in the other layers. The header information (first 32 bits including syncword) is read in just as in the other layers. The information about sampling frequency is used to select the scalefactor_band Table (see 3-Annex B.8).

Side information

Decoding of the side information requires storage of the decoded parameters. The table select information is used to select the decoder table and the number of ESC-bits (linbits), according to the Table in the 3-Annex B-B.7.

Start of main_data

The main_data (scalefactors, Huffman coded data and ancillary information) are not necessarily located adjacent to the side information. This is described in Figure 3-Annex A.7.1 and 3-Annex A.7.2. The beginning of the main data part is located by using the main_data_end pointer of the preceding frame. The allocation of the main data is done in a way that all main data are resident in the input buffer when the Header of the next frame is arriving in the input buffer. The decoder has to skip Header and side information when decoding the main data. It knows their position from the bitrate_index and padding_bit. The length of the Header is always 4 bytes, the length of the side information is 17 bytes in mode single_channel and 32 bytes in the other modes. Main data can span more than one block of Header and side information (see Figure 3-Annex A.7.2).

MS_stereo mode

This mode switch (found in the header: mode_extension) allows switching from 'independent stereo' to MS_stereo. The upper bound of the scalefactor bands decoded in ms stereo is derived from the "zero_part" of the difference (right) channel. Above this bound intensity stereo can be applied if enabled in the header. The "zero_part" of the difference channel is the part of the spectrum from "bigvalues * 2 + count1 * 4" (see Clause 2.4.2.7) to the Nyquist rate.

- MS matrix

In MS stereo mode the values of the normalized middle/side channels M_i/S_i are transmitted instead of the left/right channel values L_i/R_i . Thus L_i/R_i are reconstructed using

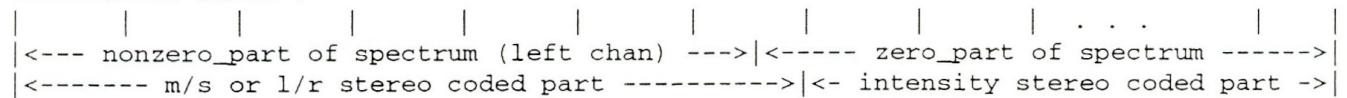
$$L_i = \frac{M_i + S_i}{\sqrt{2}} \quad \text{and} \quad R_i = \frac{M_i - S_i}{\sqrt{2}}$$

The values M_i are transmitted in the left, values S_i are transmitted in the right channel

Intensity stereo mode

This mode switch (found in the header: mode_extension) allows switching from 'normal stereo' to intensity stereo. The lower bound of the scalefactor bands decoded in intensity stereo is derived from the "zero_part" of the right channel. Above this bound decoding of intensity stereo is applied using the scalefactors of the right channel as intensity stereo positions. An intensity stereo position of 7 in one scalefactor band indicates that this scalefactor band is NOT decoded as intensity stereo.

Scalefactor bands :



For each scalefactor band sb coded in intensity stereo the following steps are executed:

- the intensity stereo position is_pos_{sb} is read from the scalefactor of the right channel
- if (is_pos_{sb} == 7) do not perform the following steps (illegal is_pos)
- is_ratio = tan(is_pos_{sb} * $\pi/12$)
- $L_i := L_i * \frac{\text{is_ratio}}{1 + \text{is_ratio}}$ for all indices i within the actual scalefactor band sb
- $R_i := L_i * \frac{1}{1 + \text{is_ratio}}$ for all indices i within the actual scalefactor band sb

Scalefactors

The scalefactors are decoded according to the actual slen1 and slen2 which themselves are decoded from scalefac_select. The decoded values can be used as entries into a table or used to calculate the factors for each scalefactor band directly. When decoding the second granule, the scfsi has to be considered. For the bands in which the corresponding scfsi is set to 1, the scalefactors of the first granule are also used for the second granule, therefore they are not transmitted for the second granule.

part2_length is calculated as follows:

For switch_point == 0,

part2_length = 11 * slen1 + 10 * slen2 for long blocks (block_type 0, 1 or 3), and

part2_length = 18 * slen1 + 18 * slen2 for short blocks (block_type==2).

For switch_point == 1,

part2_length = 17 * slen1 + 18 * slen2 (block_type == 2), and

for long blocks (block type 0, 1, or 3) the value of part2_length is the same as that for switch_point == 0.

Huffman decoding

All necessary information including the table which realizes the Huffman code tree can be generated from the tables in 3-Annex B, Table 3-B.7. Decoding is done until all Huffman code bits have been decoded or until quantized values representing 576 frequency lines have been decoded, whichever comes first. If there are more Huffman code bits than necessary to decode 576 values they are regarded as stuffing bits and discarded.

Requantizer

The nonuniform quantizer uses a power law. For each output value Y from the Huffman decoder $Y^{4/3}$ is calculated. This can be done either by table lookup or by explicit calculation.

Formula for requantization and all scaling:

One complete formula describes all the processing from the Huffman decoded values to the input of the synthesis filterbank. All necessary scaling factors are contained within this formula. The output data are reconstructed from requantized samples. Global gain and subblock gain values affect all values within one time window (in the case of block_type==2). Scalefactors and preflag further adjust the gain within each scalefactor band. An illustration can be found in 3-Annex 3-A.8.

The following example is given for the example of a granule containing data with block_type==2 (short blocks). It can accordingly be used for other block types. The Huffman decoded value at buffer index i is called is(i), the input to the synthesis filterbank at index i is called xr(i):

$$xr(i) = is(i)^{4/3} * 2^{.25 * (\text{global_gain}[gr] - 64 - 8 * \text{subblock_gain}[window][gr])} * \\ 2^{.25 * (-2 * (1 + \text{scalefac_scale}[gr]) * \text{scalefac}[cb][window][gr] - 2 * \text{preflag}[gr] * (1 + \text{scalefac_scale}[gr]) * \text{preflag}[cb])}$$

The constant 64 in this formula is needed to scale the output appropriately. It is a system constant. The synthesis filterbank is assumed to be implemented according to the formulas below. If an implementation with a different power transfer characteristic is chosen (different global scaling) then the constant has to be changed accordingly.

Synthesis filterbank

3-Annex A, Figure 3-A.4. shows a block diagram including the synthesis filterbank. The frequency lines are preprocessed by the "alias reduction" scheme (see the block diagrams in 3-Annex A Figure 3-A.5 and in 3-Annex B Table 3-B.9. for the coefficients) and fed into the IMDCT matrix, each 18 into one transform block. The first half of the output values are added to the stored overlap values from the last block. These values are new output values and are input values for the polyphase filterbank. The second half of the output values is stored for overlap with the next data granule. For every second subband of the polyphase filterbank every second input value is multiplied by -1 to correct for the frequency inversion of the polyphase filterbank.

Buffer considerations

The following rule can be used to calculate the maximum number of bits used for one granule:

At the highest possible bitrate of Layer III (320 kbit/s per stereo signal) the frames must be of constant length, i.e. one buffer length is

$$320000 * .024 \text{ bit} = 7680 \text{ bit.}$$

This value is used as the maximum buffer per channel at the lower bitrates. At 64 kbit/s (128 kbit/s stereo) the mean granule length is $64000/48000 * 576 = 768$ bit at 48 kHz sampling frequency. This means that there is a maximum deviation (short time buffer) of $7680 - 4 * 768 = 4608$ bits is allowed at 64 kbit/s. The actual deviation is equal to the number of bytes denoted by the main_data_end offset pointer. The actual maximum deviation is $2^{**9} * 8 \text{ bit} = 4096$ bits. For intermediate bitrates the delay and buffer length can be calculated accordingly. The exchange of buffer between the left and right channel in a stereo bitstream is

allowed without restrictions. Because of the constraint on the buffer size main_data_end is always set to 0 in the case of bitrate_index==14, i.e. data rate 320 kbps per stereo signal. In this case all data are allocated between adjacent header words.

IMDCT

In the following n is the number of windowed samples (for small blocks n is 12, for long blocks n is 36). In the case of a block of type "short", each of the three small blocks is transformed separately. n/2 values X_k are transformed to n values x_i .

The analytical expression of the IMDCT is:

$$x_i = \sum_{k=0}^{\frac{n}{2}-1} X_k \cos\left(\frac{\pi}{2n}(2i+1+\frac{1}{2})(2k+1)\right) \quad \text{for } i=0 \text{ to } n-1$$

Windowing

Depending on the block_type different shapes of windows are used.

a) block_type=0

$$z_i = x_i \sin\left(\frac{\pi}{36}\left(i + \frac{1}{2}\right)\right) \quad \text{for } i=0 \text{ to } 35$$

b) block_type=1

$$z_i = \begin{cases} x_i \sin\left(\frac{\pi}{36}\left(i + \frac{1}{2}\right)\right) & \text{for } i=0 \text{ to } 17 \\ x_i & \text{for } i=18 \text{ to } 23 \\ x_i \sin\left(\frac{\pi}{12}\left(i - 18 + \frac{1}{2}\right)\right) & \text{for } i=24 \text{ to } 29 \\ 0 & \text{for } i=30 \text{ to } 35 \end{cases}$$

c) block_type=3

$$z_i = \begin{cases} 0 & \text{for } i=0 \text{ to } 5 \\ x_i \sin\left(\frac{\pi}{12}\left(i - 6 + \frac{1}{2}\right)\right) & \text{for } i=6 \text{ to } 11 \\ x_i & \text{for } i=12 \text{ to } 17 \\ x_i \sin\left(\frac{\pi}{36}\left(i + \frac{1}{2}\right)\right) & \text{for } i=18 \text{ to } 35 \end{cases}$$

d) block_type=2:

Each of the three small blocks is windowed separately.

$$z_i^{(j)} = y_i^{(j)} \sin\left(\frac{\pi}{12}\left(i + \frac{1}{2}\right)\right) \quad \text{for } i=0 \text{ to } 11, \text{ for } j=0 \text{ to } 2$$

The windowed small blocks must be overlapped and concatenated.

$$y_i = \begin{cases} 0 & \text{for } i=0 \text{ to } 5 \\ y_{i-6}^{(1)} & \text{for } i=6 \text{ to } 11 \\ y_{i-6}^{(1)} + y_{i-12}^{(2)} & \text{for } i=12 \text{ to } 17 \\ y_{i-12}^{(2)} + y_{i-18}^{(3)} & \text{for } i=18 \text{ to } 23 \\ y_{i-18}^{(3)} & \text{for } i=24 \text{ to } 29 \\ 0 & \text{for } i=30 \text{ to } 35 \end{cases}$$

Overlapping and adding with previous block

The first half of the block of 36 values is overlapped with the second half of the previous block. The second half of the actual block is stored to be used in the next block:

$$x_i = y_i + s_i \quad \text{for } i=0 \text{ to } 17$$

$$s_i = y_{i+18} \quad \text{for } i=0 \text{ to } 17$$

3-ANNEX A (normative)

DIAGRAMS

Figure 3-A.1. Layer I and II decoder flow chart

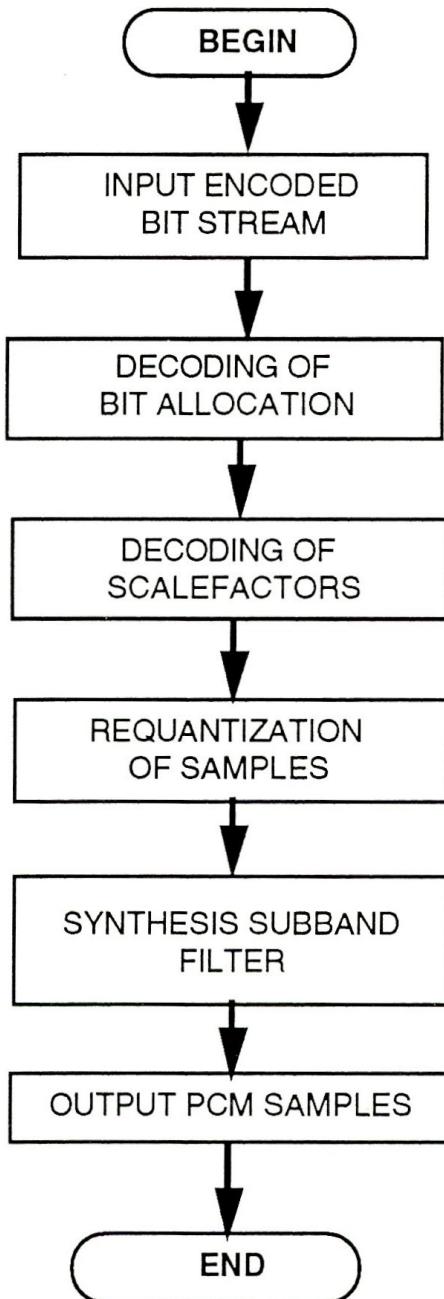
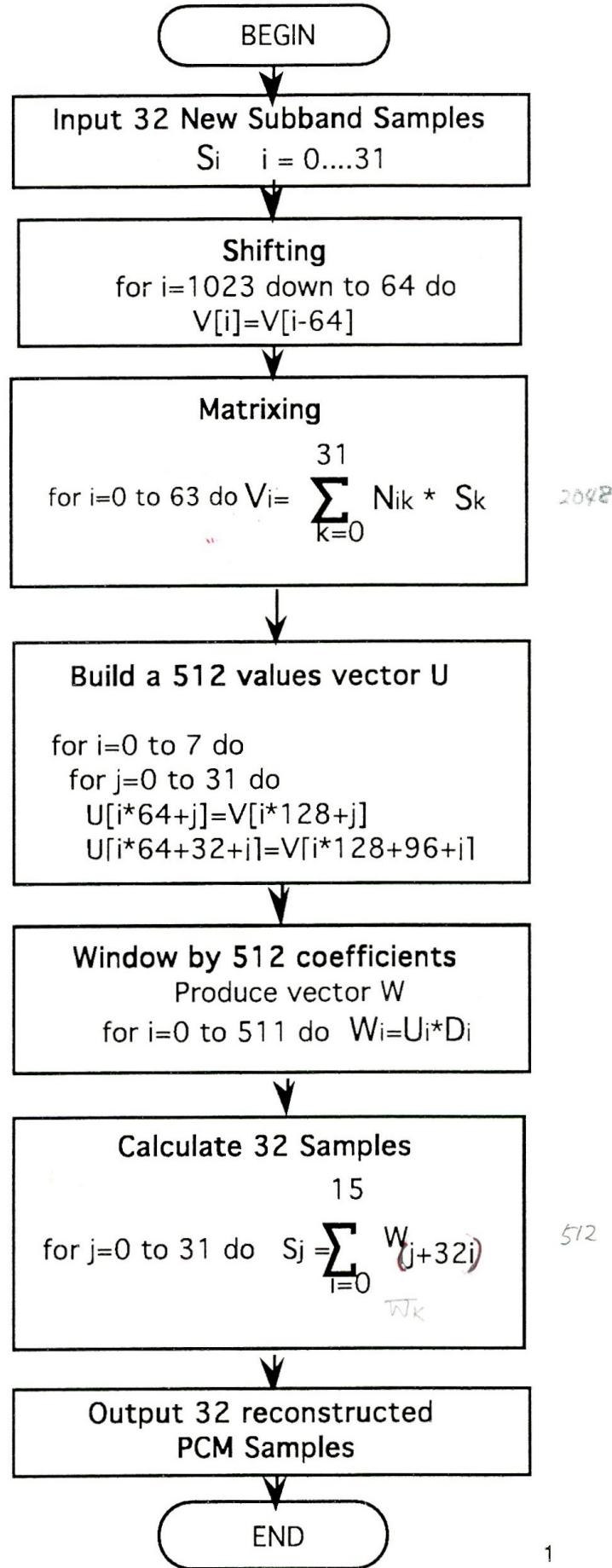


Figure 3-A.2. Synthesis subband filter flow chart



¹ Footnote: V to be initialized with zeroes during startup.

Figure 3-A.3. Layer III decoder flow chart

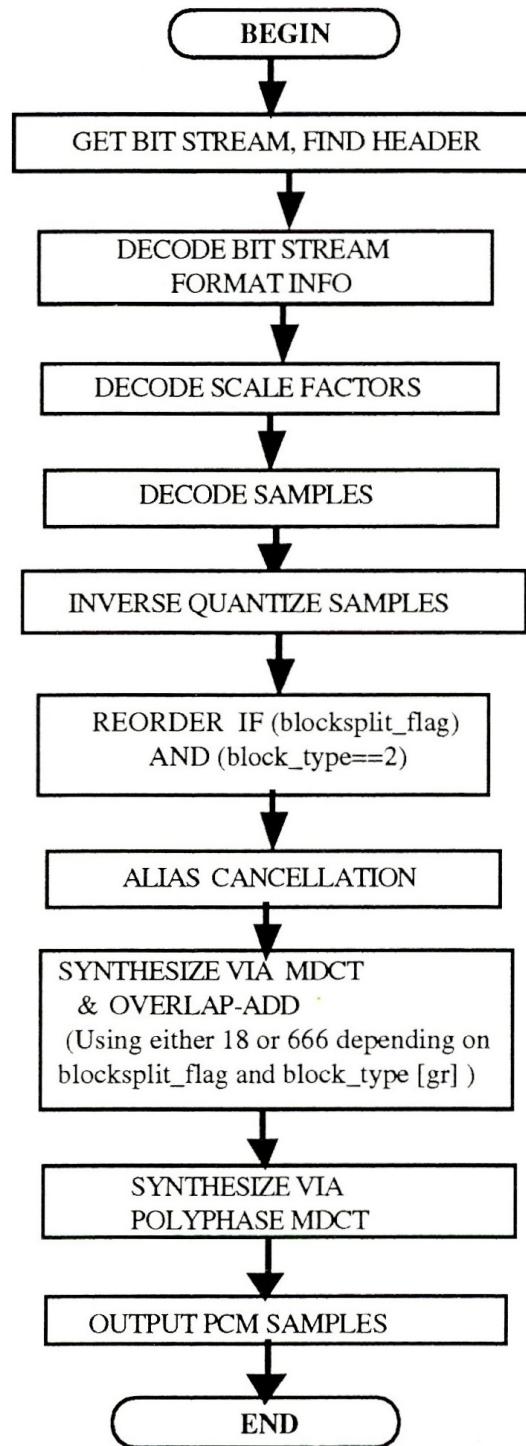
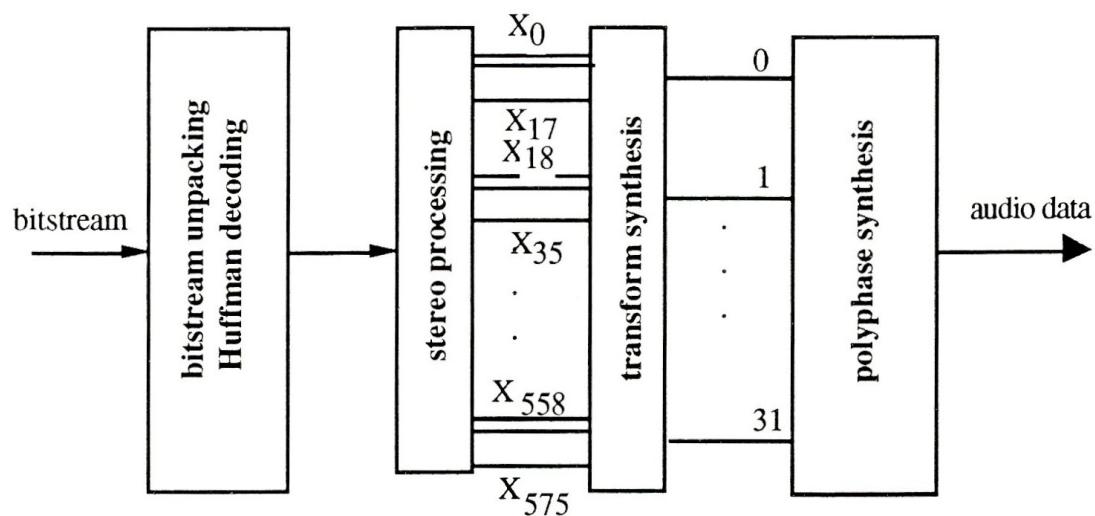


Figure 3-A.4. Layer III decoder diagram



Block "transform synthesis":

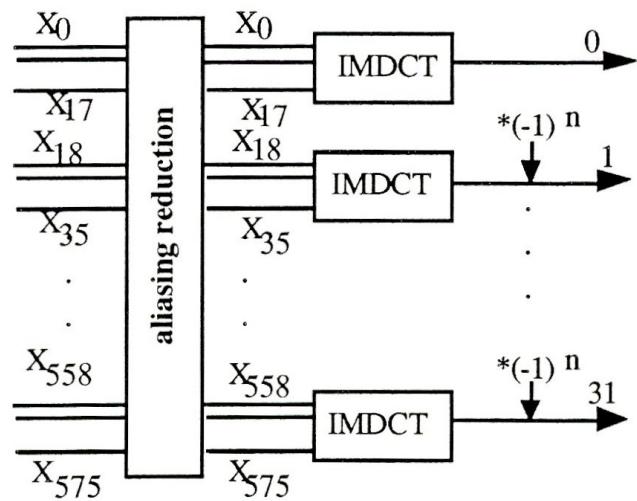


Figure 3-A.5. Layer III aliasing reduction decoder diagram

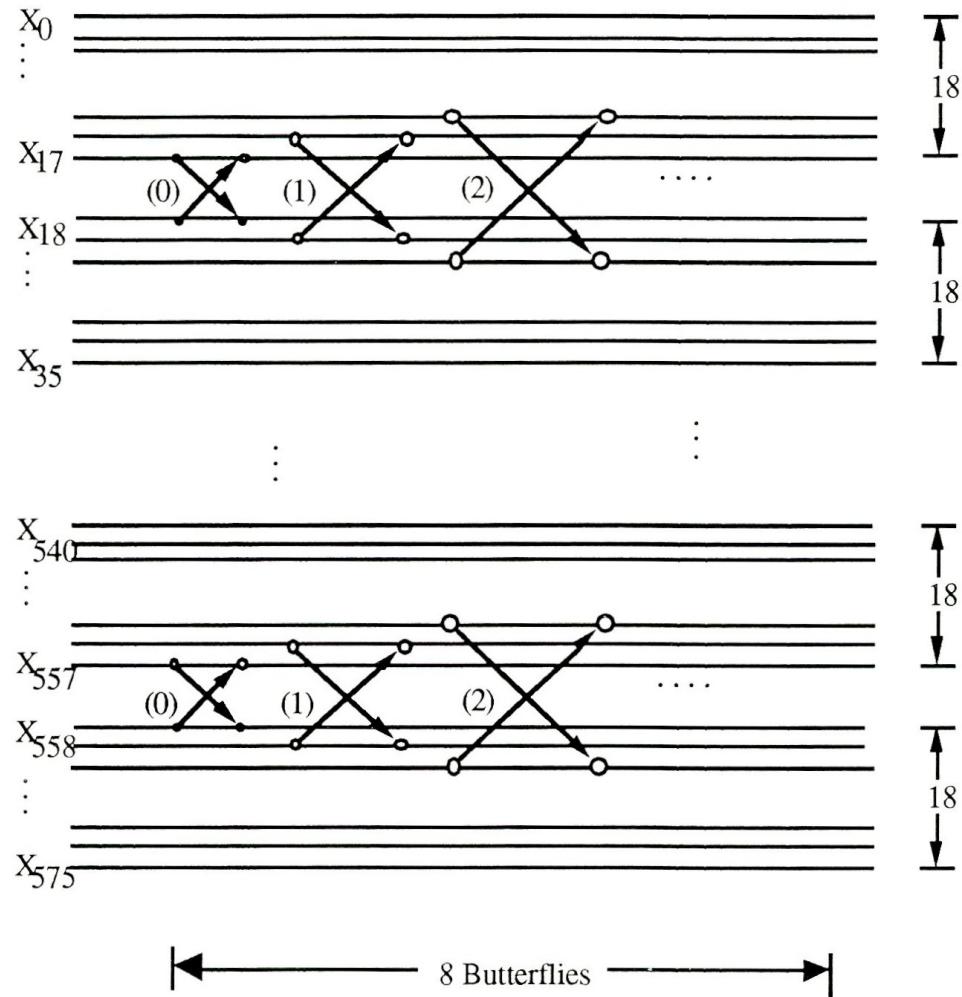


Figure 3-A.6. Layer III aliasing-butterfly, decoder

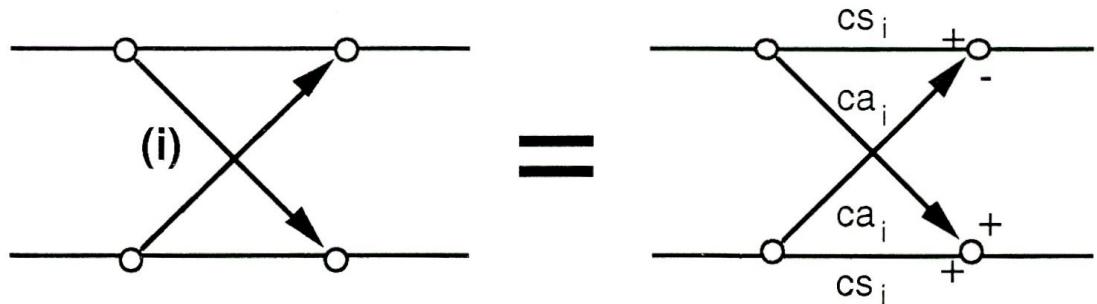


Figure 3-A.7.1. Layer III bitstream organization

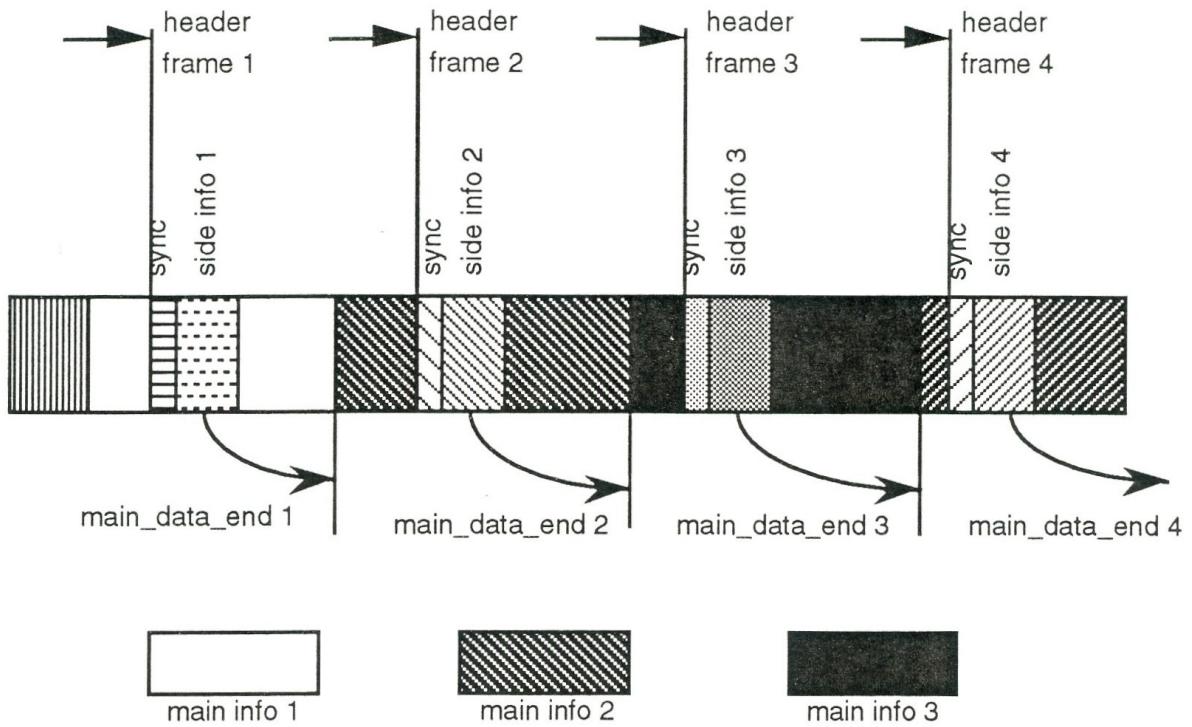
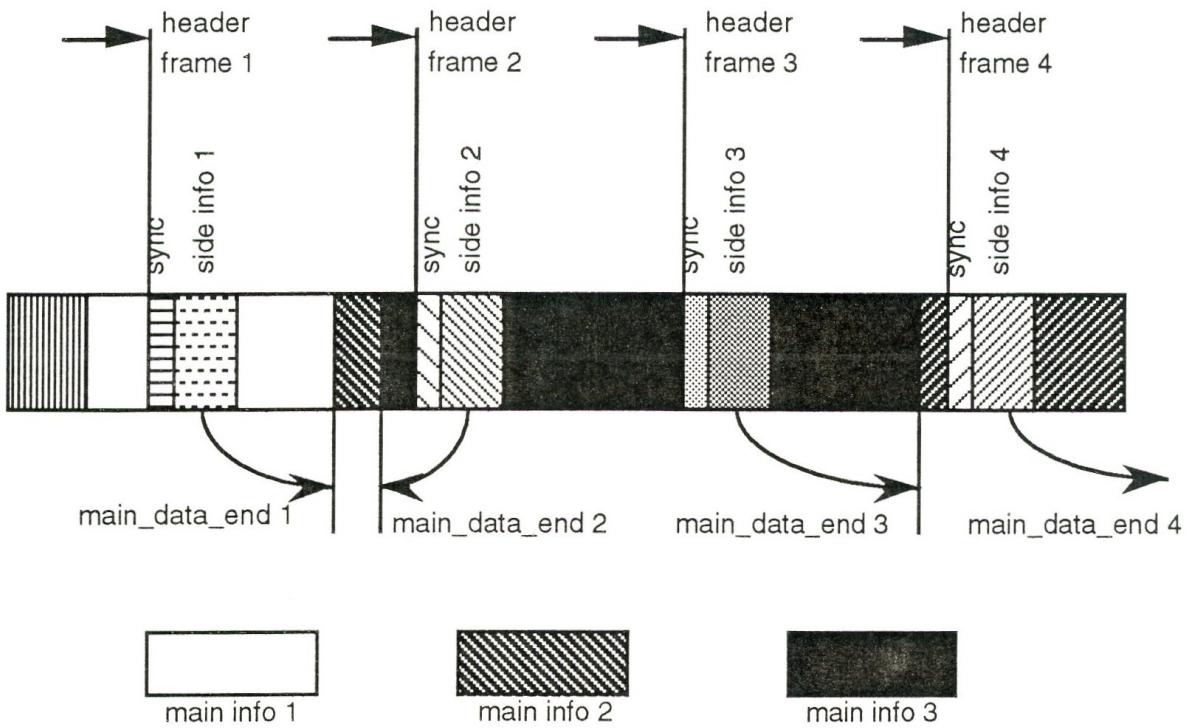


Figure 3-A.7.2. Layer III bitstream organization with peak demand at main info 3 and small demand at main info 2.



Note: 'info' means information

Figure 3-A.8. Layer III illustration of granules for frame with no block split in first granule and block split in second granule.

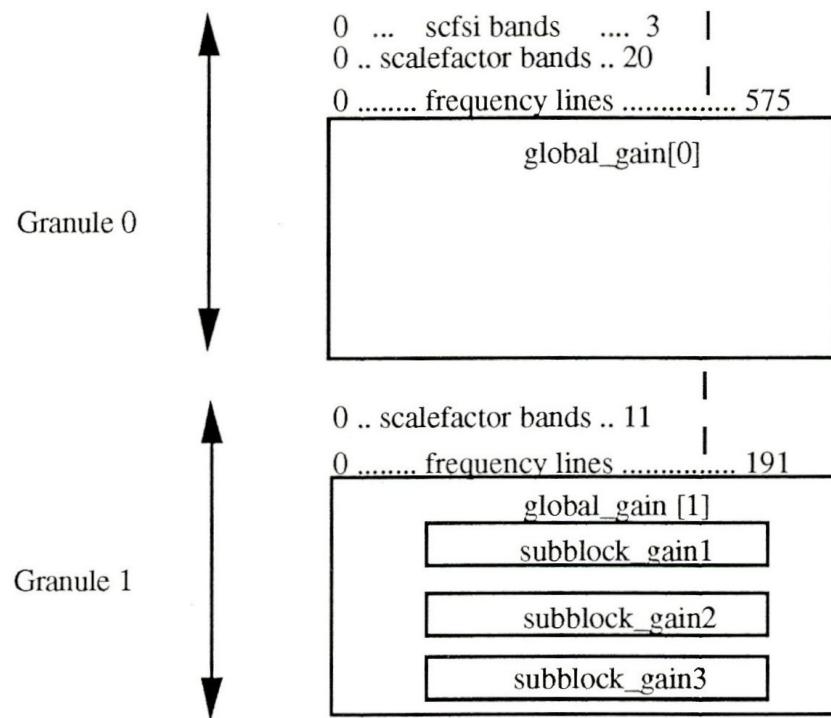
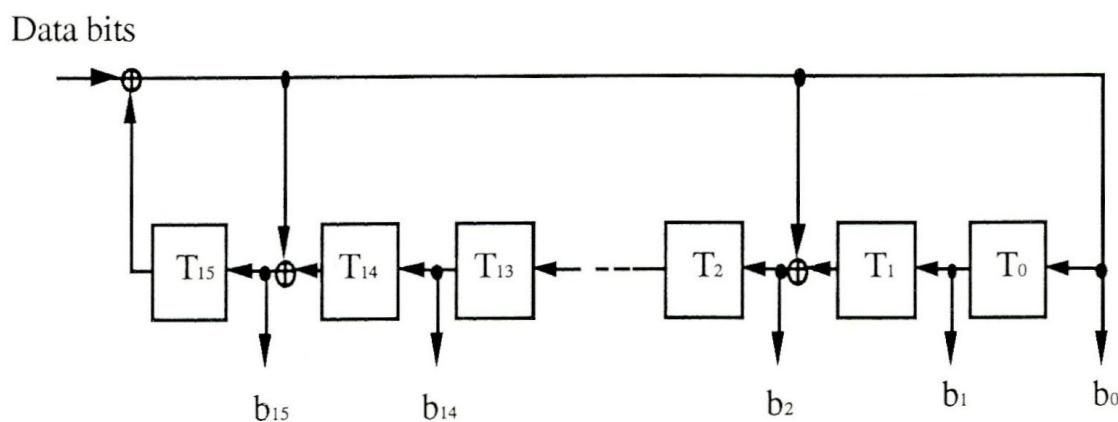


Figure 3-A.9. CRC-Check diagram



3-ANNEX B (normative)

TABLES

Table 3-B.1. Layer I,II scalefactors

index	scalefactor	index	scalefactor
0	2.000000000000000	32	0.00123039165029
1	1.58740105196820	33	0.00097656250000
2	1.25992104989487	34	0.00077509816991
3	1.000000000000000	35	0.00061519582514
4	0.79370052598410	36	0.00048828125000
5	0.62996052494744	37	0.00038754908495
6	0.500000000000000	38	0.00030759791257
7	0.39685026299205	39	0.00024414062500
8	0.31498026247372	40	0.00019377454248
9	0.250000000000000	41	0.00015379895629
10	0.19842513149602	42	0.00012207031250
11	0.15749013123686	43	0.00009688727124
12	0.125000000000000	44	0.00007689947814
13	0.09921256574801	45	0.00006103515625
14	0.07874506561843	46	0.00004844363562
15	0.062500000000000	47	0.00003844973907
16	0.04960628287401	48	0.00003051757813
17	0.03937253280921	49	0.00002422181781
18	0.031250000000000	50	0.00001922486954
19	0.02480314143700	51	0.00001525878906
20	0.01968626640461	52	0.00001211090890
21	0.015625000000000	53	0.00000961243477
22	0.01240157071850	54	0.00000762939453
23	0.00984313320230	55	0.00000605545445
24	0.00781250000000	56	0.00000480621738
25	0.00620078535925	57	0.00000381469727
26	0.00492156660115	58	0.00000302772723
27	0.00390625000000	59	0.00000240310869
28	0.00310039267963	60	0.00000190734863
29	0.00246078330058	61	0.00000151386361
30	0.00195312500000	62	0.00000120155435
31	0.00155019633981		

3-B.2. Layer II bit allocation tables

Table 3-B.2a Possible quantization per subband

Fs = 48 kHz

Bit rates per channel = 56, 64, 80, 96, 112, 128, 160, 192 kbits/s,
and free format

Fs = 44.1 kHz

Bit rates per channel = 56, 64, 80 kbits/s

Fs = 32 kHz

Bit rates per channel = 56, 64, 80 kbits/s

sb	nbal	0	index														
			1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
SB0	4	-	3	7	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767	65535
SB1	4	-	3	7	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767	65535
SB2	4	-	3	7	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767	65535
SB3	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB4	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB5	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB6	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB7	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB8	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB9	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB10	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB11	3	-	3	5	7	9	15	31	65535								
SB12	3	-	3	5	7	9	15	31	65535								
SB13	3	-	3	5	7	9	15	31	65535								
SB14	3	-	3	5	7	9	15	31	65535								
SB15	3	-	3	5	7	9	15	31	65535								
SB16	3	-	3	5	7	9	15	31	65535								
SB17	3	-	3	5	7	9	15	31	65535								
SB18	3	-	3	5	7	9	15	31	65535								
SB19	3	-	3	5	7	9	15	31	65535								
SB20	3	-	3	5	7	9	15	31	65535								
SB21	3	-	3	5	7	9	15	31	65535								
SB22	3	-	3	5	7	9	15	31	65535								
SB23	2	-	3	5	65535												
SB24	2	-	3	5	65535												
SB25	2	-	3	5	65535												
SB26	2	-	3	5	65535												
SB27	0	-															
SB28	0	-															
SB29	0	-															
SB30	0	-															
SB31	0	-															

sblimit = 27

Sum of nbal = 88

Table 3-B.2b. Possible quantization per subband

Fs = 48 kHz	----- not relevant -----
Fs = 44.1 kHz	Bitrates per channel = 96, 112, 128, 160, 192 kbits/s and free format
Fs = 32 kHz	Bitrates per channel = 96, 112, 128, 160, 192 kbits/s and free format

sb	nbal	index															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
SB0	4	-	3	7	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767	65535
SB1	4	-	3	7	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767	65535
SB2	4	-	3	7	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767	65535
SB3	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB4	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB5	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB6	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB7	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB8	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB9	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB10	4	-	3	5	7	9	15	31	63	127	255	511	1023	2047	4095	8191	65535
SB11	3	-	3	5	7	9	15	31	65535								
SB12	3	-	3	5	7	9	15	31	65535								
SB13	3	-	3	5	7	9	15	31	65535								
SB14	3	-	3	5	7	9	15	31	65535								
SB15	3	-	3	5	7	9	15	31	65535								
SB16	3	-	3	5	7	9	15	31	65535								
SB17	3	-	3	5	7	9	15	31	65535								
SB18	3	-	3	5	7	9	15	31	65535								
SB19	3	-	3	5	7	9	15	31	65535								
SB20	3	-	3	5	7	9	15	31	65535								
SB21	3	-	3	5	7	9	15	31	65535								
SB22	3	-	3	5	7	9	15	31	65535								
SB23	2	-	3	5	65535												
SB24	2	-	3	5	65535												
SB25	2	-	3	5	65535												
SB26	2	-	3	5	65535												
SB27	2	-	3	5	65535												
SB28	2	-	3	5	65535												
SB29	2	-	3	5	65535												
SB30	0	-															
SB31	0	-															

sblimit = 30

Sum of nbal = 94

Table 3-B.2c. Possible quantization per subband

Fs = 48 kHz Bitrates per channel = 32, 48 kbits/s
 Fs = 44.1 kHz Bitrates per channel = 32, 48 kbits/s
 Fs = 32 kHz ----- not relevant -----

sb	nbal	index															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
SB0	4	-	3	5	9	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767
SB1	4	-	3	5	9	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767
SB2	3	-	3	5	9	15	31	63	127								
SB3	3	-	3	5	9	15	31	63	127								
SB4	3	-	3	5	9	15	31	63	127								
SB5	3	-	3	5	9	15	31	63	127								
SB6	3	-	3	5	9	15	31	63	127								
SB7	3	-	3	5	9	15	31	63	127								
SB8	0	-															
SB9	0	-															
SB10	0	-															
SB11	0	-															
SB12	0	-															
SB13	0	-															
SB14	0	-															
SB15	0	-															
SB16	0	-															
SB17	0	-															
SB18	0	-															
SB19	0	-															
SB20	0	-															
SB21	0	-															
SB22	0	-															
SB23	0	-															
SB24	0	-															
SB25	0	-															
SB26	0	-															
SB27	0	-															
SB28	0	-															
SB29	0	-															
SB30	0	-															
SB31	0	-															

sblimit = 8

Sum of nbal = 26

Table 3-B.2d. Possible quantization per subband

Fs = 48 kHz

----- not relevant -----

Fs = 44.1kHz

----- not relevant -----

Fs = 32 kHz

Bitrates per channel = 32, 48 kbits/s

sb	nbal	index															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
SB0	4	-	3	5	9	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767
SB1	4	-	3	5	9	15	31	63	127	255	511	1023	2047	4095	8191	16383	32767
SB2	3	-	3	5	9	15	31	63	127								
SB3	3	-	3	5	9	15	31	63	127								
SB4	3	-	3	5	9	15	31	63	127								
SB5	3	-	3	5	9	15	31	63	127								
SB6	3	-	3	5	9	15	31	63	127								
SB7	3	-	3	5	9	15	31	63	127								
SB8	3	-	3	5	9	15	31	63	127								
SB9	3	-	3	5	9	15	31	63	127								
SB10	3	-	3	5	9	15	31	63	127								
SB11	3	-	3	5	9	15	31	63	127								
SB12	0	-															
SB13	0	-															
SB14	0	-															
SB15	0	-															
SB16	0	-															
SB17	0	-															
SB18	0	-															
SB19	0	-															
SB20	0	-															
SB21	0	-															
SB22	0	-															
SB23	0	-															
SB24	0	-															
SB25	0	-															
SB26	0	-															
SB27	0	-															
SB28	0	-															
SB29	0	-															
SB30	0	-															
SB31	0	-															

Max. No. of active subbands = 12

Sum of nbal = 38

Table 3-B.3. Coefficients D_j of the synthesis window

D[0]= 0.000000000	D[1]=-0.000015259	D[2]=-0.000015259	D[3]=-0.000015259
D[4]=-0.000015259	D[5]=-0.000015259	D[6]=-0.000015259	D[7]=-0.000030518
D[8]=-0.000030518	D[9]=-0.000030518	D[10]=-0.000030518	D[11]=-0.000045776
D[12]=-0.000045776	D[13]=-0.000061035	D[14]=-0.000061035	D[15]=-0.000076294
D[16]=-0.000076294	D[17]=-0.000091553	D[18]=-0.000106812	D[19]=-0.000106812
D[20]=-0.000122070	D[21]=-0.000137329	D[22]=-0.000152588	D[23]=-0.000167847
D[24]=-0.000198364	D[25]=-0.000213623	D[26]=-0.000244141	D[27]=-0.000259399
D[28]=-0.000289917	D[29]=-0.000320435	D[30]=-0.000366211	D[31]=-0.000396729
D[32]=-0.000442505	D[33]=-0.000473022	D[34]=-0.000534058	D[35]=-0.000579834
D[36]=-0.000625610	D[37]=-0.000686646	D[38]=-0.000747681	D[39]=-0.000808716
D[40]=-0.000885010	D[41]=-0.000961304	D[42]=-0.001037598	D[43]=-0.001113892
D[44]=-0.001205444	D[45]=-0.001296997	D[46]=-0.001388550	D[47]=-0.001480103
D[48]=-0.001586914	D[49]=-0.001693726	D[50]=-0.001785278	D[51]=-0.001907349
D[52]=-0.002014160	D[53]=-0.002120972	D[54]=-0.002243042	D[55]=-0.002349854
D[56]=-0.002456665	D[57]=-0.002578735	D[58]=-0.002685547	D[59]=-0.002792358
D[60]=-0.002899170	D[61]=-0.002990723	D[62]=-0.003082275	D[63]=-0.003173828
D[64]= 0.003250122	D[65]= 0.003326416	D[66]= 0.003387451	D[67]= 0.003433228
D[68]= 0.003463745	D[69]= 0.003479004	D[70]= 0.003479004	D[71]= 0.003463745
D[72]= 0.003417969	D[73]= 0.003372192	D[74]= 0.003280640	D[75]= 0.003173828
D[76]= 0.003051758	D[77]= 0.002883911	D[78]= 0.002700806	D[79]= 0.002487183
D[80]= 0.002227783	D[81]= 0.001937866	D[82]= 0.001617432	D[83]= 0.001266479
D[84]= 0.000869751	D[85]= 0.000442505	D[86]=-0.000030518	D[87]=-0.000549316
D[88]=-0.001098633	D[89]=-0.001693726	D[90]=-0.002334595	D[91]=-0.003005981
D[92]=-0.003723145	D[93]=-0.004486084	D[94]=-0.005294800	D[95]=-0.006118774
D[96]=-0.007003784	D[97]=-0.007919312	D[98]=-0.008865356	D[99]=-0.009841919
D[100]=-0.010848999	D[101]=-0.011886597	D[102]=-0.012939453	D[103]=-0.014022827
D[104]=-0.015121460	D[105]=-0.016235352	D[106]=-0.017349243	D[107]=-0.018463135
D[108]=-0.019577026	D[109]=-0.020690918	D[110]=-0.021789551	D[111]=-0.022857666
D[112]=-0.023910522	D[113]=-0.024932861	D[114]=-0.025909424	D[115]=-0.026840210
D[116]=-0.027725220	D[117]=-0.028533936	D[118]=-0.029281616	D[119]=-0.029937744
D[120]=-0.030532837	D[121]=-0.031005859	D[122]=-0.031387329	D[123]=-0.031661987
D[124]=-0.031814575	D[125]=-0.031845093	D[126]=-0.031738281	D[127]=-0.031478882
D[128]= 0.031082153	D[129]= 0.030517578	D[130]= 0.029785156	D[131]= 0.028884888
D[132]= 0.027801514	D[133]= 0.026535034	D[134]= 0.025085449	D[135]= 0.023422241
D[136]= 0.021575928	D[137]= 0.019531250	D[138]= 0.017257690	D[139]= 0.014801025
D[140]= 0.012115479	D[141]= 0.009231567	D[142]= 0.006134033	D[143]= 0.002822876
D[144]=-0.000686646	D[145]=-0.004394531	D[146]=-0.008316040	D[147]=-0.012420654
D[148]=-0.016708374	D[149]=-0.021179199	D[150]=-0.025817871	D[151]=-0.030609131
D[152]=-0.035552979	D[153]=-0.040634155	D[154]=-0.045837402	D[155]=-0.051132202
D[156]=-0.056533813	D[157]=-0.061996460	D[158]=-0.067520142	D[159]=-0.073059082
D[160]=-0.078628540	D[161]=-0.084182739	D[162]=-0.089706421	D[163]=-0.095169067
D[164]=-0.100540161	D[165]=-0.105819702	D[166]=-0.110946655	D[167]=-0.115921021
D[168]=-0.120697021	D[169]=-0.125259399	D[170]=-0.129562378	D[171]=-0.133590698
D[172]=-0.137298584	D[173]=-0.140670776	D[174]=-0.143676758	D[175]=-0.146255493
D[176]=-0.148422241	D[177]=-0.150115967	D[178]=-0.151306152	D[179]=-0.151962280
D[180]=-0.152069092	D[181]=-0.151596069	D[182]=-0.150497437	D[183]=-0.148773193
D[184]=-0.146362305	D[185]=-0.143264771	D[186]=-0.139450073	D[187]=-0.134887695
D[188]=-0.129577637	D[189]=-0.123474121	D[190]=-0.116577148	D[191]=-0.108856201
D[192]= 0.100311279	D[193]= 0.090927124	D[194]= 0.080688477	D[195]= 0.069595337
D[196]= 0.057617187	D[197]= 0.044784546	D[198]= 0.031082153	D[199]= 0.016510010

D[200]= 0.001068115	D[201]=-0.015228271	D[202]=-0.032379150	D[203]=-0.050354004
D[204]=-0.069168091	D[205]=-0.088775635	D[206]=-0.109161377	D[207]=-0.130310059
D[208]=-0.152206421	D[209]=-0.174789429	D[210]=-0.198059082	D[211]=-0.221984863
D[212]=-0.246505737	D[213]=-0.271591187	D[214]=-0.297210693	D[215]=-0.323318481
D[216]=-0.349868774	D[217]=-0.376800537	D[218]=-0.404083252	D[219]=-0.431655884
D[220]=-0.459472656	D[221]=-0.487472534	D[222]=-0.515609741	D[223]=-0.543823242
D[224]=-0.572036743	D[225]=-0.600219727	D[226]=-0.628295898	D[227]=-0.656219482
D[228]=-0.683914185	D[229]=-0.711318970	D[230]=-0.738372803	D[231]=-0.765029907
D[232]=-0.791213989	D[233]=-0.816864014	D[234]=-0.841949463	D[235]=-0.866363525
D[236]=-0.890090942	D[237]=-0.913055420	D[238]=-0.935195923	D[239]=-0.956481934
D[240]=-0.976852417	D[241]=-0.996246338	D[242]=-1.014617920	D[243]=-1.031936646
D[244]=-1.048156738	D[245]=-1.063217163	D[246]=-1.077117920	D[247]=-1.089782715
D[248]=-1.101211548	D[249]=-1.111373901	D[250]=-1.120223999	D[251]=-1.127746582
D[252]=-1.133926392	D[253]=-1.138763428	D[254]=-1.142211914	D[255]=-1.144287109
D[256]= 1.144989014	D[257]= 1.144287109	D[258]= 1.142211914	D[259]= 1.138763428
D[260]= 1.133926392	D[261]= 1.127746582	D[262]= 1.120223999	D[263]= 1.111373901
D[264]= 1.101211548	D[265]= 1.089782715	D[266]= 1.077117920	D[267]= 1.063217163
D[268]= 1.048156738	D[269]= 1.031936646	D[270]= 1.014617920	D[271]= 0.996246338
D[272]= 0.976852417	D[273]= 0.956481934	D[274]= 0.935195923	D[275]= 0.913055420
D[276]= 0.890090942	D[277]= 0.866363525	D[278]= 0.841949463	D[279]= 0.816864014
D[280]= 0.791213989	D[281]= 0.765029907	D[282]= 0.738372803	D[283]= 0.711318970
D[284]= 0.683914185	D[285]= 0.656219482	D[286]= 0.628295898	D[287]= 0.600219727
D[288]= 0.572036743	D[289]= 0.543823242	D[290]= 0.515609741	D[291]= 0.487472534
D[292]= 0.459472656	D[293]= 0.431655884	D[294]= 0.404083252	D[295]= 0.376800537
D[296]= 0.349868774	D[297]= 0.323318481	D[298]= 0.297210693	D[299]= 0.271591187
D[300]= 0.246505737	D[301]= 0.221984863	D[302]= 0.198059082	D[303]= 0.174789429
D[304]= 0.152206421	D[305]= 0.130310059	D[306]= 0.109161377	D[307]= 0.088775635
D[308]= 0.069168091	D[309]= 0.050354004	D[310]= 0.032379150	D[311]= 0.0152228271
D[312]=-0.001068115	D[313]=-0.016510010	D[314]=-0.031082153	D[315]=-0.044784546
D[316]=-0.057617187	D[317]=-0.069595337	D[318]=-0.080688477	D[319]=-0.090927124
D[320]= 0.100311279	D[321]= 0.108856201	D[322]= 0.116577148	D[323]= 0.123474121
D[324]= 0.129577637	D[325]= 0.134887695	D[326]= 0.139450073	D[327]= 0.143264771
D[328]= 0.146362305	D[329]= 0.148773193	D[330]= 0.150497437	D[331]= 0.151596069
D[332]= 0.152069092	D[333]= 0.151962280	D[334]= 0.151306152	D[335]= 0.150115967
D[336]= 0.148422241	D[337]= 0.146255493	D[338]= 0.143676758	D[339]= 0.140670776
D[340]= 0.137298584	D[341]= 0.133590698	D[342]= 0.129562378	D[343]= 0.125259399
D[344]= 0.120697021	D[345]= 0.115921021	D[346]= 0.110946655	D[347]= 0.105819702
D[348]= 0.100540161	D[349]= 0.095169067	D[350]= 0.089706421	D[351]= 0.084182739
D[352]= 0.078628540	D[353]= 0.073059082	D[354]= 0.067520142	D[355]= 0.061996460
D[356]= 0.056533813	D[357]= 0.051132202	D[358]= 0.045837402	D[359]= 0.040634155
D[360]= 0.035552979	D[361]= 0.030609131	D[362]= 0.025817871	D[363]= 0.021179199
D[364]= 0.016708374	D[365]= 0.012420654	D[366]= 0.008316040	D[367]= 0.004394531
D[368]= 0.000686646	D[369]=-0.002822876	D[370]=-0.006134033	D[371]=-0.009231567
D[372]=-0.012115479	D[373]=-0.014801025	D[374]=-0.017257690	D[375]=-0.019531250
D[376]=-0.021575928	D[377]=-0.023422241	D[378]=-0.025085449	D[379]=-0.026535034
D[380]=-0.027801514	D[381]=-0.028884888	D[382]=-0.029785156	D[383]=-0.030517578
D[384]= 0.031082153	D[385]= 0.031478882	D[386]= 0.031738281	D[387]= 0.031845093
D[388]= 0.031814575	D[389]= 0.031661987	D[390]= 0.031387329	D[391]= 0.031005859
D[392]= 0.030532837	D[393]= 0.029937744	D[394]= 0.029281616	D[395]= 0.028533936
D[396]= 0.027725220	D[397]= 0.026840210	D[398]= 0.025909424	D[399]= 0.024932861
D[400]= 0.023910522	D[401]= 0.022857666	D[402]= 0.021789551	D[403]= 0.020690918
D[404]= 0.019577026	D[405]= 0.018463135	D[406]= 0.017349243	D[407]= 0.016235352

D[408]= 0.015121460	D[409]= 0.014022827	D[410]= 0.012939453	D[411]= 0.011886597
D[412]= 0.010848999	D[413]= 0.009841919	D[414]= 0.008865356	D[415]= 0.007919312
D[416]= 0.007003784	D[417]= 0.006118774	D[418]= 0.005294800	D[419]= 0.004486084
D[420]= 0.003723145	D[421]= 0.003005981	D[422]= 0.002334595	D[423]= 0.001693726
D[424]= 0.001098633	D[425]= 0.000549316	D[426]= 0.000030518	D[427]=-0.000442505
D[428]=-0.000869751	D[429]=-0.001266479	D[430]=-0.001617432	D[431]=-0.001937866
D[432]=-0.002227783	D[433]=-0.002487183	D[434]=-0.002700806	D[435]=-0.002883911
D[436]=-0.003051758	D[437]=-0.003173828	D[438]=-0.003280640	D[439]=-0.003372192
D[440]=-0.003417969	D[441]=-0.003463745	D[442]=-0.003479004	D[443]=-0.003479004
D[444]=-0.003463745	D[445]=-0.003433228	D[446]=-0.003387451	D[447]=-0.003326416
D[448]= 0.003250122	D[449]= 0.003173828	D[450]= 0.003082275	D[451]= 0.002990723
D[452]= 0.002899170	D[453]= 0.002792358	D[454]= 0.002685547	D[455]= 0.002578735
D[456]= 0.002456665	D[457]= 0.002349854	D[458]= 0.002243042	D[459]= 0.002120972
D[460]= 0.002014160	D[461]= 0.001907349	D[462]= 0.001785278	D[463]= 0.001693726
D[464]= 0.001586914	D[465]= 0.001480103	D[466]= 0.001388550	D[467]= 0.001296997
D[468]= 0.001205444	D[469]= 0.001113892	D[470]= 0.001037598	D[471]= 0.000961304
D[472]= 0.000885010	D[473]= 0.000808716	D[474]= 0.000747681	D[475]= 0.000686646
D[476]= 0.000625610	D[477]= 0.000579834	D[478]= 0.000534058	D[479]= 0.000473022
D[480]= 0.000442505	D[481]= 0.000396729	D[482]= 0.000366211	D[483]= 0.000320435
D[484]= 0.000289917	D[485]= 0.000259399	D[486]= 0.000244141	D[487]= 0.000213623
D[488]= 0.000198364	D[489]= 0.000167847	D[490]= 0.000152588	D[491]= 0.000137329
D[492]= 0.000122070	D[493]= 0.000106812	D[494]= 0.000106812	D[495]= 0.000091553
D[496]= 0.000076294	D[497]= 0.000076294	D[498]= 0.000061035	D[499]= 0.000061035
D[500]= 0.000045776	D[501]= 0.000045776	D[502]= 0.000030518	D[503]= 0.000030518
D[504]= 0.000030518	D[505]= 0.000030518	D[506]= 0.000015259	D[507]= 0.000015259
D[508]= 0.000015259	D[509]= 0.000015259	D[510]= 0.000015259	D[511]= 0.000015259

Table 3-B.4. Layer II classes of quantization

Number of steps	C	D	grouping	Samples per codeword	Bits per codeword
2	3 1 - 1.333333333333	0.500000000000	yes	3	5
5	10h 5 1.600000000000	0.500000000000	yes	3	7
7	2 7 1.14285714286	0.250000000000	no	1	3
9	11h 9 1.777777777777	0.500000000000	yes	3	10
15	3 15 1.066666666666	0.125000000000	no	1	4
31	4 31 1.03225806452	0.062500000000	no	1	5
63	5 63 1.01587301587	0.031250000000	no	1	6
127	6 127 1.00787401575	0.015625000000	no	1	7
255	7 255 1.00392156863	0.007812500000	no	1	8
511	8 511 1.00195694716	0.003906250000	no	1	9
1023	9 1023 1.00097751711	0.001953125000	no	1	10
2047	a 2047 1.00048851979	0.000976562500	no	1	11
4095	b 4095 1.00024420024	0.00048828125	no	1	12
8191	c 8191 1.00012208522	0.00024414063	no	1	13
16383	d 16383 1.00006103888	0.00012207031	no	1	14
32767	e 32767 1.00003051851	0.00006103516	no	1	15
65535	f 65535 1.00001525902	0.00003051758	no	1	16

Table 3-B.5. Number of protected audio_data bits

Layer	Protected Fields
I	bits 16...31 of header bit allocation
II	bits 16...31 of header bit allocation scalefactor select information
III	bits 16...31 of header side information: - bits 0...135 of audio_data in single_channel mode - bits 0...255 of audio_data in other modes

Table 3-B.6. Layer III Preemphasis

0 0 0 0 0 0 0 0 0 0 1 1 1 1 2 2 3 3 3 2

Table 3-B.7. Huffman codes for Layer III

Huffman code table for quadruples (A)

Value hlen hcod

0000 1 1

0001 4 0101

0010 4 0100

0011 5 00101

0100 4 0110

0101 6 000101

0110 5 00100

0111 6 000100

1000 4 0111

1001 5 00011

1010 5 00110

1011 6 000000

1100 5 00111

1101 6 000010

1110 6 000011

1111 6 000001

Huffman code table for quadruples (B)

Value hlen hcod

0000 4 1111

0001 4 1110

0010 4 1101

0011 4 1100

0100 4 1011

0101 4 1010

0110 4 1001

0111 4 1000

1000 4 0111

1001 4 0110

1010 4 0101

1011 4 0100

1100 4 0011

1101 4 0010

1110 4 0001

1111 4 0000

Huffman code table 0

x y hlen

0 0 0

Huffman code table 1

x y hlen hcod

0 0 1 1

0 1 3 001

1 0 2 01

1 1 3 000

Huffman code table 2

x y hlen hcod

0 0 1 1

0 1 3 010

0 2 6 000001

1 0 3 011

1 1 3 001

1 2 5 00001

2 0 5 00011

2 1 5 00010

2 2 6 000000

Huffman code table 3

x y hlen hcod

0 0 2 11

0 1 2 10

0 2 6 000001

1 0 3 001

1 1 2 01

1 2 5 00001

2 0 5 00011

2 1 5 00010

2 2 6 000000

Huffman code table 4

not used

Huffman code table 5

x y hlen hcod

0 0 1 1

0 1 3 010

0 2 6 000110

0 3 7 0000101

1 0 3 011

1 1 3 001

1 2 6 000100

1 3 7 0000100

2 0 6 000111

2 1 6 000101

2 2 7 0000111

2 3 8 00000001

3 0 7 0000110

3 1 6 0000001

3 2 7 00000001

3 3 8 00000000

x	y	hlen	hcod
0	0	1	1
0	1	3	010
0	2	6	001010
0	3	8	00010011
0	4	8	00010000
0	5	9	000001010
1	0	3	011
1	1	4	0011
1	2	6	000111
1	3	7	0001010
1	4	7	0000101
1	5	8	00000011
2	0	6	001011
2	1	5	00100
2	2	7	0001101
2	3	8	00010001

x	y	hlen	hcod
2	4	8	00001000
2	5	9	000000100
3	0	7	0001100
3	1	7	0001011
3	2	8	00010010
3	3	9	000001111
3	4	9	000001011
3	5	9	000000010
4	0	7	0000111
4	1	7	0000110
4	2	8	000001001
4	3	9	000000110

x	y	hlen	hcod
4	4	8	000000010
4	5	10	000000001
5	0	8	00000110
5	1	8	00000100
5	2	9	000000101
5	3	10	0000000011
5	4	10	0000000010
5	5	10	0000000000

Huffman code table 8

x	y	hlen	hcod
0	0	2	11
0	1	3	100
0	2	6	000110
0	3	8	00010010
0	4	8	00001100
0	5	9	000000101
1	0	3	101
1	1	2	01
1	2	4	0010
1	3	8	00010000
1	4	8	00001001
1	5	8	000000111
2	0	6	000111
2	1	4	0011
2	2	6	0000101
2	3	8	00001110

x	y	hlen	hcod
2	4	8	00000111
2	5	9	000000011
3	0	8	00010011
3	1	8	00010001
3	2	8	00001111
3	3	9	0000001101
3	4	9	0000001010
3	5	10	00000000100
4	0	8	00001101
4	1	7	0000101
4	2	8	00000100
4	3	9	0000001011
4	4	10	00000000101
4	5	10	00000000001

x	y	hlen	hcod
4	6	9	0000001100
5	0	9	0000001100
5	1	8	000000100
5	2	9	0000000100
5	3	9	00000000100
5	4	11	00000000001
5	5	11	000000000000

Huffman code table 6

x	y	hlen	hcod
0	0	3	111
0	1	3	011
0	2	5	00101
0	3	7	0000001
1	0	3	110
1	1	2	10
1	2	4	0011
1	3	5	00010
2	0	4	0101
2	1	4	0100
2	2	5	00100
2	3	6	000001
3	0	6	000011
3	1	5	00011
3	2	6	0000010
3	3	7	0000000

Huffman code table 7

Huffman code table 9

x	y	hlen	hcod
0	0	3	111
0	1	3	101
0	2	5	01001
0	3	6	00110
0	4	8	00001111
0	5	9	000000111
1	0	3	110
1	1	3	100
1	2	4	0101
1	3	5	00101
1	4	6	000110
1	5	8	00000111
2	0	4	0111
2	1	4	0110
2	2	5	01000
2	3	6	001000
2	4	7	0001000
2	5	8	00000101
3	0	6	001111
3	1	5	00110
3	2	6	001001
3	3	7	0001010
3	4	7	0000101
3	5	8	00000001
4	0	7	0001011
4	1	6	000111
4	2	7	0001001
4	3	7	0000110
4	4	8	00000100
4	5	9	000000001
5	0	8	00001110
5	1	7	0000100
5	2	8	00000110
5	3	9	00000010
5	4	10	00000001
5	5	10	000000001
5	6	10	000000000
5	7	11	0000000000
5	8	10	00000000000
5	9	11	000000000000

Huffman code table 12

x	y	hlen	hcod
0	0	4	1001
0	1	3	110
0	2	5	10000
0	3	7	0100001
0	4	8	00101001
0	5	9	000100111
0	6	9	000100110
0	7	9	000011010
1	0	3	111
1	1	3	101
1	2	4	0110
1	3	5	01001
1	4	7	0010111
1	5	7	0010000
1	6	8	00011010
1	7	8	00001011
2	0	5	10001
2	1	4	0111
2	2	5	01011
2	3	6	001110
2	4	7	0001010
2	5	8	00001110
2	6	7	00001010
2	7	8	00000111
2	8	9	000000111
3	0	6	0100001
3	1	5	01000001
3	2	7	00100001
3	3	8	00010001
3	4	9	00001001
3	5	9	00000101
3	6	9	000000101
3	7	9	000000010
4	0	8	000000001

Huffman code table 11**Huffman code table 10**

x	y	hlen	hcod
0	0	1	1
0	1	3	010
0	2	6	001010
0	3	8	00010111
0	4	9	000100011
0	5	9	00001110
0	6	9	000001100
0	7	10	0000010001
1	0	3	011
1	1	4	0011
1	2	6	0010000
1	3	7	0001100
1	4	8	00010000
1	5	9	000010001
1	6	8	000001000
1	7	8	000000100
1	8	9	000000010
2	0	6	001011
2	1	6	001001
2	2	7	0001101
2	3	8	0001111
2	4	9	00010101
2	5	10	000010100
2	6	9	000001011
2	7	9	000000111
2	8	10	000000010
2	9	10	000000001
3	0	7	0001110
3	1	7	0001101
3	2	8	00010110
3	3	9	000100010
3	4	10	000010110
3	5	10	000001011
3	6	9	000000101
3	7	10	0000000111
4	0	8	00010100

1	0	3	111
1	1	3	101
1	2	4	0110
1	3	5	01001
1	4	7	0010111
1	5	7	0010000
1	6	8	00011010
1	7	8	00001011
2	0	5	10001
2	1	4	0111
2	2	5	01011
2	3	6	001110
2	4	7	0001010
2	5	8	00001110
2	6	7	00001010
2	7	8	00000111
2	8	9	000000111
3	0	6	0100001
3	1	5	01000001
3	2	7	0011001
3	3	8	00011001
3	4	9	00010001
3	5	9	00001001
3	6	9	000001000
3	7	9	000000100
4	0	8	000000001

6	5	9	000000111	3	15	13	0000000001110	8	13	14	00000000011110
6	6	9	000000100	4	0	8	00100011	8	14	15	000000000010100
6	7	10	0000000001	4	1	7	0010000	8	15	15	000000000010000
7	0	9	000011011	4	2	9	000111100	9	0	10	0000110101
7	1	8	00001100	4	3	9	000111001	9	1	9	000011001
7	2	8	00001000	4	4	10	0001100001	9	2	10	00000101001
7	3	9	000001100	4	5	10	0001001011	9	3	10	0000100101
7	4	9	000000110	4	6	11	00001110010	9	4	11	00000101100
7	5	9	000000011	4	7	11	00001011011	9	5	11	00000111011
7	6	9	000000001	4	8	10	0000110110	9	6	11	00000110110
7	7	10	0000000000	4	9	11	00001001001	9	7	13	0000001010001

Huffman code table 13

13	11	15	0000000000001111	1	15	11	00000100100	6	13	11	00000110011
13	12	16	0000000000001010	2	0	5	10011	6	14	12	000001000110
13	13	15	000000000000111	2	1	5	10001	6	15	12	0000000111110
13	14	16	0000000000000110	2	2	5	01111	7	0	9	001101101
13	15	16	0000000000000011	2	3	6	011000	7	1	8	00110101
14	0	13	00000000110000	2	4	7	0101001	7	2	8	00110001
14	1	12	0000000010111	2	5	7	0100010	7	3	9	001011110
14	2	12	0000000010100	2	6	8	00111011	7	4	9	001011000
14	3	13	00000000100111	2	7	8	00110000	7	5	9	001001011
14	4	13	00000000100100	2	8	8	00101000	7	6	9	001000010
14	5	13	00000000100011	2	9	9	001000000	7	7	10	0001111010
14	6	15	000000000110101	2	10	9	000110010	7	8	10	0001011011
14	7	14	00000000010101	2	11	10	0001001110	7	9	10	0001001001
14	8	14	00000000010000	2	12	10	0000111110	7	10	10	0000111000
14	9	17	00000000000010111	2	13	11	00001010000	7	11	10	0000101010
14	10	15	0000000000001101	2	14	11	00000111000	7	12	11	00001000000
14	11	15	0000000000001010	2	15	11	00000100001	7	13	11	00000101100
14	12	15	0000000000000110	3	0	6	011101	7	14	11	00000010101
14	13	17	00000000000000001	3	1	6	011100	7	15	12	000000011001
14	14	16	0000000000000100	3	2	6	011001	8	0	9	001011010
14	15	16	000000000000010	3	3	7	0101011	8	1	8	00101011
15	0	12	000000010000	3	4	7	0100111	8	2	8	00101001
15	1	12	000000001111	3	5	8	00111111	8	3	9	001001101
15	2	13	0000000010001	3	6	8	00110111	8	4	9	001001001
15	3	14	00000000011011	3	7	9	0010111101	8	5	9	00111111
15	4	14	00000000011001	3	8	9	001001100	8	6	9	000111000
15	5	14	00000000010100	3	9	9	000111011	8	7	10	0001011100
15	6	15	000000000011101	3	10	10	0001011101	8	8	10	0001001101
15	7	14	00000000001011	3	11	10	0001001000	8	9	10	0001000010
15	8	15	000000000010001	3	12	10	0000110110	8	10	10	0000101111
15	9	15	000000000001100	3	13	11	000001001011	8	11	11	00001000011
15	10	16	0000000000010000	3	14	11	00000110010	8	12	11	000001110000
15	11	16	0000000000001000	3	15	11	00000011101	8	13	12	000000110101
15	12	19	00000000000000001	4	0	7	0110100	8	14	12	000000100100
15	13	18	00000000000000001	4	1	6	010110	8	15	12	000000010100
15	14	19	00000000000000000	4	2	7	0101010	9	0	9	001000111
15	15	16	00000000000000001	4	3	7	0101000	9	1	8	00100010

Huffman code table 14

not used

Huffman code table 15

x	y	hlen	hcod	4	13	11	00001000010	9	11	11	00000110110
0	0	3	111	4	14	11	00000101110	9	12	11	00000100110
0	1	4	1100	4	15	11	00000011011	9	13	12	000000100111
0	2	5	10010	5	0	8	01001101	9	14	12	000000010111
0	3	7	0110101	5	1	7	0100101	9	15	12	000000001111
0	4	7	0101111	5	2	7	0100011	10	0	10	0001101101
0	5	8	01001100	5	3	8	01000010	10	1	9	000110101
0	6	9	001111100	5	4	8	00111010	10	2	9	000110011
0	7	9	001101100	5	5	8	00110100	10	3	9	000101111
0	8	9	001011001	5	6	9	001011011	10	4	10	0001011010
0	9	10	0001111011	5	7	9	001001010	10	5	10	0001010010
0	10	10	0001101100	5	8	9	000111110	10	6	10	0000111010
0	11	11	00001110111	5	9	9	000110000	10	7	10	0000111001
0	12	11	00001101011	5	10	10	0001001111	10	8	10	0000110000
0	13	11	00001010001	5	11	10	0000111111	10	9	11	00001001000
0	14	12	000001111010	5	12	11	00000101010	10	10	11	00000111001
0	15	13	0000000111111	5	13	11	00000111110	10	11	11	00000101001
1	0	4	1101	5	14	11	00000101000	10	12	11	00000010111
1	1	3	101	5	15	12	000000100110	10	13	12	000000011011
1	2	5	10000	6	0	9	001111101	10	14	13	0000000111110
1	3	6	011011	6	1	7	0100000	10	15	12	000000001001
1	4	7	0101110	6	2	8	00111100	11	0	10	0001010110
1	5	7	0100100	6	3	8	00111000	11	1	9	000101010
1	6	8	00111101	6	4	8	00110010	11	2	9	000101000
1	7	8	00110011	6	5	9	001011100	11	3	9	000100101
1	8	8	00101010	6	6	9	001001110	11	4	10	0001000110
1	9	9	001000110	6	7	9	001000001	11	5	10	000100000
1	10	9	000110100	6	8	9	000110111	11	6	10	0000110100
1	11	10	0001010011	6	9	10	0001010111	11	7	10	0000101011
1	12	10	0001000001	6	10	10	0001000111	11	8	11	00001000110
1	13	10	0000101001	6	11	10	0000110011	11	9	11	00000110111
1	14	11	00000111011	6	12	11	00001001001	11	10	11	00000101010

11	11	11	000000011001	0	3	8	00101100	5	1	8	00011110
11	12	12	000000011101	0	4	9	001001010	5	2	9	000111011
11	13	12	000000010010	0	5	9	000111111	5	3	9	000111000
11	14	12	000000001011	0	6	10	0001101110	5	4	10	0001100110
11	15	13	0000000001011	0	7	10	0001011101	5	5	11	00010111001
12	0	11	00001110110	0	8	11	00010101100	5	6	11	00010101101
12	1	10	00001000100	0	9	11	00010010101	5	7	12	00010001001
12	2	9	0000111110	0	10	11	00010001010	5	8	11	00010001110
12	3	10	0000110111	0	11	12	000011110010	5	9	12	000011111101
12	4	10	0000110010	0	12	12	000011100001	5	10	12	000011101000
12	5	10	0000101110	0	13	12	000011000011	5	11	13	0000110010000
12	6	11	00001001010	0	14	13	0000101111000	5	12	13	0000110000100
12	7	11	00001000001	0	15	9	000010001	5	13	13	0000101111010
12	8	11	00000110001	1	0	3	011	5	14	14	00000110111101
12	9	11	00000100111	1	1	4	0100	5	15	10	00000100000
12	10	11	00000011000	1	2	6	001100	6	0	10	0001101111
12	11	11	00000010000	1	3	7	0010100	6	1	9	000110110
12	12	12	000000010110	1	4	8	00100011	6	2	9	000110100
12	13	12	000000001101	1	5	9	000111110	6	3	10	0001100100
12	14	13	000000000110	1	6	9	000110101	6	4	11	00010111000
12	15	13	0000000000111	1	7	9	000101111	6	5	11	00010110010
13	0	11	000001011011	1	8	10	0001010011	6	6	11	00010100000
13	1	10	00000101100	1	9	10	0001001011	6	7	11	00010000101
13	2	10	00000100111	1	10	10	0001000100	6	8	12	00010000001
13	3	10	00000100110	1	11	11	00001110111	6	9	12	000011110100
13	4	10	00000100010	1	12	12	000011000101	6	10	12	000011100100
13	5	11	000000111111	1	13	11	00001101011	6	11	12	0000011011001
13	6	11	000000110100	1	14	12	000011001111	6	12	13	0000110000001
13	7	11	000000101101	1	15	8	00001001	6	13	13	0000101101110
13	8	11	000000011111	2	0	6	001111	6	14	14	000001011001011
13	9	12	0000000110100	2	1	6	001101	6	15	10	0000001010
13	10	12	000000011100	2	2	7	0010111	7	0	10	0001100010
13	11	12	000000010011	2	3	8	00100110	7	1	9	000110000
13	12	12	000000001110	2	4	9	001000011	7	2	10	00010110111
13	13	12	0000000001000	2	5	9	000111010	7	3	10	0001011000
13	14	13	00000000001001	2	6	10	0001100111	7	4	11	00010100101
13	15	13	0000000000011	2	7	10	000101010	7	5	11	0001011101
14	0	12	0000001111011	2	8	11	00010100001	7	6	11	00010010100
14	1	11	000000111100	2	9	10	0001001000	7	7	12	000100000101
14	2	11	000000111010	2	10	11	00001111111	7	8	12	000011111000
14	3	11	000000110101	2	11	11	00001110101	7	9	13	0000110010111
14	4	11	000000101111	2	12	11	00001101110	7	10	13	0000110001101
14	5	11	000000101011	2	13	12	000011010001	7	11	13	000010110100
14	6	11	0000000100000	2	14	12	000011001110	7	12	13	0000101111100
14	7	11	000000010110	2	15	9	000010000	7	13	15	000001101111001
14	8	12	0000000100101	3	0	8	00101101	7	14	15	00000011011110100
14	9	12	0000000110000	3	1	7	0010101	7	15	10	00000001000
14	10	12	0000000010001	3	2	8	00100111	8	0	10	0001010101
14	11	12	000000001100	3	3	9	001000101	8	1	10	0001010100
14	12	13	0000000001111	3	4	9	001000000	8	2	10	0001010001
14	13	13	00000000001010	3	5	10	0001110010	8	3	11	00010011111
14	14	12	0000000000010	3	6	10	0001100011	8	4	11	00010011110
14	15	13	0000000000001	3	7	10	0001010111	8	5	11	00010000111
15	0	12	0000001000111	3	8	11	00010001100	8	6	12	0000011111001
15	1	11	000000100101	3	9	11	00010001100	8	7	12	0000011111001
15	2	11	000000100010	3	10	12	0000011111100	8	8	13	000001101010111
15	3	11	000000011110	3	11	12	0000011010100	8	9	13	00000110010001
15	4	11	000000011100	3	12	12	0000011000111	8	10	13	00000110001000
15	5	11	000000010100	3	13	13	00000110000011	8	11	13	0000101111111
15	6	11	000000010001	3	14	13	000001011011011	8	12	14	000001011010111
15	7	12	000000011010	3	15	10	00000011010	8	13	14	000001011000101
15	8	12	0000000010101	4	0	9	001001011	8	14	14	000001011000100
15	9	12	0000000010000	4	1	8	00100100	8	15	10	00000001111
15	10	12	0000000001010	4	2	9	001000100	9	0	11	00010011010
15	11	12	0000000000110	4	3	9	001000001	9	1	10	0001001100
15	12	13	00000000001000	4	4	10	0001110011	9	2	10	0001001001
15	13	13	00000000000110	4	5	10	00011000101	9	3	11	000100001101
15	14	13	00000000000010	4	6	11	00010110011	9	4	11	00010000011
15	15	13	000000000000000	4	7	11	00010100100	9	5	12	000100000000
				4	8	11	00010011011	9	6	12	000011110101
				4	9	12	000100001000	9	7	13	0000011001010
				4	10	12	000001110110	9	8	13	00000110001010
				4	11	12	0000011100010	9	9	13	000001100001010
				4	12	13	00000110001011	9	10	13	000001100000000
				4	13	13	00000101111110	9	11	14	000001011011111
				4	14	13	00000101101010	9	12	13	000001011001111
				4	15	9	000001001	9	13	14	000001011000110
				5	0	9	001000010	9	14	13	000001011000000

Huffman code table 16

```

ESC table, linbits=1
x y hlen hcod
0 0 1 1
0 1 4 0101
0 2 6 001110

```

9	15	11	0000000010111
10	0	11	00010001011
10	1	11	00010000001
10	2	10	0001000011
10	3	11	0000111101
10	4	12	000011110111
10	5	12	000011101001
10	6	12	000011100101
10	7	12	00001101011
10	8	13	0000110001001
10	9	14	00001011100111
10	10	14	00001011100001
10	11	14	00001011010000
10	12	15	000001101110101
10	13	15	000001101110010
10	14	14	00000110110111
10	15	10	000000000100
11	0	12	000011110011
11	1	11	00001111000
11	2	11	00001110110
11	3	11	00001110011
11	4	12	000011100011
11	5	12	000011011111
11	6	13	0000110001100
11	7	14	00001011101010
11	8	14	00001011100110
11	9	14	00001011100000
11	10	14	00001011010001
11	11	14	00001011001000
11	12	14	000010110000010
11	13	13	0000011011111
11	14	14	00000110110100
11	15	11	000000000110
12	0	12	000011001010
12	1	12	000011100000
12	2	12	000011011110
12	3	12	000011011010
12	4	12	000011011000
12	5	13	00001100000101
12	6	13	00001100000010
12	7	13	0000101111101
12	8	13	0000101101100
12	9	15	000001101111000
12	10	14	000001101110101
12	11	14	000001011000011
12	12	14	00000110111000
12	13	14	00000110110101
12	14	16	0000011011000000
12	15	11	000000000100
13	0	14	00001011101011
13	1	12	000011010011
13	2	12	000011010010
13	3	12	000011010000
13	4	13	0000101110010
13	5	13	0000101111011
13	6	14	0000101101110
13	7	14	00001011010011
13	8	14	00001011001010
13	9	16	0000011011000011
13	10	15	000001101110011
13	11	15	000001101101101
13	12	15	000001101101100
13	13	17	00000110110000011
13	14	15	0000001101100001
13	15	11	000000000010
14	0	13	0000101111001
14	1	13	0000101110001
14	2	11	00001100110
14	3	12	000010111011
14	4	14	00001011010110
14	5	14	00001011010010
14	6	13	0000101100110
14	7	14	00001011000111
14	8	14	00001011000101
14	9	15	000001101100010
14	10	16	0000011011000110
14	11	15	000001101100111
14	12	17	0000011011000010

14	13	15	000001101100110
14	14	14	00000110110010
14	15	11	000000000000
15	0	9	000001100
15	1	8	000001010
15	2	8	00000111
15	3	9	0000001011
15	4	9	0000001010
15	5	10	00000010001
15	6	10	0000001011
15	7	10	0000001001
15	8	11	00000001101
15	9	11	00000001100
15	10	11	00000001010
15	11	11	00000000111
15	12	11	00000000101
15	13	11	00000000011
15	14	11	00000000001
15	15	8	000000011

Huffman code table 24

ESC	table,	linbits=4
x	y	hlen hcod
0	0	4 1111
0	1	4 1101
0	2	6 101110
0	3	7 1010000
0	4	8 10010010
0	5	9 100000110
0	6	9 011111000
0	7	10 0110110010
0	8	10 0110101010
0	9	11 0101001101
0	10	11 01010001101
0	11	11 01010001001
0	12	11 01001101101
0	13	11 01000000101
0	14	12 010000001000
0	15	9 001011000
1	0	4 1110
1	1	4 1100
1	2	5 10101
1	3	6 100110
1	4	7 1000111
1	5	8 10000010
1	6	8 01111010
1	7	9 011011000
1	8	9 011010001
1	9	9 011000110
1	10	10 0101000111
1	11	10 0101011001
1	12	10 0100111111
1	13	10 0100101001
1	14	10 0100010111
1	15	8 00101010
2	0	6 101111
2	1	5 10110
2	2	6 101001
2	3	7 1001010
2	4	7 1000100
2	5	8 10000000
2	6	8 01111000
2	7	9 011011101
2	8	9 011001111
2	9	9 011000010
2	10	9 010110110
2	11	10 0101010100
2	12	10 0100111011
2	13	10 0100100111
2	14	11 01000011101
2	15	7 0010010
3	0	7 1010001
3	1	6 100111
3	2	7 1001011
3	3	7 1000110
3	4	8 10000110
3	5	8 01111101
3	6	8 01110100
3	7	9 011011100
3	8	9 011001100
3	9	9 010111110
3	10	9 010110010
3	11	10 0101000101
3	12	10 0101101111
3	13	10 0100100101
3	14	10 0100001111
3	15	7 0010000
4	0	8 10010011
4	1	7 1001000
4	2	7 1000101
4	3	8 10000111
4	4	8 01111111
4	5	8 01110110
4	6	8 01110000
4	7	9 011010010
4	8	9 011001000
4	9	9 010111100
4	10	10 0101100000

Huffman code table 17

same as table 16, but linbits=2

Huffman code table 18

same as table 16, but linbits=3

Huffman code table 19

same as table 16, but linbits=4

Huffman code table 20

same as table 16, but linbits=6

Huffman code table 21

same as table 16, but linbits=8

Huffman code table 22

same as table 16, but linbits=10

Huffman code table 23

same as table 16, but linbits=13

4	11	10	0101000011	9	9	10	0100110001	14	7	11	00101111110
4	12	10	0100110010	9	10	10	0100100001	14	8	11	00101111010
4	13	10	0100011101	9	11	10	0100010011	14	9	11	00101110100
4	14	11	01000011100	9	12	11	01000001001	14	10	11	00101101111
4	15	7	0001110	9	13	11	00101111011	14	11	11	00101101011
5	0	9	1000000111	9	14	11	00101111001	14	12	11	00101101000
5	1	7	10000010	9	15	8	00001011	14	13	11	00101100110
5	2	8	10000001	10	0	11	01010011100	14	14	11	00101100100
5	3	8	01111110	10	1	9	010111000	14	15	8	00000000
5	4	8	01110111	10	2	9	010110111	15	0	8	00101011
5	5	8	01110010	10	3	9	010110011	15	1	7	0010100
5	6	9	011010110	10	4	9	010101111	15	2	7	0010011
5	7	9	011001010	10	5	10	0101011000	15	3	7	0010001
5	8	9	011000000	10	6	10	0101001011	15	4	7	0001111
5	9	9	010110100	10	7	10	0100111010	15	5	7	0001101
5	10	10	0101010101	10	8	10	0100110000	15	6	7	0001011
5	11	10	0100111101	10	9	10	0100100010	15	7	7	0001001
5	12	10	0100101101	10	10	10	0100010101	15	8	7	0000111
5	13	10	0100011001	10	11	11	01000010010	15	9	7	0000110
5	14	10	0100000110	10	12	11	00101111111	15	10	7	0000100
5	15	7	0001100	10	13	11	00101110101	15	11	8	00000111
6	0	9	011111001	10	14	11	00101101110	15	12	8	00000101
6	1	8	01111011	10	15	8	00001010	15	13	8	00000011
6	2	8	01111001	11	0	11	01010001100	15	14	8	00000001
6	3	8	01110101	11	1	10	0101011010	15	15	4	0011
6	4	8	01110001	11	2	9	010101011				
6	5	9	011010111	11	3	9	010101000				
6	6	9	011001110	11	4	9	010100100				
6	7	9	011000011	11	5	10	0100111110				
6	8	9	010111001	11	6	10	0100110101				
6	9	10	0101011011	11	7	10	0100101011				
6	10	10	0101001010	11	8	10	0100011111				
6	11	10	0100110100	11	9	10	0100010100				
6	12	10	0100100011	11	10	10	0100000111				
6	13	10	0100010000	11	11	11	01000000001				
6	14	11	01000001000	11	12	11	00101110111				
6	15	7	0001010	11	13	11	00101110000				
7	0	10	0110110011	11	14	11	00101101010				
7	1	8	01110011	11	15	8	00000110				
7	2	8	01101111	12	0	11	01010001000				
7	3	8	01101101	12	1	10	0101000010				
7	4	9	011010011	12	2	10	0100111100				
7	5	9	011001011	12	3	10	0100111000				
7	6	9	011000100	12	4	10	0100110011				
7	7	9	010111011	12	5	10	0100101110				
7	8	10	0101100001	12	6	10	0100100100				
7	9	10	0101001100	12	7	10	0100011100				
7	10	10	0100111001	12	8	10	0100001101				
7	11	10	0100101010	12	9	10	0100000101				
7	12	10	0100011011	12	10	11	01000000000				
7	13	11	01000010011	12	11	11	00101111000				
7	14	11	00101111101	12	12	11	00101110010				
7	15	8	00010001	12	13	11	00101101100				
8	0	10	0110101011	12	14	11	00101100111				
8	1	9	011010100	12	15	8	00000100				
8	2	9	011010000	13	0	11	01001101100				
8	3	9	011001101	13	1	10	0100101100				
8	4	9	011001001	13	2	10	0100101000				
8	5	9	011000001	13	3	10	0100100110				
8	6	9	010111010	13	4	10	0100100000				
8	7	9	010110001	13	5	10	0100011010				
8	8	9	010101001	13	6	10	0100010001				
8	9	10	0101000000	13	7	10	0100001010				
8	10	10	0100101111	13	8	11	01000000011				
8	11	10	0100011110	13	9	11	00101111100				
8	12	10	0100001100	13	10	11	00101110110				
8	13	11	01000000010	13	11	11	00101110001				
8	14	11	00101111001	13	12	11	00101101101				
8	15	8	00010000	13	13	11	00101101001				
9	0	10	0101001111	13	14	11	00101100101				
9	1	9	011000111	13	15	8	00000010				
9	2	9	011000101	14	0	12	010000001001				
9	3	9	010111111	14	1	10	0100011000				
9	4	9	0101111101	14	2	10	0100010110				
9	5	9	010110101	14	3	10	0100010010				
9	6	9	010101110	14	4	10	0100010111				
9	7	10	0101001101	14	5	10	0100001000				
9	8	10	0101000001	14	6	10	0100000011				

Huffman code table 25

same as table 24, but linbits=5

Huffman code table 26

same as table 24, but linbits=6

Huffman code table 27

same as table 24, but linbits=7

Huffman code table 28

same as table 24, but linbits=8

Huffman code table 29

same as table 24, but linbits=9

Huffman code table 30

same as table 24, but
linbits=11

Huffman code table 31

same as table 24, but
linbits=13

Table 3-B.8. Layer III scalefactor bands

These tables list the width of each scalefactor band. There are 21 bands at each sampling frequency for long (type 0,1 or 3) windows and 12 bands each for short windows.

Table 3-B.8a. 32 kHz sampling rate

long blocks:

scale factor band	width of band	index of start	index of end
0	4	0	3
1	4	4	7
2	4	8	11
3	4	12	15
4	4	16	19
5	4	20	23
6	6	24	29
7	6	30	35
8	8	36	43
9	10	44	53
10	12	54	65
11	16	66	81
12	20	82	101
13	24	102	125
14	30	126	155
15	38	156	193
16	46	194	239
17	56	240	295
18	68	296	363
19	84	364	447
20	102	448	549

short blocks:

scale factor band	width of band	index of start	index of end
0	4	0	3
1	4	4	7
2	4	8	11
3	4	12	15
4	6	16	21
5	8	22	29
6	12	30	41
7	16	42	57
8	20	58	77
9	26	78	103
10	34	104	137
11	42	138	179

Table 3-B.8b. 44.1 kHz sampling rate**long blocks:**

scale factor band	width of band	index of start	index of end
0	4	0	3
1	4	4	7
2	4	8	11
3	4	12	15
4	4	16	19
5	4	20	23
6	6	24	29
7	6	30	35
8	8	36	43
9	8	44	51
10	10	52	61
11	12	62	73
12	16	74	89
13	20	90	109
14	24	110	133
15	28	134	161
16	34	162	195
17	42	196	237
18	50	238	287
19	54	288	341
20	76	342	417

short blocks:

scale factor band	width of band	index of start	index of end
0	4	0	3
1	4	4	7
2	4	8	11
3	4	12	15
4	6	16	21
5	8	22	29
6	10	30	39
7	12	40	51
8	14	52	65
9	18	66	83
10	22	84	105
11	30	106	135

Table 3-B.8c. 48 kHz sampling rate**long blocks:**

scale factor band	width of band	index of start	index of end
0	4	0	3
1	4	4	7
2	4	8	11
3	4	12	15
4	4	16	19
5	4	20	23
6	6	24	29
7	6	30	35
8	6	36	41
9	8	42	49
10	10	50	59
11	12	60	71
12	16	72	87
13	18	88	105
14	22	106	127
15	28	128	155
16	34	156	189
17	40	190	229
18	46	230	275
19	54	276	329
20	54	330	383

short blocks:

scale factor band	width of band	index of start	index of end
0	4	0	3
1	4	4	7
2	4	8	11
3	4	12	15
4	6	16	21
5	6	22	27
6	10	28	37
7	12	38	49
8	14	50	63
9	16	64	79
10	20	80	99
11	26	100	125

Table 3-B.9 Layer III coefficients for aliasing reduction:

(i)	c _i
0	-0.6
1	-0.535
2	-0.33
3	-0.185
4	-0.095
5	-0.041
6	-0.0142
7	-0.0037

The butterfly coefficients cs_i and ca_i are calculated as follows:

$$cs_i = \frac{1}{\sqrt{1 + c_i^2}} \quad ca_i = \frac{c_i}{\sqrt{1 + c_i^2}}$$

3-ANNEX C (informative)

THE ENCODING PROCESS

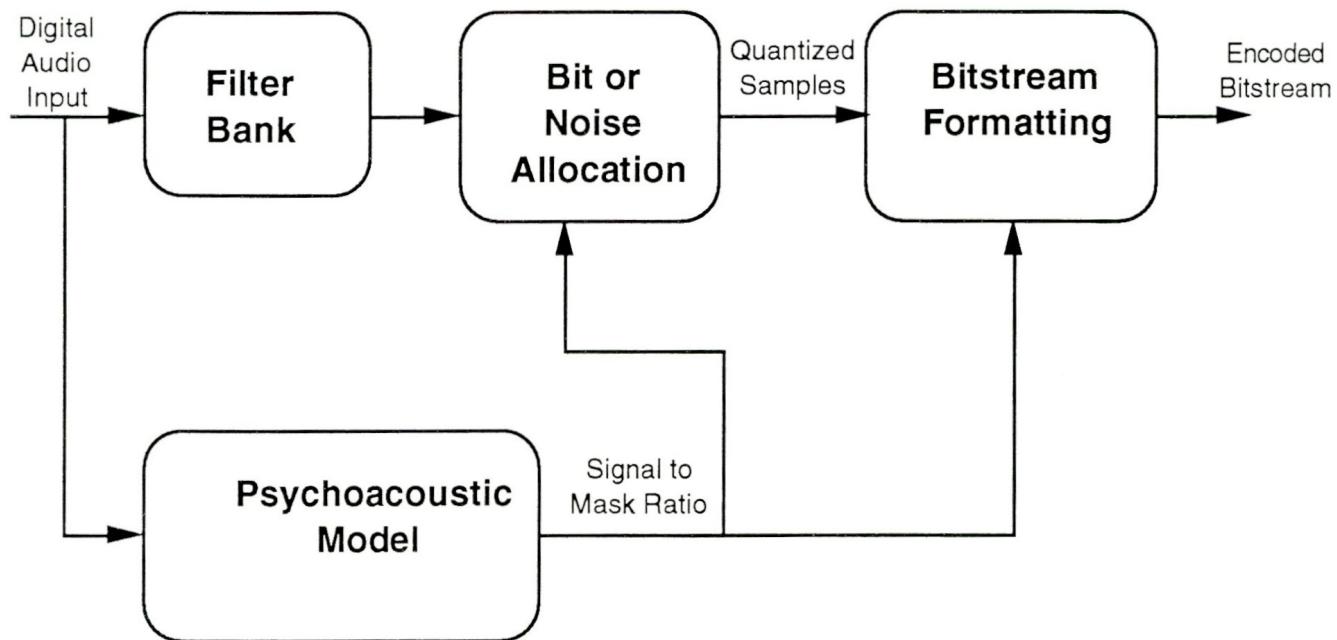
3-C.1 Encoder

3-C.1.1 Overview

For each of the Layers, an example of one suitable encoder with the corresponding flow-diagram is given in this annex. In subsequent clauses the analysis subband filter and the layer-specific encoding techniques are described. In Annex D two examples of psychoacoustic models, which are common to all layers, are described. A short introduction describes the overall philosophy.

INTRODUCTION

The MPEG-Audio algorithm is a psychoacoustic algorithm. The Figure below shows the primary parts of a psychoacoustic algorithm.



The four primary parts of the psychoacoustic encoder are:

1) The Filterbank:

The filterbank does a time to frequency mapping. There are two filterbanks used in the MPEG-Audio algorithm, each providing a specific mapping in time and frequency. These filterbanks are critically sampled (i.e. there are as many samples in the analyzed domain as there are in the time domain). These filterbanks provide the primary frequency separation for the encoder, and the reconstruction filters for the decoder. The output samples of the filterbank are quantized.

2) The Psychoacoustic Model:

The psychoacoustic model calculates a just noticeable noise-level for each band in the filterbank. This noise level is used in the bit or noise allocation to determine the actual quantizers and quantizer levels. There are two psychoacoustic models presented in 3-Annex D. While they can both be applied to any layer of the MPEG-Audio algorithm, in practice Model 1 has been used for Layers I and II, and Model 2 for Layer III. In both psychoacoustic models, the final output of the model is a signal-to-mask ratio (SMR) for each band (Layers I and II) or group of bands (Layer III).

3) Bit or Noise Allocation:

The allocator looks at both the output samples from the filterbank and the SMR's from the psychoacoustic model, and adjusts the bit allocation (Layers I and II) or noise allocation (Layer III) in order to simultaneously meet both the bitrate requirements and the masking requirements. At low bitrates, these methods attempt to spend bits in a fashion that is psychoacoustically inoffensive when they cannot meet the psychoacoustic demand at the required bitrate.

4) The bitstream formatter:

The bitstream formatter takes the quantized filterbank outputs, the bit allocation (Layers I and II) or noise allocation (Layer III) and other required side information, and encodes and formats that information in an efficient fashion. In the case of Layer III, the Huffman codes are also inserted at this point.

The Filterbank

In Layers I and II, a filterbank with 32 subbands is used. In each subband, 12 or 36 samples are grouped for processing. In Layer III, the filterbank has a signal-dependant resolution, where there are either 6x32 or 18x32 frequency bands. In the case where there are 6x32 frequency samples, the 3 sets of each frequency are quantized separately.

Bit or Noise Allocation Method

There are two different bitrate control methods explained in this Annex. In Layers I and II this method is a bit allocation process, i.e. a number of bits is assigned to each sample (or group of samples) in each subband. The method for Layer III is a noise-allocation loop, where the quantizers are varied in an organized fashion, and the variable to be controlled is the actually injected noise. In either case, the result is a set of quantization parameters and quantized output samples that are given to the bitstream formatter.

Bitstream Formatting

The bitstream formatter varies from layer to layer. In Layers I and II, a fixed PCM code is used for each subband sample, with the exception that in Layer II quantized samples may be grouped. In Layer III, Huffman codes are used to represent the quantized frequency samples. These Huffman codes are variable-length codes that allow for more efficient bitstream representation of the quantized samples at the cost of additional complexity.

3-C.1.2 Input High-Pass Filter

The encoding algorithms provide a frequency response down to DC. However, in applications where this is not a requirement, it is recommended that a high-pass filter be included at the input of the encoder. The cut-off frequency should be in the range of 2 to 10 Hz.

The application of such a high-pass filter avoids an unnecessarily high bitrate requirement for the lowest subband and increases the overall audio quality.

3-C.1.3 Analysis Subband Filter

An analysis subband filterbank is used to split the broadband signal with sampling frequency f_s into 32 equally spaced subbands with sampling frequencies $f_s/32$. The flow chart of this process with the appropriate formulas is given in Figure 3-C.1 "ANALYSIS SUBBAND FILTER FLOW CHART". The analysis subband filtering includes the following steps:

- Input 32 audio samples.
- Build an input sample vector, X, of 512 elements. The 32 audio samples are shifted in at positions 0 to 31, the most recent on at position 0, and the 32 oldest elements are shifted out.
- Window vector X by vector C. The coefficients are to be found in Table 3-C.1 "COEFFICIENTS C_i FOR THE ANALYSIS WINDOW".
- Calculate the 64 values Y_i according to the formula given in the flow chart.
- Calculate the 32 subband samples S_i by matrixing. The coefficients for the matrix can be calculated by the following formula:

$$M_{ik} = \cos [(2i + 1)(k - 16)\pi/64], \quad \text{for } i = 0 \text{ to } 31, \text{ and } k = 0 \text{ to } 63.$$

Table 3-C.1 Coefficients C_i of the Analysis Window

C[0]= 0.000000000	C[1]=-0.000000477	C[2]=-0.000000477	C[3]=-0.000000477
C[4]=-0.000000477	C[5]=-0.000000477	C[6]=-0.000000477	C[7]=-0.000000954
C[8]=-0.000000954	C[9]=-0.000000954	C[10]=-0.000000954	C[11]=-0.000001431
C[12]=-0.000001431	C[13]=-0.000001907	C[14]=-0.000001907	C[15]=-0.000002384
C[16]=-0.000002384	C[17]=-0.000002861	C[18]=-0.000003338	C[19]=-0.000003338
C[20]=-0.000003815	C[21]=-0.000004292	C[22]=-0.000004768	C[23]=-0.000005245
C[24]=-0.000006199	C[25]=-0.000006676	C[26]=-0.000007629	C[27]=-0.000008106
C[28]=-0.000009060	C[29]=-0.000010014	C[30]=-0.000011444	C[31]=-0.000012398
C[32]=-0.000013828	C[33]=-0.000014782	C[34]=-0.000016689	C[35]=-0.000018120
C[36]=-0.000019550	C[37]=-0.000021458	C[38]=-0.000023365	C[39]=-0.000025272
C[40]=-0.000027657	C[41]=-0.000030041	C[42]=-0.000032425	C[43]=-0.000034809
C[44]=-0.000037670	C[45]=-0.000040531	C[46]=-0.000043392	C[47]=-0.000046253
C[48]=-0.000049591	C[49]=-0.000052929	C[50]=-0.000055790	C[51]=-0.000059605
C[52]=-0.000062943	C[53]=-0.000066280	C[54]=-0.000070095	C[55]=-0.000073433
C[56]=-0.000076771	C[57]=-0.000080585	C[58]=-0.000083923	C[59]=-0.000087261
C[60]=-0.000090599	C[61]=-0.000093460	C[62]=-0.000096321	C[63]=-0.000099182
C[64]= 0.000101566	C[65]= 0.000103951	C[66]= 0.000105858	C[67]= 0.000107288
C[68]= 0.000108242	C[69]= 0.000108719	C[70]= 0.000108719	C[71]= 0.000108242
C[72]= 0.000106812	C[73]= 0.000105381	C[74]= 0.000102520	C[75]= 0.000099182
C[76]= 0.000095367	C[77]= 0.000090122	C[78]= 0.000084400	C[79]= 0.000077724
C[80]= 0.000069618	C[81]= 0.000060558	C[82]= 0.000050545	C[83]= 0.000039577
C[84]= 0.000027180	C[85]= 0.000013828	C[86]=-0.000000954	C[87]=-0.000017166
C[88]=-0.000034332	C[89]=-0.000052929	C[90]=-0.000072956	C[91]=-0.000093937
C[92]=-0.000116348	C[93]=-0.000140190	C[94]=-0.000165462	C[95]=-0.000191212
C[96]=-0.000218868	C[97]=-0.000247478	C[98]=-0.000277042	C[99]=-0.000307560
C[100]=-0.000339031	C[101]=-0.000371456	C[102]=-0.000404358	C[103]=-0.000438213
C[104]=-0.000472546	C[105]=-0.000507355	C[106]=-0.000542164	C[107]=-0.000576973
C[108]=-0.000611782	C[109]=-0.000646591	C[110]=-0.000680923	C[111]=-0.000714302
C[112]=-0.000747204	C[113]=-0.000779152	C[114]=-0.000809669	C[115]=-0.000838757
C[116]=-0.000866413	C[117]=-0.000891685	C[118]=-0.000915051	C[119]=-0.000935555
C[120]=-0.000954151	C[121]=-0.000968933	C[122]=-0.000980854	C[123]=-0.000989437
C[124]=-0.000994205	C[125]=-0.000995159	C[126]=-0.000991821	C[127]=-0.000983715
C[128]= 0.000971317	C[129]= 0.000953674	C[130]= 0.000930786	C[131]= 0.000902653
C[132]= 0.000868797	C[133]= 0.000829220	C[134]= 0.000783920	C[135]= 0.000731945
C[136]= 0.000674248	C[137]= 0.000610352	C[138]= 0.000539303	C[139]= 0.000462532
C[140]= 0.000378609	C[141]= 0.000288486	C[142]= 0.000191689	C[143]= 0.000088215
C[144]=-0.000021458	C[145]=-0.000137329	C[146]=-0.000259876	C[147]=-0.000388145
C[148]=-0.000522137	C[149]=-0.000661850	C[150]=-0.000806808	C[151]=-0.000956535
C[152]=-0.001111031	C[153]=-0.001269817	C[154]=-0.001432419	C[155]=-0.001597881
C[156]=-0.001766682	C[157]=-0.001937389	C[158]=-0.002110004	C[159]=-0.002283096
C[160]=-0.002457142	C[161]=-0.002630711	C[162]=-0.002803326	C[163]=-0.002974033
C[164]=-0.003141880	C[165]=-0.003306866	C[166]=-0.003467083	C[167]=-0.003622532
C[168]=-0.003771782	C[169]=-0.003914356	C[170]=-0.004048824	C[171]=-0.004174709
C[172]=-0.004290581	C[173]=-0.004395962	C[174]=-0.004489899	C[175]=-0.004570484
C[176]=-0.004638195	C[177]=-0.004691124	C[178]=-0.004728317	C[179]=-0.004748821
C[180]=-0.004752159	C[181]=-0.004737377	C[182]=-0.004703045	C[183]=-0.004649162
C[184]=-0.004573822	C[185]=-0.004477024	C[186]=-0.004357815	C[187]=-0.004215240
C[188]=-0.004049301	C[189]=-0.003858566	C[190]=-0.003643036	C[191]=-0.003401756
C[192]= 0.003134727	C[193]= 0.002841473	C[194]= 0.002521515	C[195]= 0.002174854
C[196]= 0.001800537	C[197]= 0.001399517	C[198]= 0.000971317	C[199]= 0.000515938
C[200]= 0.0000033379	C[201]=-0.000475883	C[202]=-0.001011848	C[203]=-0.001573563
C[204]=-0.002161503	C[205]=-0.002774239	C[206]=-0.003411293	C[207]=-0.004072189
C[208]=-0.004756451	C[209]=-0.005462170	C[210]=-0.006189346	C[211]=-0.006937027
C[212]=-0.007703304	C[213]=-0.008487225	C[214]=-0.009287834	C[215]=-0.010103703
C[216]=-0.010933399	C[217]=-0.011775017	C[218]=-0.012627602	C[219]=-0.013489246
C[220]=-0.014358521	C[221]=-0.015233517	C[222]=-0.016112804	C[223]=-0.016994476
C[224]=-0.017876148	C[225]=-0.018756866	C[226]=-0.019634247	C[227]=-0.020506859
C[228]=-0.021372318	C[229]=-0.022228718	C[230]=-0.023074150	C[231]=-0.023907185
C[232]=-0.024725437	C[233]=-0.025527000	C[234]=-0.026310921	C[235]=-0.027073860
C[236]=-0.027815342	C[237]=-0.028532982	C[238]=-0.029224873	C[239]=-0.029890060
C[240]=-0.030526638	C[241]=-0.031132698	C[242]=-0.031706810	C[243]=-0.032248020
C[244]=-0.032754898	C[245]=-0.033225536	C[246]=-0.033659935	C[247]=-0.034055710
C[248]=-0.034412861	C[249]=-0.034730434	C[250]=-0.035007000	C[251]=-0.035242081
C[252]=-0.035435200	C[253]=-0.035586357	C[254]=-0.035694122	C[255]=-0.035758972
C[256]= 0.035780907	C[257]= 0.035758972	C[258]= 0.035694122	C[259]= 0.035586357
C[260]= 0.035435200	C[261]= 0.035242081	C[262]= 0.035007000	C[263]= 0.034730434
C[264]= 0.034412861	C[265]= 0.034055710	C[266]= 0.033659935	C[267]= 0.033225536
C[268]= 0.032754898	C[269]= 0.032248020	C[270]= 0.031706810	C[271]= 0.031132698
C[272]= 0.030526638	C[273]= 0.029890060	C[274]= 0.029224873	C[275]= 0.028532982
C[276]= 0.027815342	C[277]= 0.027073860	C[278]= 0.026310921	C[279]= 0.025527000
C[280]= 0.024725437	C[281]= 0.023907185	C[282]= 0.023074150	C[283]= 0.022228718
C[284]= 0.021372318	C[285]= 0.020506859	C[286]= 0.019634247	C[287]= 0.018756866
C[288]= 0.017876148	C[289]= 0.016994476	C[290]= 0.016112804	C[291]= 0.015233517
C[292]= 0.014358521	C[293]= 0.013489246	C[294]= 0.012627602	C[295]= 0.011775017
C[296]= 0.010933399	C[297]= 0.010103703	C[298]= 0.009287834	C[299]= 0.008487225
C[300]= 0.007703304	C[301]= 0.006937027	C[302]= 0.006189346	C[303]= 0.005462170
C[304]= 0.004756451	C[305]= 0.004072189	C[306]= 0.003411293	C[307]= 0.002774239
C[308]= 0.002161503	C[309]= 0.001573563	C[310]= 0.001011848	C[311]= 0.000475883

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C[312]=-0.000033379 C[313]=-0.000515938 C[314]=-0.000971317 C[315]=-0.001399517
C[316]=-0.001800537 C[317]=-0.002174854 C[318]=-0.002521515 C[319]=-0.002841473
C[320]= 0.003134727 C[321]= 0.003401756 C[322]= 0.003643036 C[323]= 0.003858566
C[324]= 0.004049301 C[325]= 0.004215240 C[326]= 0.004357815 C[327]= 0.004477024
C[328]= 0.004573822 C[329]= 0.004649162 C[330]= 0.004703045 C[331]= 0.004737377
C[332]= 0.004752159 C[333]= 0.004748821 C[334]= 0.004728317 C[335]= 0.004691124
C[336]= 0.004638195 C[337]= 0.004570484 C[338]= 0.004489899 C[339]= 0.004395962
C[340]= 0.004290581 C[341]= 0.004174709 C[342]= 0.004048824 C[343]= 0.003914356
C[344]= 0.003771782 C[345]= 0.003622532 C[346]= 0.003467083 C[347]= 0.003306866
C[348]= 0.003141880 C[349]= 0.002974033 C[350]= 0.002803326 C[351]= 0.002630711
C[352]= 0.002457142 C[353]= 0.002283096 C[354]= 0.002110004 C[355]= 0.001937389
C[356]= 0.001766682 C[357]= 0.001597881 C[358]= 0.001432419 C[359]= 0.001269817
C[360]= 0.001111031 C[361]= 0.000956535 C[362]= 0.000806808 C[363]= 0.000661850
C[364]= 0.000522137 C[365]= 0.000388145 C[366]= 0.000259876 C[367]= 0.000137329
C[368]= 0.000021458 C[369]=-0.000088215 C[370]=-0.000191689 C[371]=-0.000288486
C[372]=-0.000378609 C[373]=-0.000462532 C[374]=-0.000539303 C[375]=-0.000610352
C[376]=-0.000674248 C[377]=-0.000731945 C[378]=-0.000783920 C[379]=-0.000829220
C[380]=-0.000868797 C[381]=-0.000902653 C[382]=-0.000930786 C[383]=-0.000953674
C[384]= 0.000971317 C[385]= 0.000983715 C[386]= 0.000991821 C[387]= 0.000995159
C[388]= 0.000994205 C[389]= 0.000989437 C[390]= 0.000980854 C[391]= 0.000968933
C[392]= 0.000954151 C[393]= 0.000935555 C[394]= 0.000915051 C[395]= 0.000891685
C[396]= 0.000866413 C[397]= 0.000838757 C[398]= 0.000809669 C[399]= 0.000779152
C[400]= 0.000747204 C[401]= 0.000714302 C[402]= 0.000680923 C[403]= 0.000646591
C[404]= 0.000611782 C[405]= 0.000576973 C[406]= 0.000542164 C[407]= 0.000507355
C[408]= 0.000472546 C[409]= 0.000438213 C[410]= 0.000404358 C[411]= 0.000371456
C[412]= 0.000339031 C[413]= 0.000307560 C[414]= 0.000277042 C[415]= 0.000247478
C[416]= 0.000218868 C[417]= 0.000191212 C[418]= 0.000165462 C[419]= 0.000140190
C[420]= 0.000116348 C[421]= 0.000093937 C[422]= 0.000072956 C[423]= 0.000052929
C[424]= 0.000034332 C[425]= 0.000017166 C[426]= 0.000000954 C[427]=-0.000013828
C[428]=-0.000027180 C[429]=-0.000039577 C[430]=-0.000050545 C[431]=-0.000060558
C[432]=-0.000069618 C[433]=-0.000077724 C[434]=-0.000084400 C[435]=-0.000090122
C[436]=-0.000095367 C[437]=-0.000099182 C[438]=-0.000102520 C[439]=-0.000105381
C[440]=-0.000106812 C[441]=-0.000108242 C[442]=-0.000108719 C[443]=-0.000108719
C[444]=-0.000108242 C[445]=-0.000107288 C[446]=-0.000105858 C[447]=-0.000103951
C[448]= 0.000101566 C[449]= 0.000099182 C[450]= 0.000096321 C[451]= 0.000093460
C[452]= 0.000090599 C[453]= 0.000087261 C[454]= 0.000083923 C[455]= 0.000080585
C[456]= 0.000076771 C[457]= 0.000073433 C[458]= 0.000070095 C[459]= 0.000066280
C[460]= 0.000062943 C[461]= 0.000059605 C[462]= 0.000055790 C[463]= 0.000052929
C[464]= 0.000049591 C[465]= 0.000046253 C[466]= 0.000043392 C[467]= 0.000040531
C[468]= 0.000037670 C[469]= 0.000034809 C[470]= 0.000032425 C[471]= 0.000030041
C[472]= 0.000027657 C[473]= 0.000025272 C[474]= 0.000023365 C[475]= 0.000021458
C[476]= 0.000019550 C[477]= 0.000018120 C[478]= 0.000016689 C[479]= 0.000014782
C[480]= 0.000013828 C[481]= 0.000012398 C[482]= 0.000011444 C[483]= 0.000010014
C[484]= 0.000009060 C[485]= 0.000008106 C[486]= 0.000007629 C[487]= 0.000006676
C[488]= 0.000006199 C[489]= 0.000005245 C[490]= 0.000004768 C[491]= 0.000004292
C[492]= 0.000003815 C[493]= 0.000003338 C[494]= 0.000003338 C[495]= 0.000002861
C[496]= 0.000002384 C[497]= 0.000002384 C[498]= 0.000001907 C[499]= 0.000001907
C[500]= 0.000001431 C[501]= 0.000001431 C[502]= 0.000000954 C[503]= 0.000000954
C[504]= 0.000000954 C[505]= 0.000000954 C[506]= 0.000000477 C[507]= 0.000000477
C[508]= 0.000000477 C[509]= 0.000000477 C[510]= 0.000000477 C[511]= 0.000000477

```

3-C.1.4 Psychoacoustic Models

Two examples of psychoacoustic models are presented in Annex D, "PSYCHOACOUSTIC MODELS".

3-C.1.5 Encoding

3-C.1.5.1 Layer I Encoding

1. Introduction

This clause describes a possible Layer I encoding method. The description is made according to Figure 3-C.2, "LAYER I, II ENCODER FLOW CHART".

2. Psychoacoustic Model

The calculation of the psychoacoustic parameters can be done either with Psychoacoustic Model I described in Annex D, Clause 3-D.1. or with Psychoacoustic Model II as described in Annex D, Clause 3-D.2. The FFT shiftlength equals 384 samples. Either model provides the signal-to-mask ratio for every subband.

3. Analysis Subband Filtering

The subband analysis is described in the Clause 3-C.1.3, "ANALYSIS SUBBAND FILTER".

4. Scalefactor Calculation

The calculation of the scalefactor for each subband is performed every 12 subband samples. The maximum of the absolute value of these 12 samples is determined. The lowest value in 3-Annex B, Table 3-B.1., "LAYER I, II SCALEFACTORS", which is larger than this maximum is used as the scalefactor.

5. Coding of Scalefactors

The index in the 3-Annex B, Table 3-B.1., "LAYER I, II SCALEFACTORS" is represented by 6 bits, MSB first. The scalefactor is transmitted only if a non-zero number of bits has been allocated to the subband.

6. Bit Allocation

Before adjustment to a fixed bitrate, the number of bits that are available for coding the samples and the scalefactors must be determined. This number can be obtained by subtracting from the total number of bits available "cb", the number of bits needed for the header "bhdr" (32 bits), the CRC checkword "bcrc" if used (16 bits), the bit allocation "bbal", and the number of bits required for ancillary data "banc":

$$adb = cb - (bhdr + bcrc + bbal + banc)$$

The resulting number of bits can be used to code the subband samples and the scalefactors. The principle used in the allocation procedure is minimization of the total noise-to-mask ratio over the frame with the constraint that the number of bits used does not exceed the number of bits available for that frame. The possible number of bits allocated to one sample can be found in the table in Clause 2.4.2.5 of the main part of the audio standard (Audio data, Layer I); the range is 0...15 bits, excluding an allocation of 1 bit.

The allocation procedure is an iterative procedure, where in each iteration step the number of levels of the subband samples of greatest benefit is increased.

First the mask-to-noise ratio "MNR" for each subband is calculated by subtracting from the signal-to-noise-ratio "SNR" the signal-to-mask-ratio "SMR":

$$\text{MNR} = \text{SNR} - \text{SMR}$$

The signal-to-noise-ratio can be found in the 3-Annex C, Table 3-C.2., "LAYER I SIGNAL-TO-NOISE-RATIOS". The signal-to-mask-ratio is the output of the psychoacoustic model.

Then zero bits are allocated to the samples and the scalefactors. The number of bits for the samples "bspl" and the number of bits for the scalefactors "bscf" are set to zero. Next an iterative procedure is started. Each iteration loop contains the following steps :

- Determination of the minimal MNR of all subbands.
- The accuracy of the quantization of the subband with the minimal MNR is increased by using the next higher number of bits.
- The new MNR of this subband is calculated.
- bspl is updated according to the additional number of bits required. If a non-zero number of bits is assigned to a subband for the first time, bscf has to be incremented by 6 bits. Then adb is calculated again using the formula:

$$\text{adb} = \text{cb} - (\text{bhdr} + \text{bcrc} + \text{bbal} + \text{bscf} + \text{bspl} + \text{banc})$$

The iterative procedure is repeated as long as adb is not less than any possible increase of bspl and bscf within one loop.

7. Quantization and Encoding of Subband Samples

A linear quantizer with a symmetric zero representation is used to quantize the subband samples. This representation prevents small value changes around zero from quantizing to different levels. Each of the subband samples is normalized by dividing its value by the scalefactor to obtain X, and quantized using the following formula :

- Calculate AX+B
- Take the N most significant bits.
- Invert the MSB.

A and B can be found in 3-Annex C, Table 3-C.3, "LAYER I QUANTIZATION COEFFICIENTS". N represents the necessary number of bits to encode the number of steps. The inversion of the most significant bit (MSB) is done in order to avoid the all '1' representation of the code, because the all '1' code is used for the synchronization word.

8. Coding of Bit Allocation

The 4-bit code for the allocation is given in Clause 2.4.2.5, "Audio data Layer I", of the main part of the audio standard.

9. Ancillary Data

The Audio standard provides a number of bits for the inclusion and transmission of variable length ancillary data with the audio bitstream. The ancillary data will reduce the number of bits available for audio, which may result in a degradation of audio quality.

The presence of a bit pattern in the ancillary data matching the syncword may hamper synchronization. This problem is more likely to occur when the free format is used.

10. Formatting

The encoded subband information is transferred in frames (See also Clauses 2.4.1.2, 2.4.1.3, 2.4.1.5 and 2.4.1.8 of the Clause 2.4.1 "Specification of the Coded Audio Bitstream Syntax " of the main part of the audio standard. The number of

slots in a frame varies with the sample frequency (Fs) and bitrate. Each frame contains information on 384 samples of the original input signal, so the frame rate is Fs/384.

Fs (kHz)	Frame size (ms)
48	8
44.1	8.7074...
32	12

A frame may carry audio information from one or two channels.

The length of a slot in Layer I is 32 bits. The number of slots in a frame can be computed by this formula :

$$\text{Number of slots/frame (N)} = \frac{\text{bitrate}}{\text{Fs}} * 12$$

If this does not give an integer number the result is truncated and 'padding' is required. This means that the number of slots may vary between N and N + 1.

An overview of the Layer I format is given below:

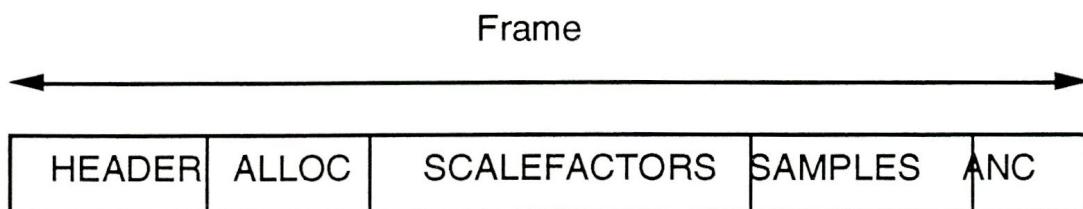


TABLE 3-C.2 LAYER I SIGNAL-TO-NOISE RATIOS

No. of steps	SNR (dB)
0	0.00
3	7.00
7	16.00
15	25.28
31	31.59
63	37.75
127	43.84
255	49.89
511	55.93
1023	61.96
2047	67.98
4095	74.01
8191	80.03
16383	86.05
32767	92.01

TABLE 3-C.3 LAYER I QUANTIZATION COEFFICIENTS

No. of steps	A	B
3	0.750000000	-0.250000000
7	0.875000000	-0.125000000
15	0.937500000	-0.062500000
31	0.968750000	-0.031250000
63	0.984375000	-0.015625000
127	0.992187500	-0.007812500
255	0.996093750	-0.003906250
511	0.998046875	-0.001953125
1023	0.999023438	-0.000976563
2047	0.999511719	-0.000488281
4095	0.999755859	-0.000244141
8191	0.999877930	-0.000122070
16383	0.999938965	-0.000061035
32767	0.999969482	-0.000030518

3-C.1.5.2 Layer II Encoding

1. Introduction

This clause describes a possible Layer II encoding method. The description is made according to Figure 3-C.2, "LAYER I, II ENCODER FLOWCHART".

2. Psychoacoustic Model

The calculation of the psychoacoustic parameters can be done either with Psychoacoustic Model I described in Annex D, Clause 3-D.1. or with Psychoacoustic Model II described in Annex D, Clause 3-D.2. If Psychoacoustic Model I is used to calculate the psychoacoustic parameters, the FFT shiftlength is 1152 samples. If Psychoacoustic Model II is used, the calculation is performed twice with a shiftlength of 576 samples and the largest of each pair of signal to mask ratios is used. Either model provides the signal-to-mask ratio for every subband.

3. Analysis Subband Filter

The analysis subband filter is described in Clause 3-C.1.3, "ANALYSIS SUBBAND FILTER".

4. Scalefactor Calculation

The calculation of the scalefactor for each subband is performed every 12 subband samples. The maximum of the absolute value of these 12 samples is determined. The lowest value in 3-Annex B, Table 3-B.1., "LAYER I, II SCALEFACTORS", which is larger than this maximum is used as the scalefactor.

5. Coding of Scalefactors

A frame corresponds to 36 subband samples and therefore contains three scalefactors per subband. Define 'scf' as the index in Annex B, Table 3-B.1., "LAYER I, II SCALEFACTORS". First, the two differences $dscf_1$ and $dscf_2$ of the successive scalefactor indices scf_1 , scf_2 and scf_3 are calculated:

$$dscf_1 = scf_1 - scf_2$$

$$dscf_2 = scf_2 - scf_3$$

The class of each of the differences is determined as follows:

class.	dscf
1	$dscf \leq -3$
2	$-3 < dscf < 0$
3	$dscf = 0$
4	$0 < dscf < 3$
5	$dscf \geq 3$

The pair of classes of differences indicate the entry point in Table 3-C.4., "LAYER II SCALEFACTOR TRANSMISSION PATTERNS". The "adjusted scalefactor pattern" gives the three scalefactors which are actually used. "1", "2" and "3" mean respectively the first, second and third scalefactor within a frame, "4" means the maximum of the three scalefactors. If, after this adjusting of scalefactors two or three are the same, not all scalefactors must be transmitted for a certain subband within one frame. Only the scalefactors indicated in the "transmission pattern" are transmitted. The information describing the number and the position of the scalefactors in each subband is called "scalefactor select information".

6. Coding of Scalefactor Select Information

The "scalefactor select information" (scfsi) is coded by a two bit word, which is also to be found in 3-ANNEX C, Table 3-C.4., "LAYER II SCALEFACTOR TRANSMISSION PATTERNS". Only the scfsi for the subbands which will get a nonzero bit allocation are transmitted.

7. Bit Allocation

Before adjustment to a fixed bitrate, the number of bits, "adb", that are available for coding the samples and the scalefactors must be determined. This number can be obtained by subtracting from the total number of available bits "cb", the number of bits needed for the header "bhdr" (32 bits), the CRC checkword "bcrc" if used (16 bits), the bit allocation "bbal", and the number of bits "banc" required for ancillary data:

$$adb = cb - (bhdr + bcrc + bbal + banc)$$

The resulting number can be used to code the subband samples and the scalefactors. The principle used in the allocation procedure is minimization of the total noise-to-mask ratio over the frame with the constraint that the number of bits used does not exceed the number of bits available for that frame. Use is made of 3-Annex B, Table 3-B.2., "LAYER II POSSIBLE QUANTIZATIONS PER SUBBAND" that indicates for every subband the number of steps that may be used to quantize the samples. The number of bits required to represent these quantized samples can be derived from 3-Annex B, Table 3-B.4., "LAYER II CLASSES OF QUANTIZATION".

The allocation procedure is an iterative procedure where, in each iteration step the number of levels of the subband that has the greatest benefit is increased.

First the mask-to-noise ratio "MNR" for each subband is calculated by subtracting from the signal-to-noise-ratio "SNR" the signal-to-mask-ratio "SMR":

$$MNR = SNR - SMR$$

The signal-to-noise-ratio can be found in table 3-C.5. "LAYER II SIGNAL-TO-NOISE-RATIOS". The signal-to-mask-ratio is the output of the psychoacoustic model.

Then zero bits are allocated to the samples and the scalefactors. The number of bits for the samples "bspl" and the number of bits for the scalefactors "bscf" are set to zero. Next an iterative procedure is started. Each iteration loop contains the following steps :

- Determination of the minimal MNR of all subbands.
- The accuracy of the quantization of the subband with the minimal MNR is increased by using the next higher entry in the relevant Annex B, Table 3-B.2., "LAYER II POSSIBLE QUANTIZATIONS PER SUBBAND".

- The new MNR of this subband is calculated.
- bspl is updated according to the additional number of bits required. If a non-zero number of bits is assigned to a subband for the first time, bsel has to be updated, and bscf has to be updated according to the number of scalefactors required for this subband. Then adb is calculated again using the formula :

$$adb = cb - (bhdr + bcrc + bbal + bsel + bscf + bspl + banc)$$

The iterative procedure is repeated as long as adb is not less than any possible increase of bspl, bsel and bscf within one loop.

8. Quantization and Encoding of Subband Samples

Each of the 12 subband samples is normalized by dividing its value by the scale factor to obtain X and quantized using the following formula:

- Calculate A * X + B
- Take the N most significant bits.
- Invert the MSB

A and B can be found in the 3-ANNEX C, TABLE 3-C.6., "LAYER II QUANTIZATION COEFFICIENTS". N represents the necessary number of bits to encode the number of steps. The inversion of the MSB is done in order to avoid the all '1' code that is used for the synchronization word.

Given the number of steps that the samples will be quantized to, 3-Annex B, Table 3-B.4., "LAYER II CLASSES OF QUANTIZATION" shows whether grouping will be used. If grouping is not required, the three samples are coded with individual codewords.

If grouping is required, three consecutive samples are coded as one codeword. Only one value v_m , MSB first, is transmitted for this triplet. The relationships between the coded value v_m ($m=3,5,9$) and the three consecutive subband samples x, y, z are:

$$\begin{aligned} v_3 &= 9z + 3y + x & (v_3 \text{ in } 0 \dots 26) \\ v_5 &= 25z + 5y + x & (v_5 \text{ in } 0 \dots 124) \\ v_9 &= 81z + 9y + x & (v_9 \text{ in } 0 \dots 728) \end{aligned}$$

9. Coding of Bit Allocation

For the purpose of a more efficient coding, only a limited number of possible quantizations, which may be different for each subband, are allowed. Only the index with wordlength "nbal" in the relevant Annex B, Table 3-B.2., "LAYER II POSSIBLE QUANTIZATIONS PER SUBBAND" is transmitted, MSB first.

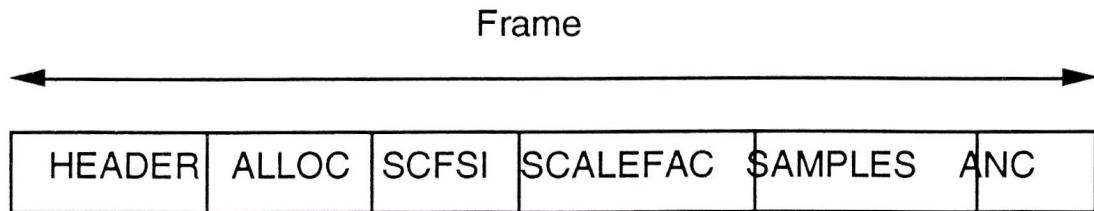
10. Ancillary Data

The Audio standard provides a number of bits for the inclusion and transmission of variable length ancillary data with the audio bitstream. The ancillary data will reduce the number of bits available for audio, which may result in a degradation of audio quality.

The presence of a bit pattern in the ancillary data matching the syncword may hamper synchronization. This problem is more likely to occur when the free format is used.

11. Formatting

An overview of the Layer II format can be seen as follows:



The differences compared to the Layer I format are:

- The length of a slot equals 8 bits.
- A new block scfsi containing the scalefactor select information has been introduced.
- The bit allocation information, scalefactors and samples have been subject to further coding (see the related clauses).

The details can be found in the Clause 2.4.1 of the main part of this audio standard, "SPECIFICATION OF THE CODED AUDIO BITSTREAM SYNTAX".

TABLE 3-C.4: LAYER II SCALEFACTOR TRANSMISSION PATTERNS

Class 1	Class 2	Transmission pattern	Select Information
1	1	1 2 3	0
1	2	1 2 2	3
1	3	1 2 2	3
1	4	1 3 3	3
1	5	1 2 3	0
2	1	1 1 3	1
2	2	1 1 1	2
2	3	1 1 1	2
2	4	4 4 4	2
2	5	1 1 3	1
3	1	1 1 1	2
3	2	1 1 1	2
3	3	1 1 1	2
3	4	3 3 3	2
3	5	1 1 3	1
4	1	2 2 2	2
4	2	2 2 2	2
4	3	2 2 2	2
4	4	3 3 3	2
4	5	1 2 3	0
5	1	1 2 3	0
5	2	1 2 2	3
5	3	1 2 2	3
5	4	1 3 3	3
5	5	1 2 3	0

TABLE 3-C.5: LAYER II SIGNAL-TO-NOISE RATIOS

No. of steps	SNR (dB)
0	0.00
3	7.00
5	11.00
7	16.00
9	20.84
15	25.28
31	31.59
63	37.75
127	43.84
255	49.89
511	55.93
1023	61.96
2047	67.98
4095	74.01
8191	80.03
16383	86.05
32767	92.01
65535	98.01

TABLE 3-C.6: LAYER II QUANTIZATION COEFFICIENTS

No. of steps	A	B
3	0.750000000	-0.250000000
5	0.625000000	-0.375000000
7	0.875000000	-0.125000000
9	0.562500000	-0.437500000
15	0.937500000	-0.062500000
31	0.968750000	-0.031250000
63	0.984375000	-0.015625000
127	0.992187500	-0.007812500
255	0.996093750	-0.003906250
511	0.998046875	-0.001953125
1023	0.999023438	-0.000976563
2047	0.999511719	-0.000488281
4095	0.999755859	-0.000244141
8191	0.999877930	-0.000122070
16383	0.999938965	-0.000061035
32767	0.999969482	-0.000030518
65535	0.999984741	-0.000015259

FIGURE 3-C.1 Analysis subband filter flow chart

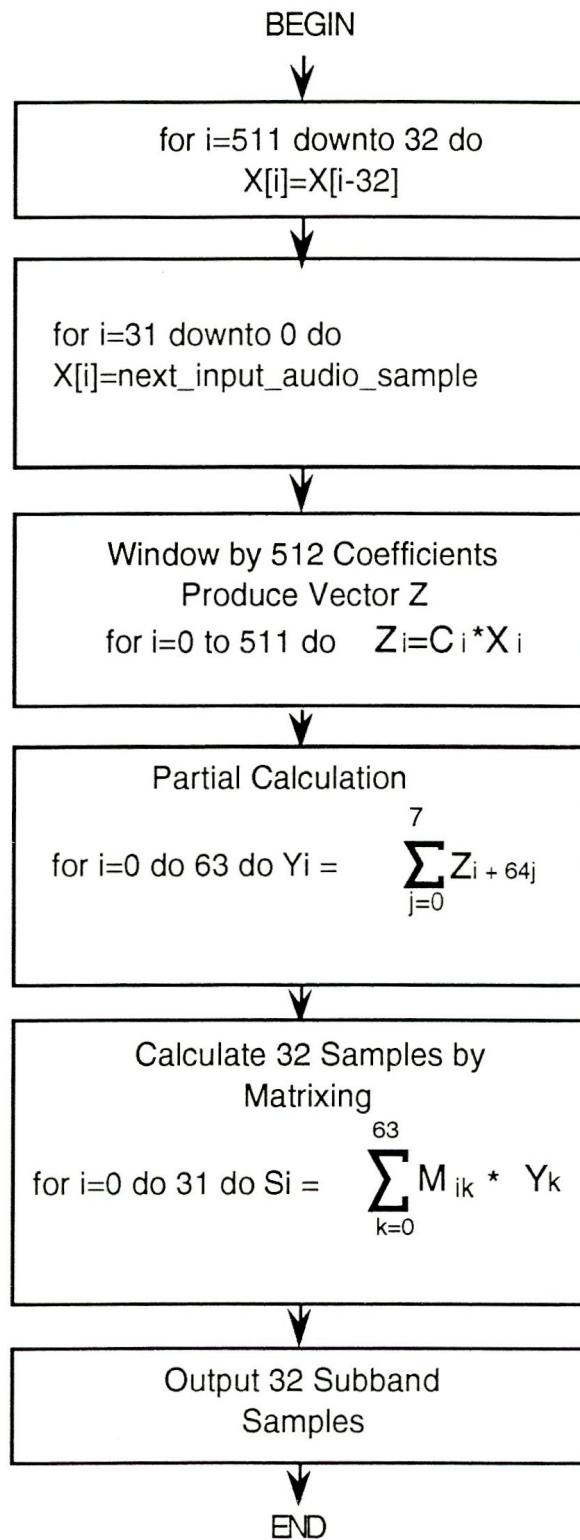
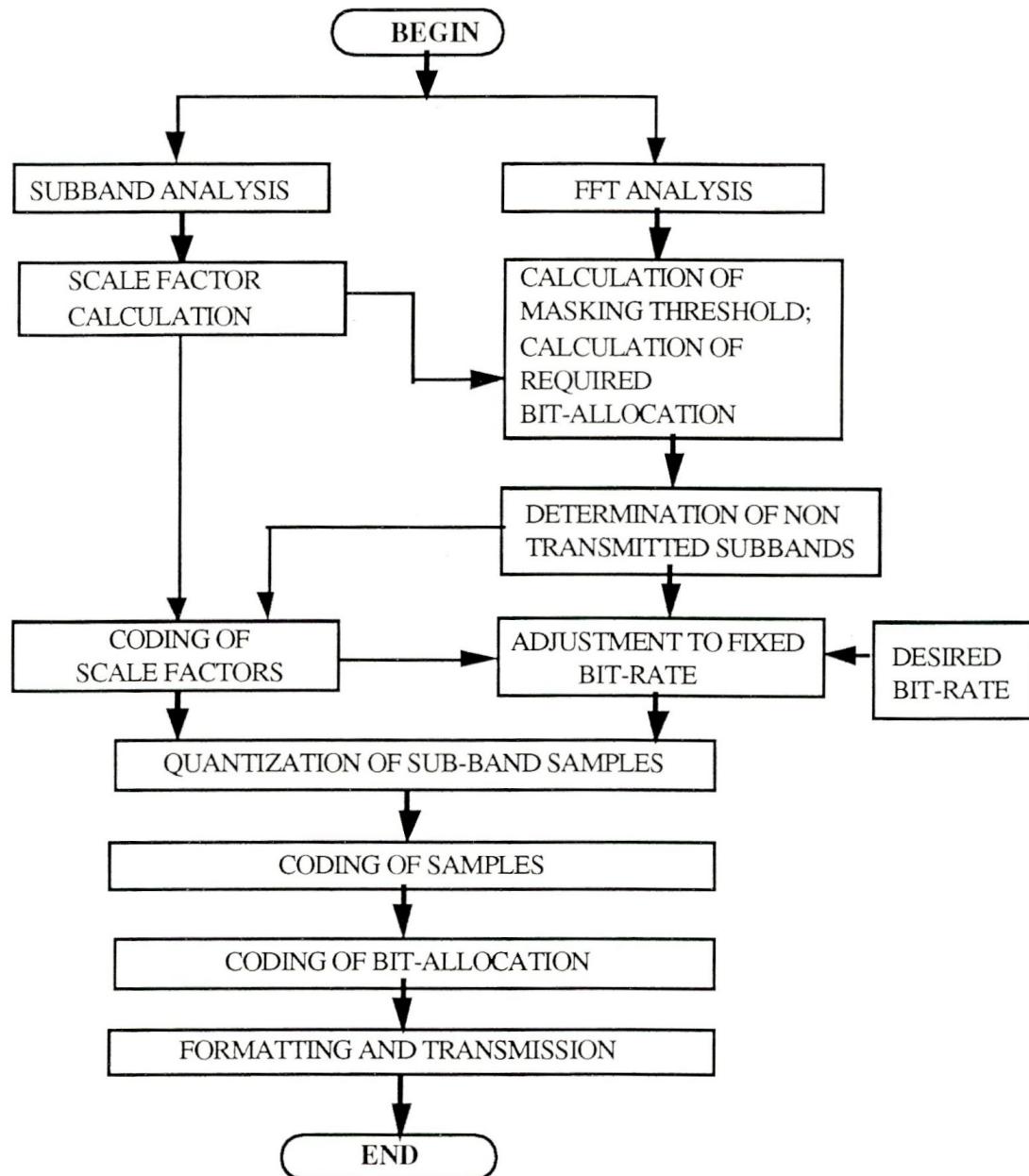


FIGURE 3-C.2 Layer I, II encoder flow chart



3-C.1.5.3 Layer III Encoding

1. Introduction

This clause describes a possible Layer III encoding method. The basic data flow is described by the general psychoacoustic coder block diagram. The basic blocks are described in more detail and below.

2. Psychoacoustic Model

The calculation of the psychoacoustic parameters can be done either with Psychoacoustic Model I described in Annex D, Clause 3-D.1, or with Psychoacoustic Model II described in Annex D, Clause 3-D.2. A description of modifications to Psychoacoustic Model II for use with Layer III can be found below. The model is run twice per block, using a shiftlength of 576 samples. A signal-to-mask-ratio is provided for every scale factor band

2.1. Adaptation of Psychoacoustic Model II for Layer III

For the use with Layer III encoding the psychoacoustic model 2 (Annex D, Clause 3-D.2.) is modified as described below.

General Considerations:

The model is calculated twice in parallel. One computation is done with a shift length **iblen** of 192 samples (to be used with short blocks), the other is done with a shift length of 576 samples. For the shift length of 192 samples the block length of the FFT is changed to 256, and the parameters changed accordingly.

Change to Unpredictability Calculation:

The calculation of the unpredictability metric in Psychoacoustic Model II is changed.

- Calculation of the unpredictability

The unpredictability cw is calculated for the first 206 spectral lines. For the other spectral lines, the unpredictability is set to 0.4.

The unpredictability for the first 6 lines is calculated from the long FFT (window length = 1024, shiftlen = 576). For the spectral lines 6 upto 205 the unpredictability is calculated from the short FFT (window length 256, shiftlen = 192):

$$cw(w) = \begin{cases} cw_l(w) & \text{for } 0 \leq w < 6 \\ cw_s(w/4) & \text{for } 6 \leq w < 206, w=6,10,14,\dots \\ 0.4 & \text{for } w \geq 206 \end{cases}$$

cw_l is the unpredictability calculated from the long FFT, cw_s is the unpredictability calculated from the second short block out of three short blocks within one granule.

- The spreading function has been replaced:

If $j \geq i$ $tmpy = 3.0(j-i)$
else $tmpy = 1.5(j-i)$ is used.

Only values of the spreading function greater than 10^{-6} are used. All other values are set to zero.

- For converting the unpredictability the parameters

$conv1 = -0.299$
 $conv2 = -0.43$

are used.

- The parameter NMT (noise masking tone) is set to 6.0 db for all threshold calculation partitions. The parameter TMN (tone masking noise) is set to 29.0 db for all partitions.

For minval see table "threshold calculation partitions"

- The psychoacoustic entropy is estimated from the ratio thr/eb, where thr is the threshold and eb is the energy:

$$pe = - \sum (cbwidth_k \cdot \log(thr_k / (eb_k + 1)))$$

where k indexes the threshold calculation partitions and cbwidth is the width of the threshold calculation partition (see tables).

- pre-echo control

The following constants are used for the control of pre-echo's (see block diagram):

rpelev = 2.

rpelev2 = 16.

- The threshold is not spread over the FFT lines. The threshold calculation partitions are converted directly to scalefactor bands. The first partition which is added to the scalefactor band is weighted with w1, the last with w2 (see table 3-Annex 3-C.8 "converting threshold calculation partitions to scalefactor bands"). The table contains also the number of partitions (cbw) converted to one scalefactor band (excluding the first and the last partition). The parameters bo and bu are shown in the table 3-Annex 3-C.8 used for converting threshold calculation partitions to scalefactor bands.
- For short blocks a simplified version of the threshold calculation (constant signal to noise ratio) is used. The constants can be found in the columns "SNR (dB)" in table 3-Annex 3-C.7. below.

Tables:

**Table 3-C.7: Threshold calculation partitions with following parameters:
width, minval, threshold in quiet, norm and bval:**

**Table 3-C.7a: Sampling_frequency = 48 kHz
long blocks**

no.	FFT-lines	minval	qthr	norm	bval
0	1	24.5	4.532	0.970	0.000
1	1	24.5	4.532	0.755	0.469
2	1	24.5	4.532	0.738	0.937
3	1	24.5	0.904	0.730	1.406
4	1	24.5	0.904	0.724	1.875
5	1	20	0.090	0.723	2.344
6	1	20	0.090	0.723	2.812
7	1	20	0.029	0.723	3.281
8	1	20	0.029	0.718	3.750
9	1	20	0.009	0.690	4.199
10	1	20	0.009	0.660	4.625
11	1	18	0.009	0.641	5.047
12	1	18	0.009	0.600	5.437
13	1	18	0.009	0.584	5.828
14	1	12	0.009	0.531	6.187
15	1	12	0.009	0.537	6.522
16	2	6	0.018	0.857	7.174
17	2	6	0.018	0.858	7.800
18	2	3	0.018	0.853	8.402
19	2	3	0.018	0.824	8.966
20	2	3	0.018	0.778	9.483

21	2	3	0.018	0.740	9.966
22	2	0	0.018	0.709	10.426
23	2	0	0.018	0.676	10.866
24	2	0	0.018	0.632	11.279
25	2	0	0.018	0.592	11.669
26	2	0	0.018	0.553	12.042
27	2	0	0.018	0.510	12.386
28	2	0	0.018	0.513	12.721
29	3	0	0.027	0.608	13.115
30	3	0	0.027	0.673	13.561
31	3	0	0.027	0.636	13.983
32	3	0	0.027	0.586	14.371
33	3	0	0.027	0.571	14.741
34	4	0	0.036	0.616	15.140
35	4	0	0.036	0.640	15.562
36	4	0	0.036	0.597	15.962
37	4	0	0.036	0.538	16.324
38	4	0	0.036	0.512	16.665
39	5	0	0.045	0.528	17.020
40	5	0	0.045	0.516	17.373
41	5	0	0.045	0.493	17.708
42	6	0	0.054	0.499	18.045
43	7	0	0.063	0.525	18.398
44	7	0	0.063	0.541	18.762
45	8	0	0.072	0.528	19.120
46	8	0	0.072	0.510	19.466
47	8	0	0.072	0.506	19.807
48	10	0	0.180	0.525	20.159
49	10	0	0.180	0.536	20.522
50	10	0	0.180	0.518	20.873
51	13	0	0.372	0.501	21.214
52	13	0	0.372	0.496	21.553
53	14	0	0.400	0.497	21.892
54	18	0	1.628	0.495	22.231
55	18	0	1.628	0.494	22.569
56	20	0	1.808	0.497	22.909
57	25	0	22.607	0.494	23.248
58	25	0	22.607	0.487	23.583
59	35	0	31.650	0.483	23.915
60	67	0	605.867	0.482	24.246
61	67	0	605.867	0.524	24.576

Table 3.-C.7b: Sampling_frequency = 44.1 kHz
long blocks

no.	FFT-lines	minval	qthr	norm	bval
0	1	24.5	4.532	0.951	0.000
1	1	24.5	4.532	0.700	0.431
2	1	24.5	4.532	0.681	0.861
3	1	24.5	0.904	0.675	1.292
4	1	24.5	0.904	0.667	1.723
5	1	20	0.090	0.665	2.153
6	1	20	0.090	0.664	2.584
7	1	20	0.029	0.664	3.015
8	1	20	0.029	0.664	3.445
9	1	20	0.029	0.655	3.876
10	1	20	0.009	0.616	4.279
11	1	20	0.009	0.597	4.670
12	1	18	0.009	0.578	5.057
13	1	18	0.009	0.541	5.415
14	1	18	0.009	0.575	5.774
15	2	12	0.018	0.856	6.422
16	2	6	0.018	0.846	7.026
17	2	6	0.018	0.840	7.609
18	2	3	0.018	0.822	8.168
19	2	3	0.018	0.800	8.710
20	2	3	0.018	0.753	9.207
21	2	3	0.018	0.704	9.662
22	2	0	0.018	0.674	10.099
23	2	0	0.018	0.640	10.515
24	2	0	0.018	0.609	10.917
25	2	0	0.018	0.566	11.293
26	2	0	0.018	0.535	11.652
27	2	0	0.018	0.531	11.997
28	3	0	0.027	0.615	12.394
29	3	0	0.027	0.686	12.850
30	3	0	0.027	0.650	13.277
31	3	0	0.027	0.611	13.681
32	3	0	0.027	0.567	14.062
33	3	0	0.027	0.520	14.411
34	3	0	0.027	0.513	14.751
35	4	0	0.036	0.557	15.119
36	4	0	0.036	0.584	15.508
37	4	0	0.036	0.570	15.883
38	5	0	0.045	0.579	16.263
39	5	0	0.045	0.585	16.654
40	5	0	0.045	0.548	17.020
41	6	0	0.054	0.536	17.374
42	6	0	0.054	0.550	17.744
43	7	0	0.063	0.532	18.104
44	7	0	0.063	0.504	18.447
45	7	0	0.063	0.496	18.781
46	9	0	0.081	0.516	19.130
47	9	0	0.081	0.527	19.487
48	9	0	0.081	0.516	19.838
49	10	0	0.180	0.497	20.179
50	10	0	0.180	0.489	20.510
51	11	0	0.198	0.502	20.852
52	14	0	0.400	0.502	21.196
53	14	0	0.400	0.491	21.531

54	15	0	0.429	0.497	21.870
55	20	0	1.808	0.504	22.214
56	20	0	1.808	0.504	22.558
57	21	0	1.899	0.495	22.898
58	27	0	24.415	0.486	23.232
59	27	0	24.415	0.484	23.564
60	36	0	32.554	0.483	23.897
61	73	0	660.124	0.475	24.229
62	18	0	162.770	0.515	24.542

**Table 3-C.7c: Sampling_frequency = 32 kHz
long blocks**

no.	FFT-lines	minval	qthr	norm	bval
0	2	24.5	9.064	0.997	0.312
1	2	24.5	9.064	0.893	0.937
2	2	24.5	1.808	0.881	1.562
3	2	20	0.181	0.873	2.187
4	2	20	0.181	0.872	2.812
5	2	20	0.057	0.871	3.437
6	2	20	0.018	0.860	4.045
7	2	20	0.018	0.839	4.625
8	2	18	0.018	0.812	5.173
9	2	18	0.018	0.784	5.698
10	2	12	0.018	0.741	6.184
11	2	12	0.018	0.697	6.634
12	2	6	0.018	0.674	7.070
13	2	6	0.018	0.651	7.492
14	2	6	0.018	0.633	7.905
15	2	3	0.018	0.611	8.305
16	2	3	0.018	0.589	8.695
17	2	3	0.018	0.575	9.064
18	3	3	0.027	0.654	9.483
19	3	3	0.027	0.724	9.966
20	3	0	0.027	0.701	10.425
21	3	0	0.027	0.673	10.866
22	3	0	0.027	0.631	11.279
23	3	0	0.027	0.592	11.669
24	3	0	0.027	0.553	12.042
25	3	0	0.027	0.510	12.386
26	3	0	0.027	0.505	12.721
27	4	0	0.036	0.562	13.091
28	4	0	0.036	0.598	13.488
29	4	0	0.036	0.589	13.873
30	5	0	0.045	0.607	14.268
31	5	0	0.045	0.620	14.679
32	5	0	0.045	0.580	15.067
33	5	0	0.045	0.532	15.424
34	5	0	0.045	0.517	15.771
35	6	0	0.054	0.517	16.120
36	6	0	0.054	0.509	16.466
37	6	0	0.054	0.506	16.807
38	8	0	0.072	0.522	17.158
39	8	0	0.072	0.531	17.518
40	8	0	0.072	0.519	17.869
41	10	0	0.090	0.512	18.215
42	10	0	0.090	0.509	18.562
43	10	0	0.090	0.497	18.902
44	12	0	0.108	0.494	19.239
45	12	0	0.108	0.501	19.579
46	13	0	0.117	0.507	19.925
47	14	0	0.252	0.502	20.269
48	14	0	0.252	0.493	20.606
49	16	0	0.289	0.497	20.944
50	20	0	0.572	0.506	21.288
51	20	0	0.572	0.510	21.635
52	23	0	0.658	0.504	21.979
53	27	0	2.441	0.496	22.319

54	27	0	2.441	0.493	22.656
55	32	0	2.894	0.490	22.993
56	37	0	33.458	0.483	23.326
57	37	0	33.458	0.458	23.656
58	12	0	10.851	0.500	23.937

Table 3-C.7d: Sampling_frequency = 48 kHz
short blocks

no.	FFT-lines	qthr	norm	SNR (db)	bval
0	1	4.532	0.970	-8.240	0.000
1	1	0.904	0.755	-8.240	1.875
2	1	0.029	0.738	-8.240	3.750
3	1	0.009	0.730	-8.240	5.437
4	1	0.009	0.724	-8.240	6.857
5	1	0.009	0.723	-8.240	8.109
6	1	0.009	0.723	-8.240	9.237
7	1	0.009	0.723	-8.240	10.202
8	1	0.009	0.718	-8.240	11.083
9	1	0.009	0.690	-8.240	11.864
10	1	0.009	0.660	-7.447	12.553
11	1	0.009	0.641	-7.447	13.195
12	1	0.009	0.600	-7.447	13.781
13	1	0.009	0.584	-7.447	14.309
14	1	0.009	0.532	-7.447	14.803
15	1	0.009	0.537	-7.447	15.250
16	1	0.009	0.857	-7.447	15.667
17	1	0.009	0.858	-7.447	16.068
18	1	0.009	0.853	-7.447	16.409
19	2	0.018	0.824	-7.447	17.044
20	2	0.018	0.778	-6.990	17.607
21	2	0.018	0.740	-6.990	18.097
22	2	0.018	0.709	-6.990	18.528
23	2	0.018	0.676	-6.990	18.930
24	2	0.018	0.632	-6.990	19.295
25	2	0.018	0.592	-6.990	19.636
26	3	0.054	0.553	-6.990	20.038
27	3	0.054	0.510	-6.990	20.486
28	3	0.054	0.513	-6.990	20.900
29	4	0.114	0.608	-6.990	21.305
30	4	0.114	0.673	-6.020	21.722
31	5	0.452	0.637	-6.020	22.128
32	5	0.452	0.586	-6.020	22.512
33	5	0.452	0.571	-6.020	22.877
34	7	6.330	0.616	-5.229	23.241
35	7	6.330	0.640	-5.229	23.616
36	11	9.947	0.597	-5.229	23.974
37	17	153.727	0.538	-5.229	24.312

Table 3-C.7e: Sampling_frequency = 44.1 kHz
short blocks

n.o.	FFT-lines	qthr	norm	SNR (db)	bval
0	1	4.532	0.952	-8.240	0.000
1	1	0.904	0.700	-8.240	1.723
2	1	0.029	0.681	-8.240	3.445
3	1	0.009	0.675	-8.240	5.057
4	1	0.009	0.667	-8.240	6.422
5	1	0.009	0.665	-8.240	7.609
6	1	0.009	0.664	-8.240	8.710
7	1	0.009	0.664	-8.240	9.662
8	1	0.009	0.664	-8.240	10.515
9	1	0.009	0.655	-8.240	11.293
10	1	0.009	0.616	-7.447	12.009
11	1	0.009	0.597	-7.447	12.625
12	1	0.009	0.578	-7.447	13.210
13	1	0.009	0.541	-7.447	13.748
14	1	0.009	0.575	-7.447	14.241
15	1	0.009	0.856	-7.447	14.695
16	1	0.009	0.846	-7.447	15.125
17	1	0.009	0.840	-7.447	15.508
18	1	0.009	0.822	-7.447	15.891
19	2	0.018	0.800	-7.447	16.537
20	2	0.018	0.753	-6.990	17.112
21	2	0.018	0.704	-6.990	17.620
22	2	0.018	0.674	-6.990	18.073
23	2	0.018	0.640	-6.990	18.470
24	2	0.018	0.609	-6.990	18.849
25	3	0.027	0.566	-6.990	19.271
26	3	0.027	0.535	-6.990	19.741
27	3	0.054	0.531	-6.990	20.177
28	3	0.054	0.615	-6.990	20.576
29	3	0.054	0.686	-6.990	20.950
30	4	0.114	0.650	-6.020	21.316
31	4	0.114	0.612	-6.020	21.699
32	5	0.452	0.567	-6.020	22.078
33	5	0.452	0.520	-6.020	22.438
34	5	0.452	0.513	-5.229	22.782
35	7	6.330	0.557	-5.229	23.133
36	7	6.330	0.584	-5.229	23.484
37	7	6.330	0.570	-5.229	23.828
38	19	171.813	0.578	-4.559	24.173

Table 3-C.7f: Sampling_frequency = 32 kHz
short blocks

no.	FFT-lines	qthr	norm	SNR (db)	bval
0	1	4.532	0.997	-8.240	0.000
1	1	0.904	0.893	-8.240	1.250
2	1	0.090	0.881	-8.240	2.500
3	1	0.029	0.873	-8.240	3.750
4	1	0.009	0.872	-8.240	4.909
5	1	0.009	0.871	-8.240	5.958
6	1	0.009	0.860	-8.240	6.857
7	1	0.009	0.839	-8.240	7.700
8	1	0.009	0.812	-8.240	8.500
9	1	0.009	0.784	-8.240	9.237
10	1	0.009	0.741	-7.447	9.895
11	1	0.009	0.697	-7.447	10.500
12	1	0.009	0.674	-7.447	11.083
13	1	0.009	0.651	-7.447	11.604
14	1	0.009	0.633	-7.447	12.107
15	1	0.009	0.611	-7.447	12.554
16	1	0.009	0.589	-7.447	13.000
17	1	0.009	0.575	-7.447	13.391
18	1	0.009	0.654	-7.447	13.781
19	2	0.018	0.724	-7.447	14.474
20	2	0.018	0.701	-6.990	15.096
21	2	0.018	0.673	-6.990	15.667
22	2	0.018	0.631	-6.990	16.177
23	2	0.018	0.592	-6.990	16.636
24	2	0.018	0.553	-6.990	17.057
25	2	0.018	0.510	-6.990	17.429
26	2	0.018	0.506	-6.990	17.786
27	3	0.027	0.562	-6.990	18.177
28	3	0.027	0.598	-6.990	18.597
29	3	0.027	0.589	-6.990	18.994
30	3	0.027	0.607	-6.020	19.352
31	3	0.027	0.620	-6.020	19.693
32	4	0.072	0.580	-6.020	20.066
33	4	0.072	0.532	-6.020	20.461
34	4	0.072	0.517	-5.229	20.841
35	5	0.143	0.517	-5.229	21.201
36	5	0.143	0.509	-5.229	21.549
37	6	0.172	0.506	-5.229	21.911
38	7	0.633	0.522	-4.559	22.275
39	7	0.633	0.531	-4.559	22.625
40	8	0.723	0.519	-3.980	22.971
41	10	9.043	0.512	-3.980	23.321

Table 3-C.8: Tables for converting threshold calculation partitions to scalefactor bands

**Table 3-C.8a: Sampling_frequency = 48 kHz
long blocks**

no. sb	cbw	bu	bo	w 1	w 2
0	3	0	4	1.000	0.056
1	3	4	7	0.944	0.611
2	4	7	11	0.389	0.167
3	3	11	14	0.833	0.722
4	3	14	17	0.278	0.639
5	2	17	19	0.361	0.417
6	3	19	22	0.583	0.083
7	2	22	24	0.917	0.750
8	3	24	27	0.250	0.417
9	3	27	30	0.583	0.648
10	3	30	33	0.352	0.611
11	3	33	36	0.389	0.625
12	4	36	40	0.375	0.144
13	3	40	43	0.856	0.389
14	3	43	46	0.611	0.160
15	3	46	49	0.840	0.217
16	3	49	52	0.783	0.184
17	2	52	54	0.816	0.886
18	3	54	57	0.114	0.313
19	2	57	59	0.687	0.452
20	1	59	60	0.548	0.908

**Table 3-C.8b: Sampling_frequency = 44.1 kHz
long blocks**

no. sb	cbw	bu	bo	w 1	w 2
0	3	0	4	1.000	0.056
1	3	4	7	0.944	0.611
2	4	7	11	0.389	0.167
3	3	11	14	0.833	0.722
4	3	14	17	0.278	0.139
5	1	17	18	0.861	0.917
6	3	18	21	0.083	0.583
7	3	21	24	0.417	0.250
8	3	24	27	0.750	0.805
9	3	27	30	0.194	0.574
10	3	30	33	0.426	0.537
11	3	33	36	0.463	0.819
12	4	36	40	0.180	0.100
13	3	40	43	0.900	0.468
14	3	43	46	0.532	0.623
15	3	46	49	0.376	0.450
16	3	49	52	0.550	0.552
17	3	52	55	0.448	0.403
18	2	55	57	0.597	0.643
19	2	57	59	0.357	0.722
20	2	59	61	0.278	0.960

**Table 3-C.8c: Sampling_frequency = 32 kHz
long blocks**

no. sb	cbw	bu	bo	w 1	w 2
0	1	0	2	1.000	0.528
1	2	2	4	0.472	0.305
2	2	4	6	0.694	0.083
3	1	6	7	0.917	0.861
4	2	7	9	0.139	0.639
5	2	9	11	0.361	0.417
6	3	11	14	0.583	0.083
7	2	14	16	0.917	0.750
8	3	16	19	0.250	0.870
9	3	19	22	0.130	0.833
10	4	22	26	0.167	0.389
11	4	26	30	0.611	0.478
12	4	30	34	0.522	0.033
13	3	34	37	0.967	0.917
14	4	37	41	0.083	0.617
15	3	41	44	0.383	0.995
16	4	44	48	0.005	0.274
17	3	48	51	0.726	0.480
18	3	51	54	0.519	0.261
19	2	54	56	0.739	0.884
20	2	56	58	0.116	1.000

**Table 3-C.8d: Sampling_frequency = 48 kHz
short blocks**

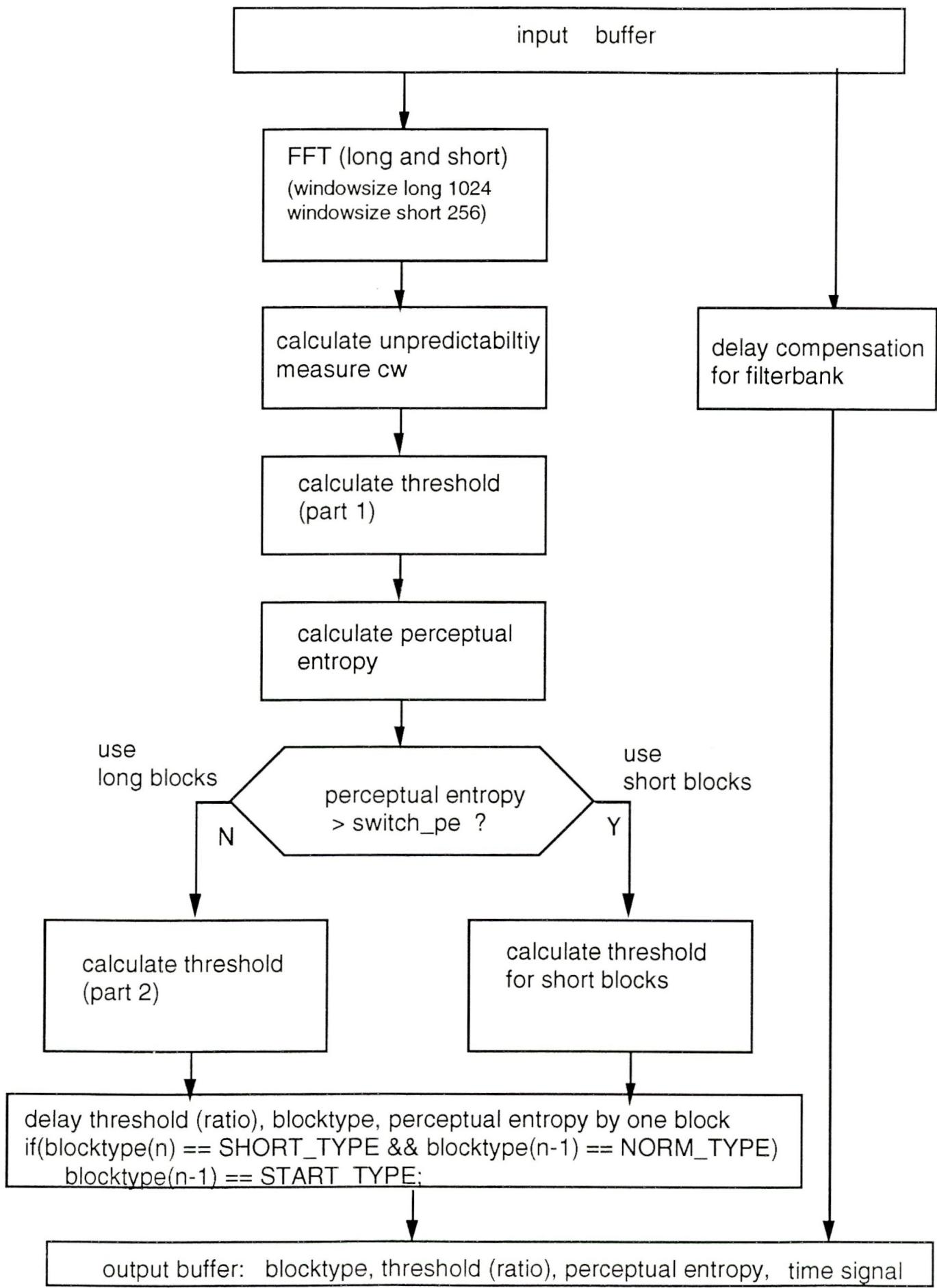
no. sb	cbw	bu	bo	w 1	w 2
0	2	0	3	1.000	0.167
1	2	3	5	0.833	0.833
2	3	5	8	0.167	0.500
3	3	8	11	0.500	0.167
4	4	11	15	0.833	0.167
5	4	15	19	0.833	0.583
6	3	19	22	0.417	0.917
7	4	22	26	0.083	0.944
8	4	26	30	0.055	0.042
9	2	30	32	0.958	0.567
10	3	32	35	0.433	0.167
11	2	35	37	0.833	0.618

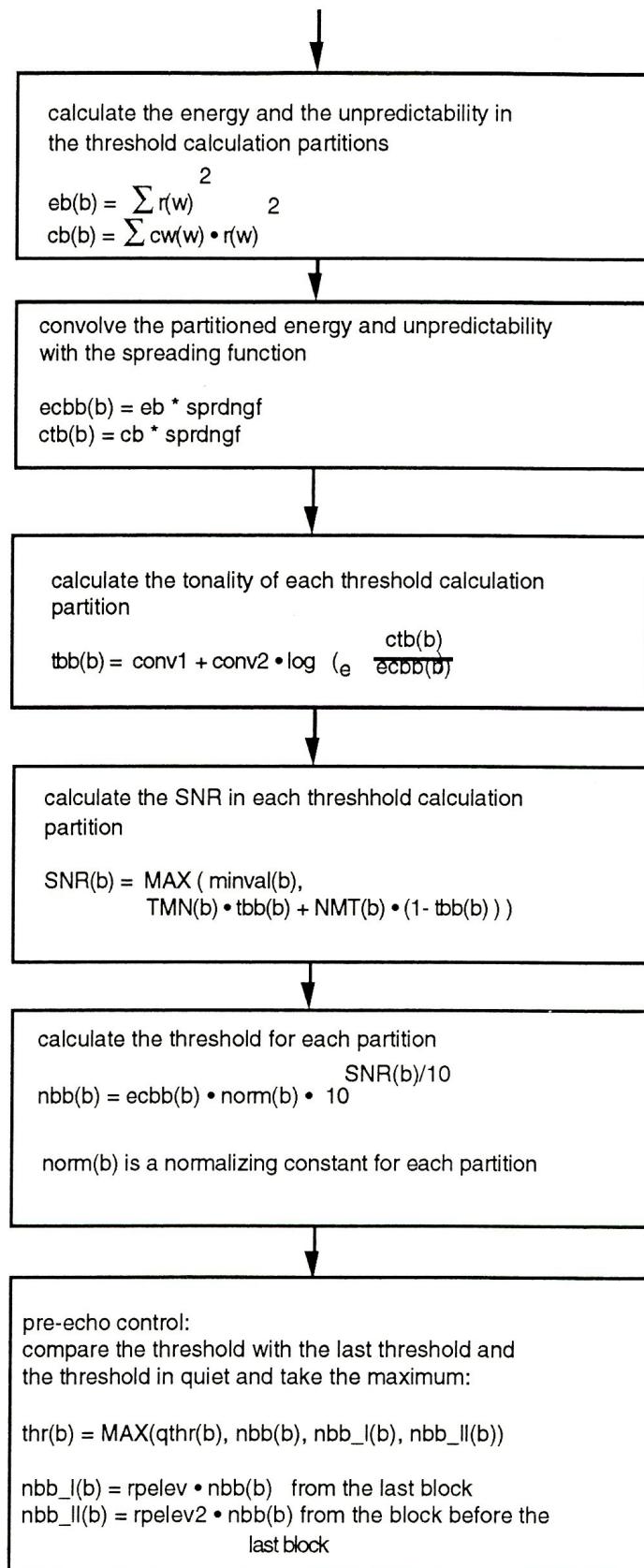
**Table 3-C.8e: Sampling_frequency = 44.1 kHz
short blocks**

no. sb	cbw	bu	bo	w 1	w 2
0	2	0	3	1.000	0.167
1	2	3	5	0.833	0.833
2	3	5	8	0.167	0.500
3	3	8	11	0.500	0.167
4	4	11	15	0.833	0.167
5	5	15	20	0.833	0.250
6	3	20	23	0.750	0.583
7	4	23	27	0.417	0.055
8	3	27	30	0.944	0.375
9	3	30	33	0.625	0.300
10	3	33	36	0.700	0.167
11	2	36	38	0.833	1.000

**Table 3-C.8f: Sampling_frequency = 32 kHz
short blocks**

no. sb	cbw	bu	bo	w 1	w 2
0	2	0	3	1.000	0.167
1	2	3	5	0.833	0.833
2	3	5	8	0.167	0.500
3	3	8	11	0.500	0.167
4	4	11	15	0.833	0.167
5	5	15	20	0.833	0.250
6	4	20	24	0.750	0.250
7	5	24	29	0.750	0.055
8	4	29	33	0.944	0.375
9	4	33	37	0.625	0.472
10	3	37	40	0.528	0.937
11	1	40	41	0.062	1.000





Block diagram psychoacoustic model II, layer III: calculate threshold (part 1)

convert from threshold calculation partitions to codec partitions
(scalefactor bands):

energy in each scalefactor band:

$$en(sb) = w1 \cdot eb(bu) + \sum_{b=bu+1}^{bo-1} eb(b) + w2 \cdot eb(bo)$$

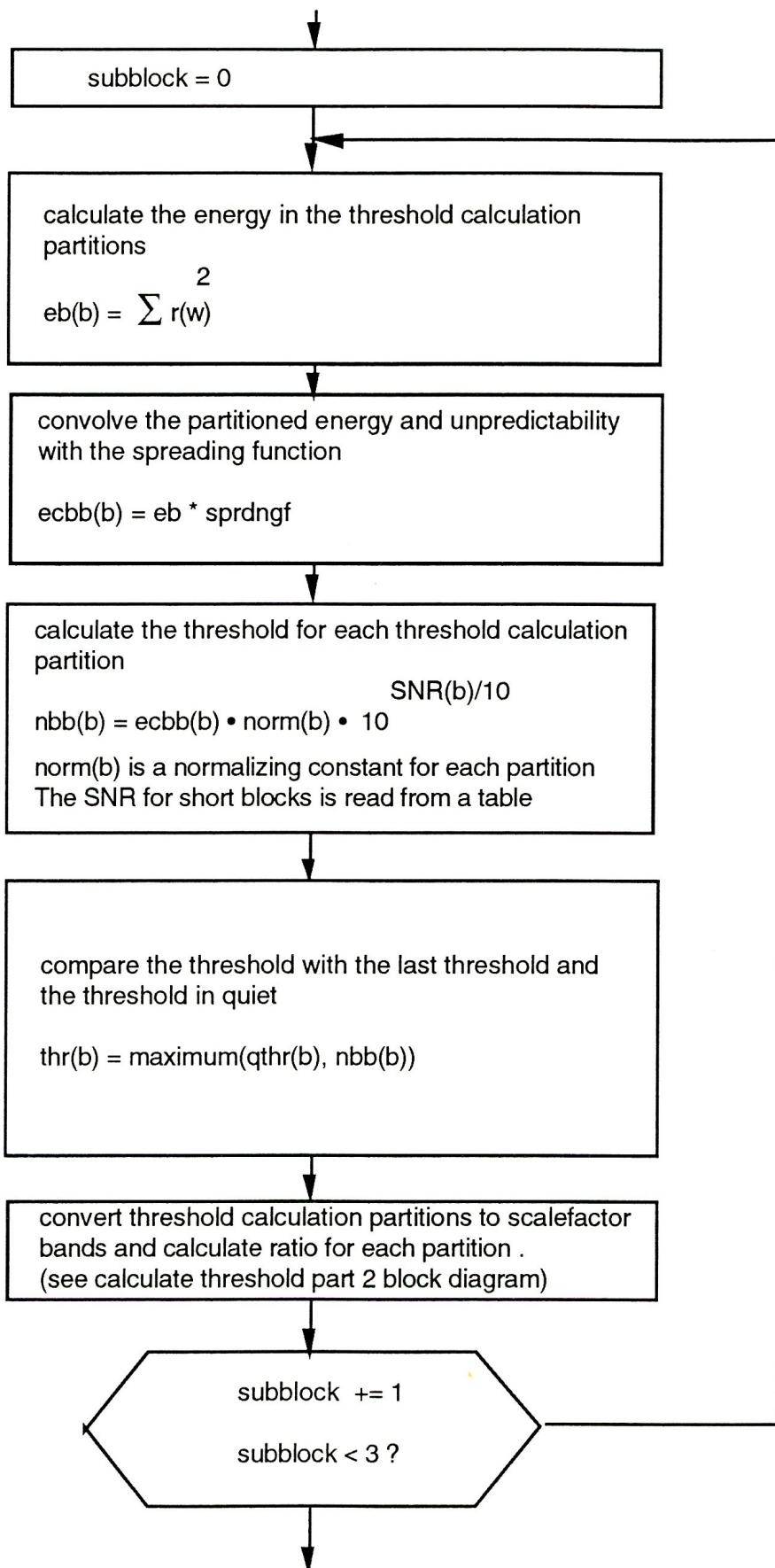
threshold in each scalefactor band:

$$thrn(sb) = w1 \cdot thr(bu) + \sum_{b=bu+1}^{bo-1} thr(b) + w2 \cdot thr(bo)$$

Calculate ratios for each scalefactor band:

$$ratio(sb) = \frac{thrn(sb)}{en(sb)}$$

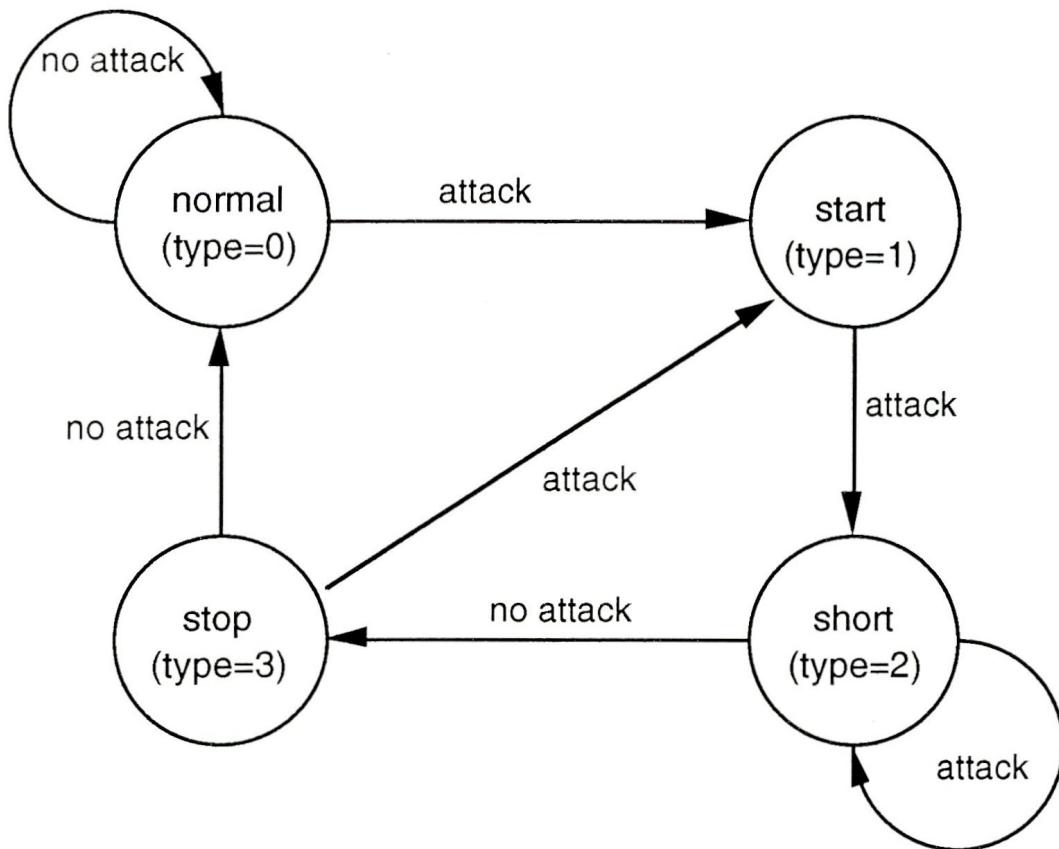
Block diagram psychoacoustic model II, layer III: calculate threshold (part 2)



Block diagram psychoacoustic model II, Layer III: calculate threshold for short blocks

Window switching decision:

The decision whether the filterbank should be switched to short windows is derived from the calculation of the masking threshold by calculating the estimate of the psychoacoustic entropy (PE) and switching when the PE exceeds the value 1800. If this condition is met, the sequence start (block_type=1), short (block_type=2), short, stop (block_type=3) is started. The figure below shows the possible state changes for the window switching logic.



3. Analysis Part of the Hybrid Filterbank

The subband analysis of the polyphase filterbank is described in Clause 3-C.1.3, "SUBBAND ANALYSIS FILTER". The output of the polyphase filterbank is the input to the subdivision using the MDCT. According to the output of the psychoacoustic model (variables **blocksplit_flag** and **block_type**) the window and transform types **normal**, **start**, **short** or **stop** are used.

18 consecutive output values of one granule and 18 output values of the granule before are assembled to one block of 36 samples.

Block type "**normal**"

$$z_i = x'_i \sin\left(\frac{\pi}{36} \left(i + \frac{1}{2}\right)\right) \quad \text{for } i=0 \text{ to } 35$$

Block type "start"

$$z_i = \begin{cases} x'_i \sin\left(\frac{\pi}{36}\left(i + \frac{1}{2}\right)\right) & \text{for } i=0 \text{ to } 17 \\ x'_i & \text{for } i=18 \text{ to } 23 \\ x'_i \sin\left(\frac{\pi}{12}\left(i - 18 + \frac{1}{2}\right)\right) & \text{for } i=24 \text{ to } 29 \\ 0 & \text{for } i=30 \text{ to } 35 \end{cases}$$

Block type "stop"

$$z_i = \begin{cases} 0 & \text{for } i=0 \text{ to } 5 \\ x'_i \sin\left(\frac{\pi}{12}\left(i - 6 + \frac{1}{2}\right)\right) & \text{for } i=6 \text{ to } 11 \\ x'_i & \text{for } i=12 \text{ to } 17 \\ x'_i \sin\left(\frac{\pi}{36}\left(i + \frac{1}{2}\right)\right) & \text{for } i=18 \text{ to } 35 \end{cases}$$

Block type "short"

The block of 36 samples is divided into three overlapping blocks:

$$y_i^{(0)} = x'_{i+6} \text{ for } i=0 \text{ to } 11$$

$$y_i^{(1)} = x'_{i+12} \text{ for } i=0 \text{ to } 11$$

$$y_i^{(2)} = x'_{i+18} \text{ for } i=0 \text{ to } 11$$

Each of the three small blocks is windowed separately:

$$z_i^{(k)} = y_i^{(k)} \sin\left(\frac{\pi}{12}\left(i + \frac{1}{2}\right)\right) \text{ for } i=0 \text{ to } 11, \text{ for } k=0 \text{ to } 2$$

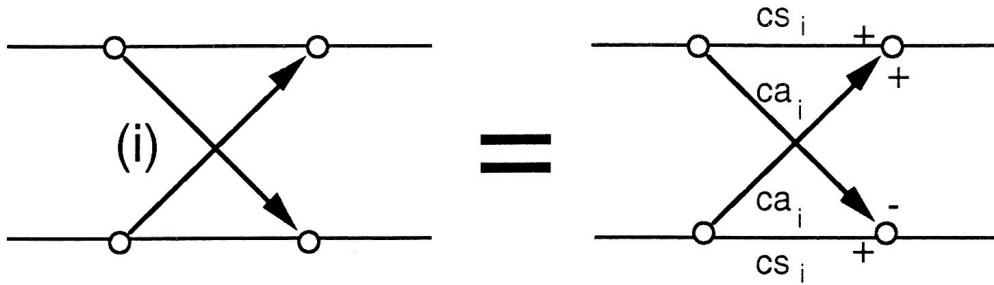
MDCT:

In the following n is the number of windowed samples. For short blocks n is 12, for long blocks n is 36. The analytical expression of the MDCT is:

$$x_i = \sum_{k=0}^{n-1} z_k \cos\left(\frac{\pi}{2n}\left(2k+1+\frac{n}{2}\right)(2i+1)\right) \quad \text{for } i=0 \text{ to } \frac{n}{2}-1$$

Aliasing-Butterfly, Encoder:

The calculation of aliasing reduction in the encoder is performed as in the decoder. The general procedure is shown in Figure 3-Annex 3-A.5. The butterfly definition to be used in the encoder is shown below. The coefficients ca_i and cs_i can be found in 3-Annex Table 3-B.9



4. Calculation of average available bits

The average number of bits per granule is calculated from the frame size. The bitrate 64 kb/second is used as an example. At bitrate 64 kb/second at 48000 samples per second,

$$(64000 * 0.24 \text{ bits per frame}) / (2 \text{ granules per frame}) = 768 \text{ bits per granule}$$

As the header takes 32 bits and the side information takes 17 bytes (136 bits) in single_channel mode, the average amount of available bits for the main_data for a granule is given by

$$\text{mean_bits} = 768 \text{ bits per granule} - (32 + 136 \text{ bits per frame}) / (2 \text{ granules per frame}) = 684 \text{ bits per granule}$$

Bit reservoir:

The bit reservoir can provide additional bits which may be used for the granule. The number of bits which are provided is determined within the iteration loops.

5. Quantization and Encoding of Frequency Domain Samples

The frequency domain data are quantized and coded within two nested iteration loops. Chapter 3-C1.5.4 contains a detailed description of these iteration loops.

6. Ancillary Data

The Audio standard provides a number of bits for the inclusion and transmission of variable length ancillary data with the audio bitstream. The ancillary data will reduce the number of bits available for audio, which may result in a degradation of audio quality.

The presence of a bit pattern in the ancillary data matching the syncword may hamper synchronization. This problem is more likely to occur when the free format is used.

7. Formatting

The details about the Layer III bitstream format can be found in the Clause 2.4.4 of the main part of this audio standard, "SPECIFICATION OF THE CODED AUDIO BITSTREAM SYNTAX". The formatting of the Huffman code words is described below:

The Huffman codewords are in sequence from low to high frequencies. In the iteration loops the following variables have been calculated and are used in encoding the Huffman codewords:

is(i), i=0...575	quantized frequency domain values
table_select[region]	Huffman code table used for regions (region = 0, 1, 2)
region_adress1	defines the border between region 0 and 1
region_adress2	defines the border between region 1 and 2
max_value[region]	maximum absolute value of quantized data in regions (region = 0, 1, 2)

The data are written to the bitstream according to the Huffman code syntax described in Clause 2.4.2.7

The actual assembly of the Huffman code for the big_values part is described in a pseudo high level language:

```
for region number from 0 to 2
if table_select for this region is 0
    nothing to do, all values in region are zero
else
    if table_select for this region is > 15
        an ESC-table is used: look up linbits value connected to the table used
        for i = begin of region to end of region, count in pairs
            x = is(i), y = is(i+1)
            if x > 14
                linbitsx = x - 15, x = 15
            end if
            signx = sign(x), x = abs(x)
            if y > 14
                linbitsy = y - 15, y = 15
            end if
            signy = sign(y), y = abs(y)
            look for codeword = hcod([x][y]) in table table_seletct
            write hcod([x][y]), beginning with the leftmost bit, number of bits is hlen([x][y])
            if x > 14
                write linbitsx to the bitstream, number of bits is linbits
            end if
            if x != 0
                write signx to bitstream
            end if
            if y > 14
                write linbitsy to the bitstream, number of bits is linbits
            end if
            if y != 0
                write signy to bitstream
            end if
        end do
    else
        no ESC-words are used in this region:
        for i = beginning of region to end of region, count in pairs
            x = is(i), y = is(i+1)
            signx = sign(x), x = abs(x)
            signy = sign(y), y = abs(y)
            look for codeword = hcod([x][y]) in table table_seletct
            write hcod([x][y]), beginning with the leftmost bit, number of bits is hlen([x][y])
            if x != 0
                write signx to bitstream
            end if
            if y != 0
                write signy to bitstream
            end if
        end do
    end if
end if
end for
```

A possible application for the private_bits is to use them as frame counter.

3-C.1.5.4 Layer III Iteration Loops

1. Introduction

The description of the Layer III loop module is subdivided into three levels. The top level is called "loops frame program". The loops frame program calls a subroutine named "outer iteration loop" which calls the subroutine "inner iteration loop". For each level a corresponding flow diagram is shown.

The loops module quantizes an input vector of spectral data in an iterative process according to several demands. The inner loop quantizes the input vector and increases the quantizer step size until the output vector can be coded with the available amount of bit. After completion of the inner loop an outer loop checks the distortion of each scalefactor band and, if the allowed distortion is exceeded, amplifies the scalefactor band and calls the inner loop again.

Layer III loops module input:

- (1) vector of the magnitudes of the spectral values $xr(0..575)$
- (2) $xmin(cb)$, the allowed distortion of the scalefactor bands
- (3) `blocksplit_flag` which in conjunction with `switch_point` determines the number of scalefactor bands
- (4) `mean_bits` (bit available for the Huffman coding and the coding of the scalefactors)
- (5) `more_bits`, the number of bits in addition to the average number of bits, as demanded by the value of the psychoacoustic entropy for the granule:
$$\text{more_bits} = 3.1 * \text{PE} - (\text{average number of bits})$$

Layer III loops module output:

- (1) vector of quantized values $ix(0..575)$
- (2) $\text{ifq}(cb)$, the scalefactors
- (3) `qquant` (quantizer step size information)
- (4) number of unused bit available for later use
- (5) `preflag` (loops preemphasis on/off)
- (6) Huffman code related side information
 - `big_values` (number of pairs of Huffman coded values, excluding "count1")
 - `count1table_select` (Huffman code table of absolute values ≤ 1 at the upper end of the spectrum)
 - `table_select[0..2]` (Huffman code table of regions)
 - `region_address1,2` (used to calculate boundaries between regions)
 - `part2_3_length`

2. Preparatory Steps

2.1 Reset of all iteration variables

The scalefactors of the coder partitions `scalefac[cb]` are set to zero.

The counter `qquant` for the quantizer step size is reset to zero.

Preflag is reset to zero.

`Scalefac_scale` is reset to zero.

The initial value of `quantanf` is set as follows:

$$\text{quantanf} = \text{system_const} * \log_e(\text{sfm}),$$

where `sfm` is the spectral flatness measure and `quantanf` depends on the computational implementation of the encoder.

The spectral flatness measure `sfm` is given by

$$sfm = \frac{e^{\frac{1}{n} \left(\sum_{i=0}^{n-1} \log |xr(i)|^2 \right)}}{\frac{1}{n} \sum_{i=0}^{n-1} |xr(i)|^2}$$

The value of system_const is chosen so that for all signals the first iteration of the inner loop for all signals comes out with a bit sum higher than the desired bitsum. By that it is ensured that the first call of the inner loop results in the solution which uses as many of the available bits as possible. In order to spare computing time it is desirable to minimize the number of iterations by adapting the value of quantanf to the bitrate and the signal statistics.

2.2 Bit reservoir control

Bits are saved to the reservoir when fewer than the mean_bits are used to code one granule. If bits are saved for a frame, the value of main_data_end is increased accordingly. See diagram 3-Annex 3-A.7.1.

The number of bits which are made available for the main_data (called "max_bits") is derived from the actual estimated threshold (the PE as calculated by the psychoacoustic model), the average number of bits (mean_bits) and the actual content of the bit reservoir. The number of bytes in the bit reservoir is given by main_data_end.

The actual rules for the control of the bit reservoir are given below:

- If a number of bytes available to the inner iteration loop is not used for the Huffman encoding or other main_data, the number is added to the bit reservoir.
- If the bit reservoir contains more than 0.8 times the maximum allowed content of the bit reservoir, all bytes exceeding this number are made available for main_data (in addition to mean_bits)
- If more_bits is greater than 100 bits, then max(more_bits/8, 0.6*main_data_end) bytes are taken from the bit reservoir and made available for main_data (in addition to mean_bits).
- After the actual loops computations have been completed, the number of bytes not used for main_data is added to the bit reservoir.
- If after the step above the number of bytes in the bit reservoir exceeds the maximum allowed content, stuffing bits are written to the bitstream and the content of the bit reservoir is adjusted accordingly.

2.3 Calculation of the scalefactor select information (scfsi)

The scfsi contains the information, which scalefactors (grouped in the scfsi_bands) of the first granule can also be used for the second granule. These scalefactors are therefore not transmitted, the gained bits can be used for the huffman coding.

To determine the usage of the scfsi, the following information of each granule must be stored:

1. The block type
2. The total energy of the granule:

$$en_tot = \text{int} \left\{ \log_2 \left(\sum_{i=1}^n |xr(i)|^2 \right) \right\}$$

where n is the total number of spectral values

3. The energy of each scalefactor band:

$$en(cb) = \text{int} \left\{ \log_2 \left(\sum_{i=lbl(cb)}^{lbl(cb)+bw(cb)-1} |xr(i)|^2 \right) \right\}$$

where lbl(cb) is the number of the first coefficient belonging to scalefactor band cb and bw(cb) is the number of coefficients within scalefactor band cb

4. The allowed distortion of each scalefactor band:

$$xm(cr.bd) = \text{int}\{\log_2(xmin(i))\}$$

$xmin(cb)$ is calculated by the psychoacoustic model.

The scalefactors of the first granule are always transmitted. When coding the second granule, the information of the two granules is compared. There are four criteria to determine if the scfsi can be used in general. If one of the four is not fulfilled, the scfsi is disabled (that means it is set to 0 in all scfsi_bands). The criteria are (index 0 means first, index 1 second granule):

1. The spectral values are not all zero

2. None of the granules contains short blocks

3.

$$|en_tot_0 - en_tot_1| < en_tot_{krit}$$

4.

$$\sum_{\text{all scalefactor bands}} |en(cb)_0 - en(cb)_1| < en_dif_{krit}$$

If the scfsi is not disabled after the tests above, there are two criterias for each scfsi_band, which have both to be fulfilled to enable scfsi (that means to set it to 1 in this scfsi_band):

1.

$$\sum_{\text{all cr.bds in scfsi_band}} |en(cr.bd)_0 - en(cr.bd)_1| < en(\text{scfsi_band})_{krit}$$

2.

$$\sum_{\text{all cr.bds in scfsi_band}} |xm(cr.bd)_0 - xm(cr.bd)_1| < xm(\text{scfsi_band})_{krit}$$

The constants (with the index *krit*) have to be chosen so, that the scfsi is only enabled in case of similar energy/distortion.

Suggested values are:

en_tot_krit	=	10	
en_dif_krit	=	100	
en(scfsi_band)_krit	=	10	for each scfsi_band
xm(scfsi_band)_krit	=	10	for each scfsi_band

3. Outer Iteration Loop (distortion control loop)

The outer iteration loop controls the quantization noise which is produced by the quantization of the frequency domain lines within the inner iteration loop. The colouration of the noise is done by multiplikation of the lines within scalefactor bands with the actual scalefactors before doing the quantization. The following pseudo-code illustrates the multiplication.

do for each scalefactor band:

```

do from lower index to upper index of scale factor band
  xr(i) = xr(i) * sqrt(2) ^ ((1 + scalefac_scale) * ifq(scalefactor band))
end do
end do
```

In the actual system the multiplication is done incrementally with just the increase of the scalefactors applied in each distortion control loop. This is described in Clause 3.5 below.

The distortion loop is always starting with scalefac_scale = 0. If after some iterations the maximum length of the scalefactors would be exceeded (see scalefac_compress table in 2.4.2.7 and 3.5 below), then scalefac_scale is increased to the

value 1 thus increasing the possible dynamic range of the scalefactors. In this case the actual scalefactors and frequency lines have to be corrected accordingly.

3.1 Saving of the scalefactors

The scalefactors of all scalefactor bands ifq(cb) as well as the quantizer step size qquant are saved. If the computation of the outer loop is cancelled without having reached a proper result this values together with the quantized spectrum give an approximation and can be transmitted.

3.2 Call of inner iteration loop

For each outer iteration loop (distortion control loop) the inner iteration loop (rate control loop) is called. The parameters are the frequency domain values (hybrid filterbank output) with the scalefactors applied to the values within the scalefactor bands and the number of bits which are available to the rate control loop. The result is the number of bits actually used and the quantized frequency lines ix(i).

3.3 Calculation of the distortion of the scalefactor bands

For each scalefactor band the actual distortion is calculated according to:

$$x_{fsf}(cr.bd.) = \sum_{i=lbl(cr.bd.)+bw(cr.bd.)-1}^{lbl(cr.bd.)} \frac{\left(|xr(i)| - ix(i) \sqrt[4]{3 * \sqrt[4]{2^{qquant+quantanf}}} \right)^2}{bandwidth(cr.bd.)}$$

where lbl(cb) is the number of the coefficient representing the lowest frequency in a scalefactor band and bw(cb) is the number of coefficients within this band.

3.4 Preemphasis

The preemphasis option (switched on by setting preflag to a value of 1) provides the possibility to amplify the upper part of the spectrum according to the preemphasis tables, B.6 in the annex.

```
if preflag==1
{
    xmin(j) = xmin(j) * ifqstep2*prefact(j)
    for (i=lower limit of scalefactor band j; i <=upper limit of scalefactor band j; i++) {
        xr(i) = xr(i) * ifqstepprefact(j)
    }
}
```

The condition to switch on the preemphasis is up to the implementation. For example preemphasis could be switched on if in all of the upper 4 scalefactor bands the actual distortion exceeds the threshold after the first call of the inner loop. If the second granule is being coded and scfsi is active in at least one scfsi_band, the preemphasis in the second granule is set equal to the setting in first granule.

3.5 Amplification of scalefactor bands which violate the masking threshold

All spectral values of the scalefactor bands which have a distortion that exceeds the allowed distortion are amplified by a factor of ifqstep. The value of ifqstep is transmitted by scalefac_scale.

```
if (xmin - xfsf) of scalefactor band j < 0
{
    xmin(j) = xmin(j) * ifqstep2
    ifq(j) = ifq(j) + 1
}
```

```

for (i=lower limit of scalefactor band; i <=upper limit of scalefactor band; i++) {
    xr(i) = xr(i) * ifqstep
}
}

```

If the second granule is being coded and scfsi is active in at least one scfsi_band, the following steps have to be done:

1. ifqstep has to be set similar to the first granule
2. If it is the first iteration, the scalefactors of scalefactor bands in which scfsi is enabled have to be taken over from the first granule. The corresponding spectral values have to be amplified:

$$\text{if } (\text{scfsi according to scalefactor band } j = 1)$$

$$\{$$

$$\quad \text{ifq}(j) = \text{ifq}(j)_{\text{first granule}}$$

$$\quad \text{for } (i=\text{lower limit of scalefactor band}; i <=\text{upper limit of scalefactor band}; i++)
\quad \quad \{ \text{xr}(i) = \text{xr}(i) * \text{ifqstep}^{\text{ifq}(j)} \}$$

$$\}$$
3. If it is not the first iteration, the amplification must be prevented for scalefactor bands in which scfsi is enabled.

3.5 Conditions for the termination of the loops processing

Normally the loops processing terminates if there is no scalefactor band with more than the allowed distortion. However this is not always possible to obtain. In this case there are other conditions to terminate the outer loop. If

- a) all scalefactor bands are already amplified
- b) the amplification of at least one band exceeds the upper limit which is determined by the transmission format of the scalefactors. The upper limit is a scalefactor of 15 for scalefactor bands 0 through 10 and 7 for scalefactors 11 through 20.

the loops processing stops and by restoring the saved ifq(cb.) a useful output is available. For realtime implementation there might be a third condition added which terminates the loops in case of a lack of computing time.

4. Inner Iteration Loop (rate control loop)

The inner iteration loop does the actual quantization of the frequency domain data and prepares the formatting. The table selection, subdivision of the big_values range into regions and the selection of the quantizer step size takes place here.

4.1 Quantization

The quantization of the complete vector of spectral values is done according to

$$ix(i) = \text{nint}\left(\left(\frac{|xr(i)|}{\sqrt[4]{qquant+quantanf}}\right)^{0.75} - 0.0946\right)$$

4.2 Test of the maximum of the quantized values

The maximum allowed quantized value is limited. This limit is set to constraint the table size if a table-lookup is used to requantize the quantized frequency lines. The limit is given by the possible values of the length identifier, "linbits", of values flagged with an ESC-code. Therefore before any bit counting is done the quantizer stepsize is increased by

$$qquant = qquant + 1$$

until the maximum of the quantized values is within the range of the largest Huffman code table.

4.3 Calculation of the run length of zeros

The run length rzero of pairs of spectral coefficients quantized to zero on the upper end of the spectrum is counted and called "rzero".

4.4 Calculation of the run length of values less or equal one

The run length of quadrupels of spectral coefficients quantized to one or zero, following the rzero pairs of zeros, is calculated and called "count1"

4.5 Counting the bit necessary to code the values less or equal one

One Huffman code word is used to code one of the "count1" quadrupels. There are two different Huffman code books with corresponding code length tables (Table A and Table B in 3-Annex 3-B.7). The number of bits to code all the count1 quadrupels is given by:

$$\text{bitsum_count1} = \min(\text{bitsum_table0}, \text{bitsum_table1})$$

where

count1table_0 is used to point to table A

$$\text{bitsum_table0} = \sum_{k=\text{firstcount1}}^{k=\text{firstcount1}+count1-1} \text{count1table_0}(ix(4k)+2*ix(4k+1)+4*ix(4k+2)+8*ix(4k+3))$$

and

count1table_1 is used to point to table B

$$\text{bitsum_table1} = \sum_{k=\text{firstcount1}}^{k=\text{firstcount1}+count1-1} \text{count1table_1}(ix(4k)+2*ix(4k+1)+4*ix(4k+2)+8*ix(4k+3))$$

The information which table is used is transmitted by count1table_select, which is "0" for Table A or "1" for Table B, respectively.

4.6 Call of subroutine SUBDIVIDE

The number of pairs of quantized values not counted in "count1" or "rzero" is called bigvalues. SUBDIVIDE splits the scalefactor bands corresponding to this values into three groups. The last one, incomplete generally, counts as a complete one. Region_adress1/2 contains the number of scalefactor bands in the first and the last region, respectively. The number of scalefactor bands in the second region can be calculated using bigvalues. If bigvalues comprises only two scalefactor bands region_adress2 is set to zero. If there are less than two also region_adress1 is zero. The split strategy is up to the implementation. A very simple one for instance is to assign 1/3 of the scalefactor bands to the first and 1/4 to the last region.

Subdivide in case of blocksplit is done analoguous but only two subregions. Region_address 1 is set to a default in this case. This default is 8 in the case of split_point=0 and 9 in the case of split_point=1. Both this values point to the same absolute frequency.

4.7 Calculation of the code book for each subregion

There are 32 different Huffman code tables for the coding of pairs of quantized values available. They differ from each other in the maximum value that can be coded and in the signal statistic they are optimized for. Only codes for values < 16 are in the table. For values ≥ 16 there are two tables provided where the largest value 15 is an escape character. In this case the value 15 is coded in an additional word using a linear PCM code with a word length called linbits. linbits can be calculated by taking the base 2 logarithm of the PCM code, which is $x - \text{max_table_entry}$ (see Clause 2.4.2.7).

A simple way to choose a table is to use the maximum of the quantized values in a subregion. Tables which have the same size are optimized for different signal statistics. Therefore additional coding gain can be achieved for example by trying all of this tables.

4.8 Counting of the bit necessary to code the values in the subregions

The number of bits necessary to code the quantized values of one subregion is given by:

$$\begin{aligned} \text{bitsum}(j) = & \sum_{k=0}^{k=\text{np}(j)-1} \text{bitz}\left(\text{tableselect}(j), \min(15, \text{ix}(2k+\text{fe}(j))), \min(15, \text{ix}(2k+\text{fe}(j)+1))\right) \\ & + \sum_{k=0}^{k=\text{np}(j)-1} \left(s(\text{ix}(2k+\text{fe}(j)) - 15) + s(\text{ix}(2k+\text{fe}(j)+1) - 15) \right) * \text{linbits}(j) \end{aligned}$$

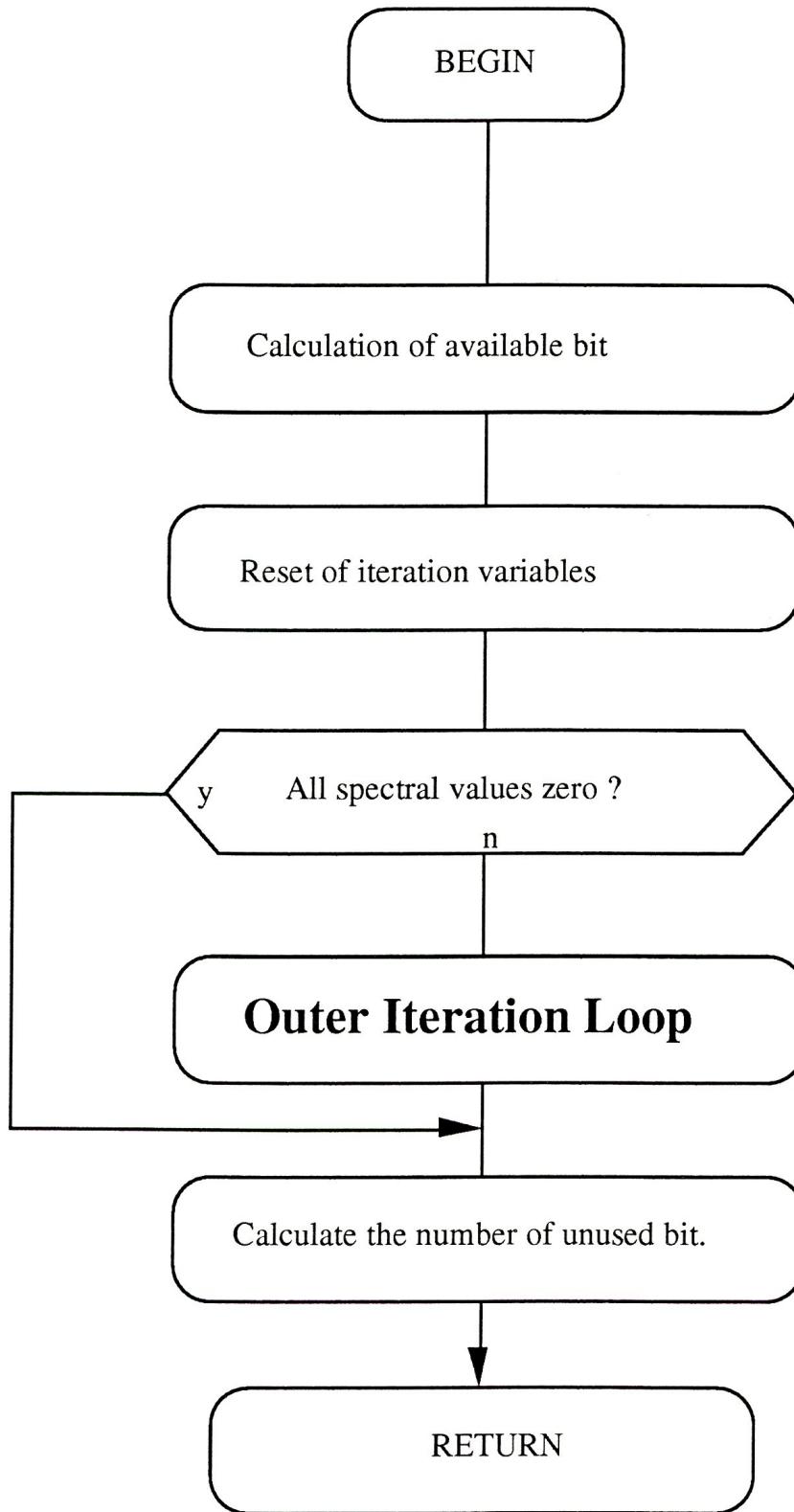
$\text{np}(j)$: number of pairs in a sub region

$\text{fe}(j)$: number of the first quantized value in a sub-region

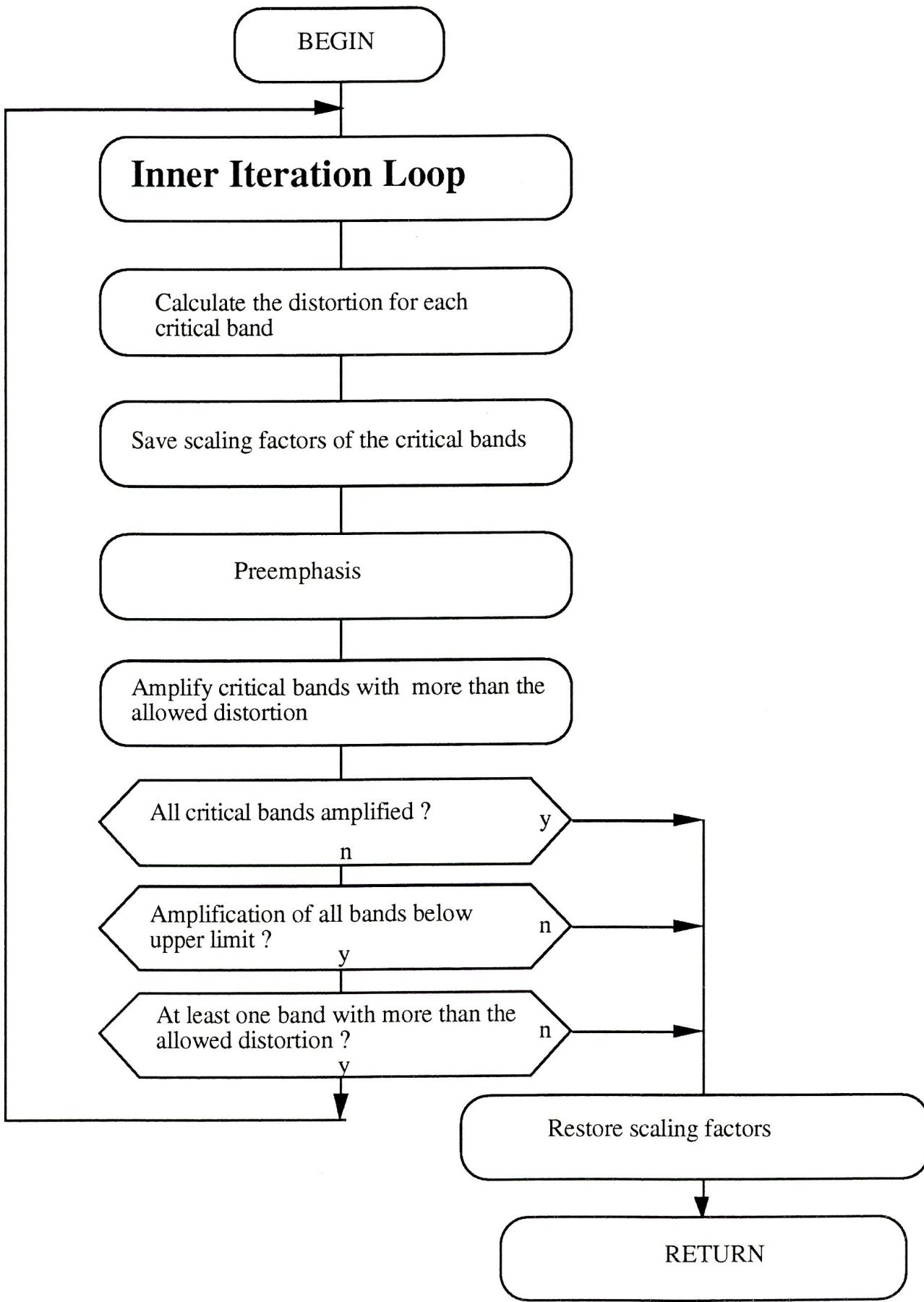
bitz : table with Huffman code length

$s(\dots)$ step function: if $x \geq 0$ $s(x) = 1$
 if $x < 0$ $s(x) = 0$

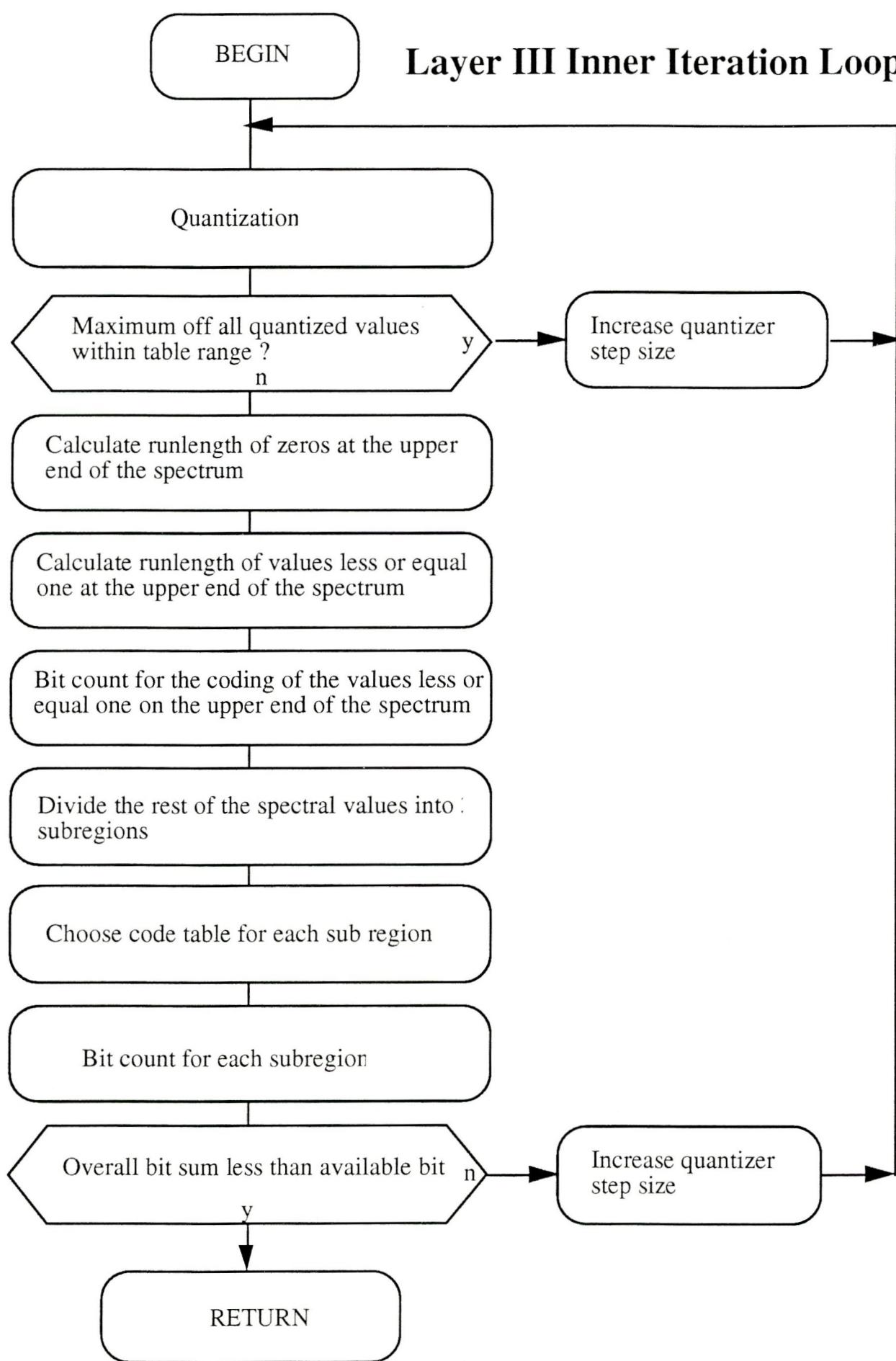
Layer III Iteration loops



Layer III Outer Iteration Loop



Layer III Inner Iteration Loop



3-ANNEX E (informative)

BIT SENSITIVITY TO ERRORS

3-E.1. General

This paragraph indicates the sensitivity of individual bits to random errors if application specific error protection is needed. This sensitivity is given for each bit by a value from 0 to 5, indicating the amount of degradation resulting from one isolated error :

- 5 catastrophic
- 4 very annoying
- 3 annoying
- 2 slightly annoying
- 1 audible
- 0 insensitive

The values are not the results of precise measurements, rather they rely upon knowledge of the codec. They assume the error detection scheme is not in use.

Some fields in the bit stream do not have a fixed length. All bits in this fields are rated for error sensitivity, even if not in use.

(For all layers, the header information is considered to have the highest sensitivity).

3-E.2. Layers I and II

Parameters	#bit	sensitivity
Bit allocation	all bits	5
Scalefactors select information	all bits	5
Scalefactors	5 (msb)	4
	4	4
	3	4
	2	3
	1	2
Subband samples (*)	0 (lsb)	1
	8-16(msb)	3
	5-7	2
	3,4	1
	(lsb)0-2	0

(*) according to the bit allocation

3-E.3. Layer III

Parameters	#bit	sensitivity
Scf_si	all bits	5
Part2/3_length	all bits	4
Big_values	all bits	3
Global_gain	all bits	5
Scalefactor_select	all bits	5
Blocksplit_flag	all bits	5
Block_type	all bits	4
Switch_frequency	all bits	4
Table_select	all bits	5
Region_adress1	all bits	3
Region_adress2	all bits	3
extension_bits (if present)	all bits	0
Preflag	0	2
Scalefac_scale	0	2
Count1table_select	0	3
Subblock_gain	2 (msb) 1 0 (lsb)	4 3 2
Scalefac (**)	3 (msb) 2 1 0 (lsb)	3(2) 3(2) 2(1) 2(1)
Huffman codes (****)	0...n-1	3 - 0

(**) the scalefac length depends on scalefac_select.

The bit sensitivity values refer to the scalefac_scale value 1 (if 0 the value is in parenthesis).

(****) If n is the number of bits for Huffman coding in one block the bit sensitivity decreases linearly from 3 to 0 as the bit number varies from 0 up to n, (from low to high frequency).

Note:

Rearrangement of the Huffman coded values:

To get better implicit error robustness for the low frequency part of the spectrum the Huffman coded values can be transmitted not in their logical order, but in an interleaved fashion.

If max_hlen is the maximum length of a Huffman codeword over the tables which are used to code the particular block and n is the number of bits used for Huffman coding of data in the block (not frame), then int(n/max_hlen) slots are filled with the first codewords, beginning from low frequencies. The remaining codewords are filled into the remaining place, again arranged from low to high frequencies.

After bit interleaving, the bit sensitivity of bit $k+i*int(n/max_hlen)$ decreases linearly from 3 to 0 as k varies from 0 up to $int(n/max_hlen)-1$, where $i=0,...,max_hlen-1$, and n is the number of bits for Huffman coding in one block.

This is the recommended practice for Layer III data for all channels where error robustness is important.

3-ANNEX F (informative)

ERROR CONCEALMENT

An optional feature of the coded bit stream is the CRC word which provides some error detection facility to the decoder. The Hamming distance of this error detection code is $d=4$, which allows for the detection of up to 3 single bit errors or for the detection of one error burst of up to 16 bit length. The amount and the position of the protected bits within one encoded audio frame generally depends on the layer, the mode, data rate, and sampling frequency.

This can be used to control an error concealment strategy in order to avoid severe impairments of the reconstructed signal due to errors in the most sensitive information.

Some basic techniques can be used for concealment, for instance information substitution, or muting. A simple substitution technique consists, when an erroneous frame occurs, of replacing it by the previous one (if error free).

3-ANNEX G (informative)

JOINT STEREO CODING

3-G.1. Intensity Stereo Coding Layer I, II

An optional joint stereo coding method used in Layers I and II is intensity stereo coding. Intensity stereo coding can be used to increase the audio quality and/or reduce the bitrate for stereophonic signals. The gain in bitrate is typically about 10 to 30 kbit/s. It requires negligible additional decoder complexity. The increase of encoder complexity is small. The encoder and decoder delay is not affected.

Psychoacoustic results indicate that at high frequencies (above about 2 kHz) the localization of the stereophonic image within a critical band is determined by the temporal envelope and not by the temporal fine structure of the audio signal.

The basic idea for intensity stereo coding is that for some subbands, instead of transmitting separate left and right subband samples only the sum-signal is transmitted, but with scalefactors for both the left and right channels, thus preserving the stereophonic image.

Flow diagrams of a stereo encoder and decoder, including intensity stereo mode, are shown in Figure 3-G.1 "GENERAL STEREO ENCODER FLOW-CHART" and Figure 3-G.2 "GENERAL STEREO DECODER FLOW-CHART". First, an estimation is made of the required bitrate for both left and right channel. If the required bitrate exceeds the available bitrate, the required bitrate can be decreased by setting a number of subbands to intensity stereo mode. Depending on the bitrate needed, subbands

- 16 to 31,
- 12 to 31,
- 8 to 31, or
- 4 to 31

can be set to intensity stereo mode. For the quantization of such combined subbands, the higher of the bit allocations for left and right channel is used.

The left and right subband signals of the subbands in joint stereo mode are added. These new subband signals are scaled in the normal way, but the originally determined scalefactors of the left and right subband signals are transmitted according to the bitstream syntax. Quantization of common subband samples, coding of common samples, and coding of common bit allocation are performed in the same way as in independent coding.

3-G.2. MS_Stereo and Intensity Stereo Coding Layer III

In Layer III a combination of ms_stereo mode (sum/difference) and intensity stereo mode can be used.

1) MS_stereo switching

MS_stereo mode is switched on if in joint stereo mode condition

$$\left| \sum_{i=0}^{511} [rl_i^2 - rr_i^2] < 0.8 * \sum_{i=0}^{511} [rl_i^2 + rr_i^2] \right.$$

is true. The values rl_i and rr_i correspond to the energies of the FFT line spectrum of the left and right channel calculated within the psychoacoustic model.

2) MS_stereo processing

- MS matrix

In MS_stereo mode the values of the normalized middle/side channel M_i/S_i are transmitted instead of the left/right channel values L_i/R_i :

$$M_i = \frac{R_i + L_i}{\sqrt{2}} \quad \text{and} \quad S_i = \frac{L_i - R_i}{\sqrt{2}}$$

- Limitation of S_i channel bandwidth

All S_i values above the highest scalefactor band are set to zero.

- Sparsening of S_i channel

In every scalefactor band sb all pairs of small values (S_i, S_{i+1}) are set to zero:

```
if ( $S_i^2 + S_{i+1}^2 < s_{sb} * (L_i^2 + L_{i+1}^2 + R_i^2 + R_{i+1}^2)$  {
     $S_i = 0; S_{i+1} = 0;$ 
}
```

The following difference channel threshold coefficients apply to the scalefactor bands for block type != 2 (long MDCT transforms):

sb	0	1	2	3	4	5	6	7	8	9
s_{sb}	0.0	0.0	0.0	0.0	0.0	0.10	0.10	0.10	0.10	0.10
sb	10	11	12	13	14	15	16	17	18	19
s_{sb}	0.10	0.20	0.30	0.40	0.50	0.60	0.70	0.80	0.90	1.00
sb	20									
s_{sb}										1.50

3) Intensity stereo processing

- Calculation of intensity stereo position

For each scalefactor band sb coded in intensity stereo the following steps are executed:

- $is_poss_{sb} = \text{NINT}(\frac{12}{\pi} * \arctan(\sqrt{\frac{L_Energy_{sb}}{R_Energy_{sb}}}))$
- $L_i = L_i + R_i$ for all indices i within the actual scalefactor band sb
- $R_i = 0$ for all indices i within the actual scalefactor band sb
- the intensity stereo position is_poss_{sb} is transmitted instead of the scalefactor of the right channel (3 bits always, stereo positions 0..6, 7=illegal stereo position)

where $L_Energy_{sb}/R_Energy_{sb}$ denote the signal energies of the left/right channel within the actual scalefactor band and L_i/R_i are the transformed values.

Scalefactor bands of the right/difference channel containing only zeros after coding which do not belong to the intensity coded part should be transmitted with the scalefactor '7' to prevent intensity stereo decoding.

FIGURE 3-G.1 General Stereo Encoder Flow Chart

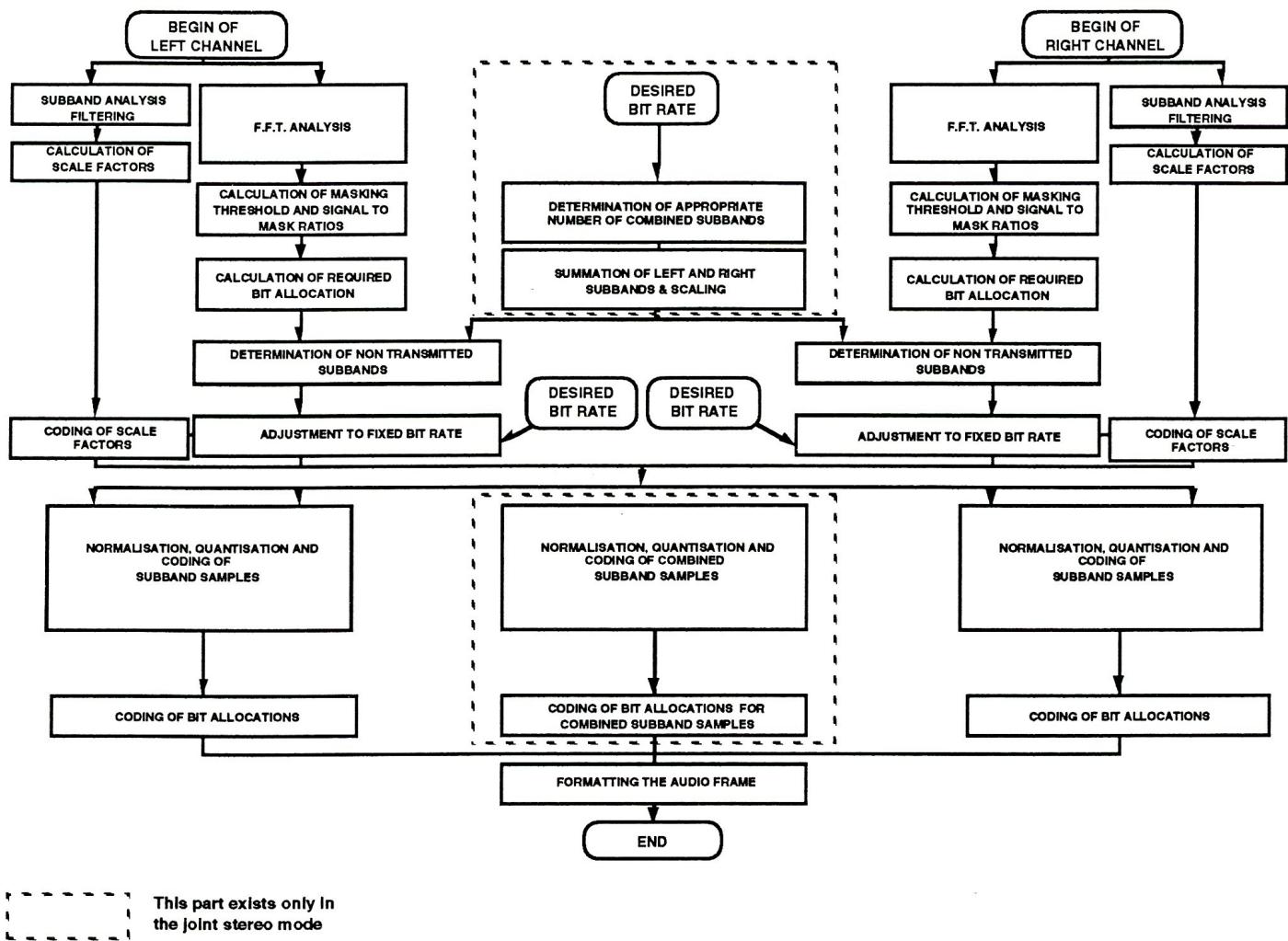
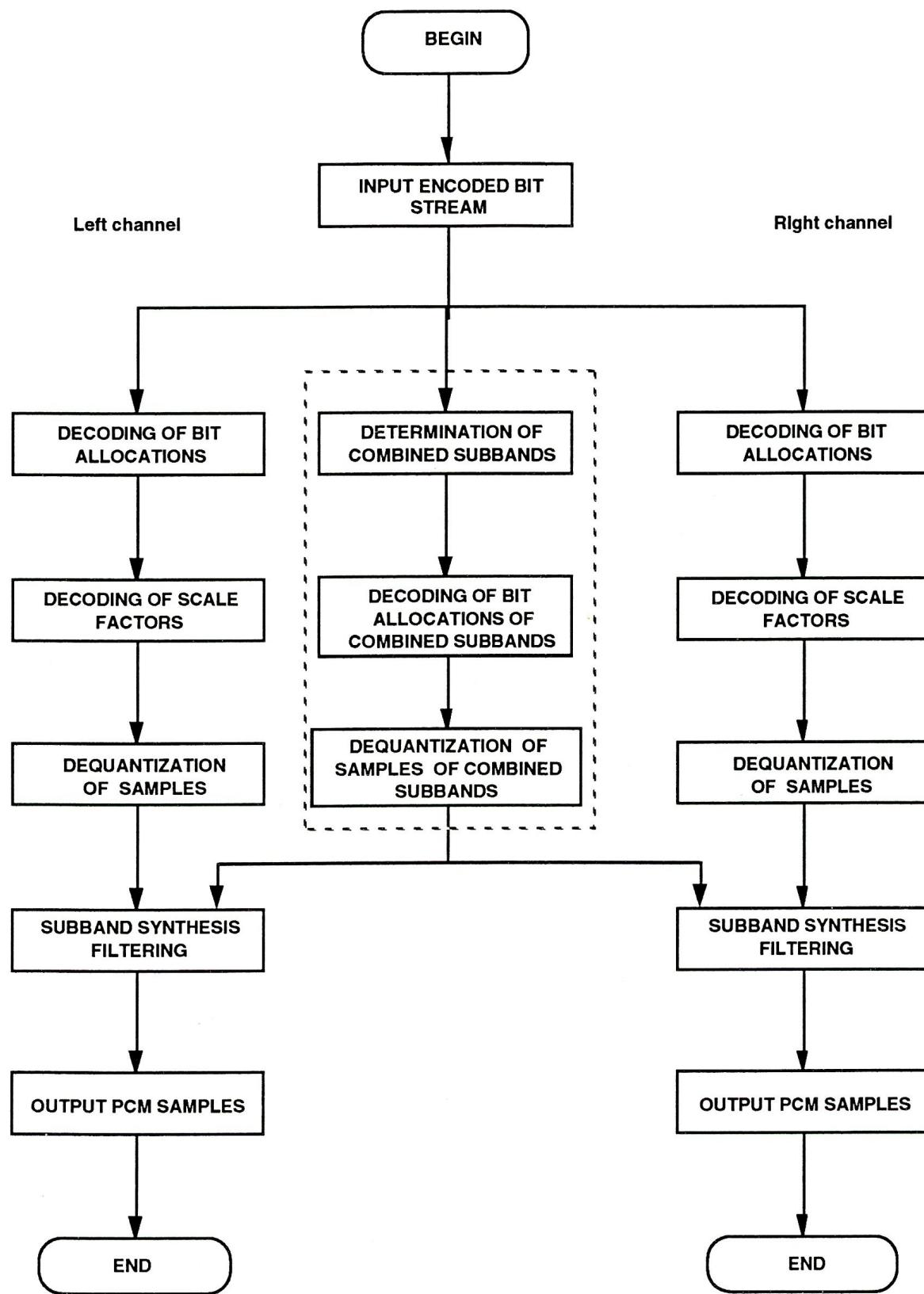


FIGURE 3-G.2 General Stereo Decoder Flow Chart



This part is used only
in joint stereo mode.